

# CS 341: ALGORITHMS

**Lecture 7: finishing greedy**

Readings: see website

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LAST TIME: EXCHANGE ARGUMENT  
FOR INTERVAL SELECTION

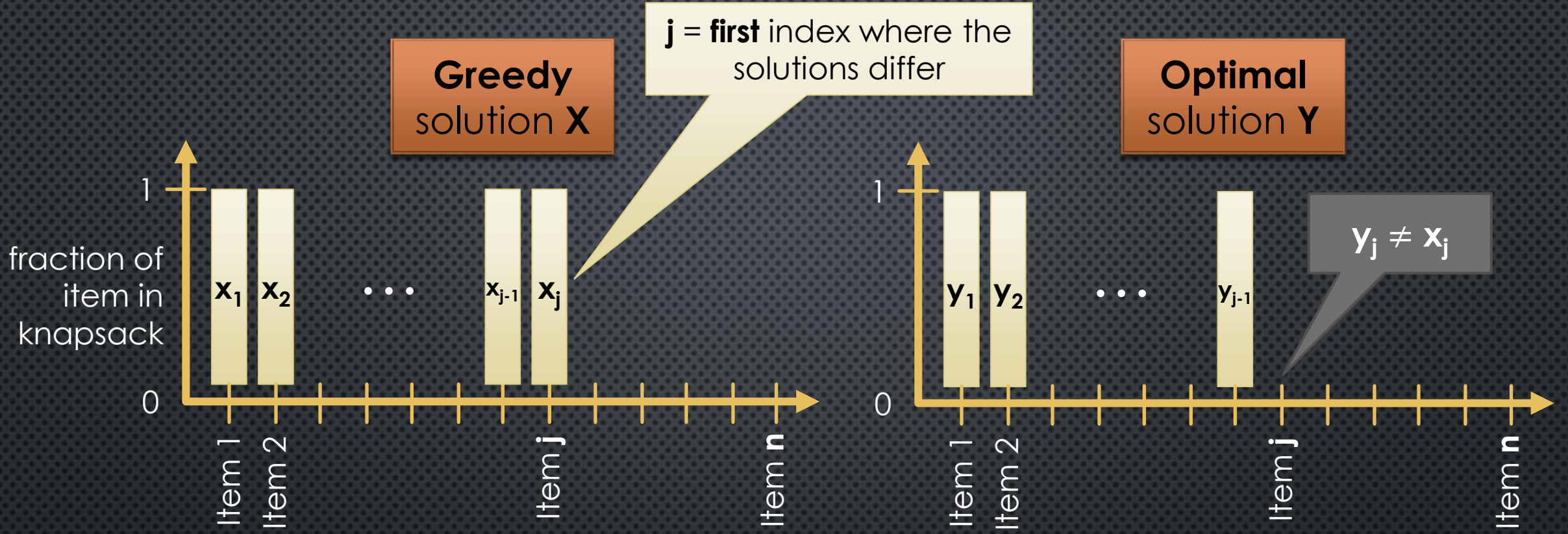
ASSUMED: PROFIT / WEIGHT RATIOS  
ARE **DISTINCT**

WHAT IF PROFIT/WEIGHT RATIOS  
ARE **NOT DISTINCT?**

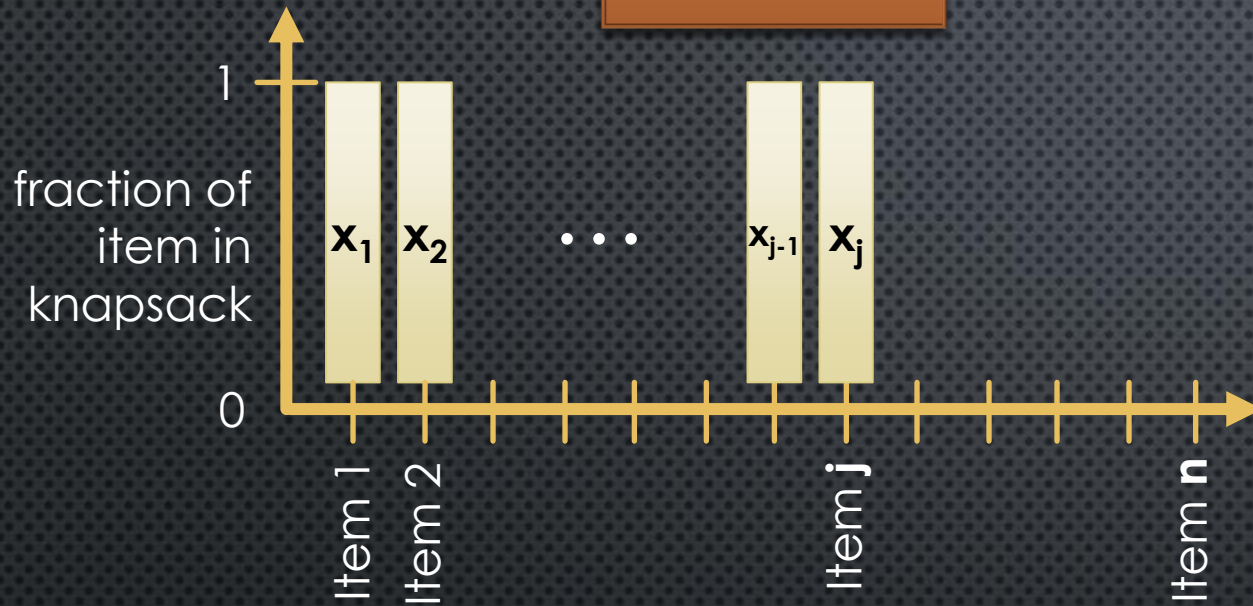
OR, MORE GENERALLY,  
**WHAT IF THERE ARE MANY OPT SOLUTIONS?**

# WHAT IF THERE ARE **MANY** OPTIMAL SOLUTIONS

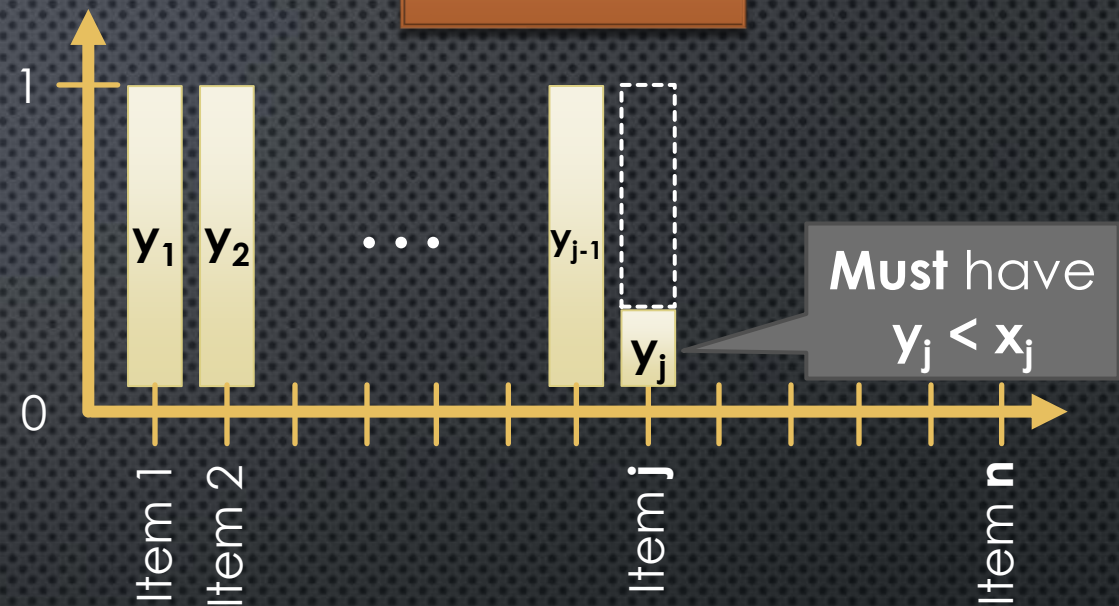
- Can't just assume  $X \neq Y$  and obtain a contradiction!
- **Key idea:** focus on **one particular optimal solution**
  - Let  $Y$  be an optimal solution that **matches  $X$  on a maximal number of indices**
  - **Observe:** if  $X$  is really optimal, then  $Y = X$
- Suppose  $X \neq Y$  for contra
  - We will modify  $Y$ , preserving its optimality, but making it match  $X$  on **one more index** (a contradiction!)



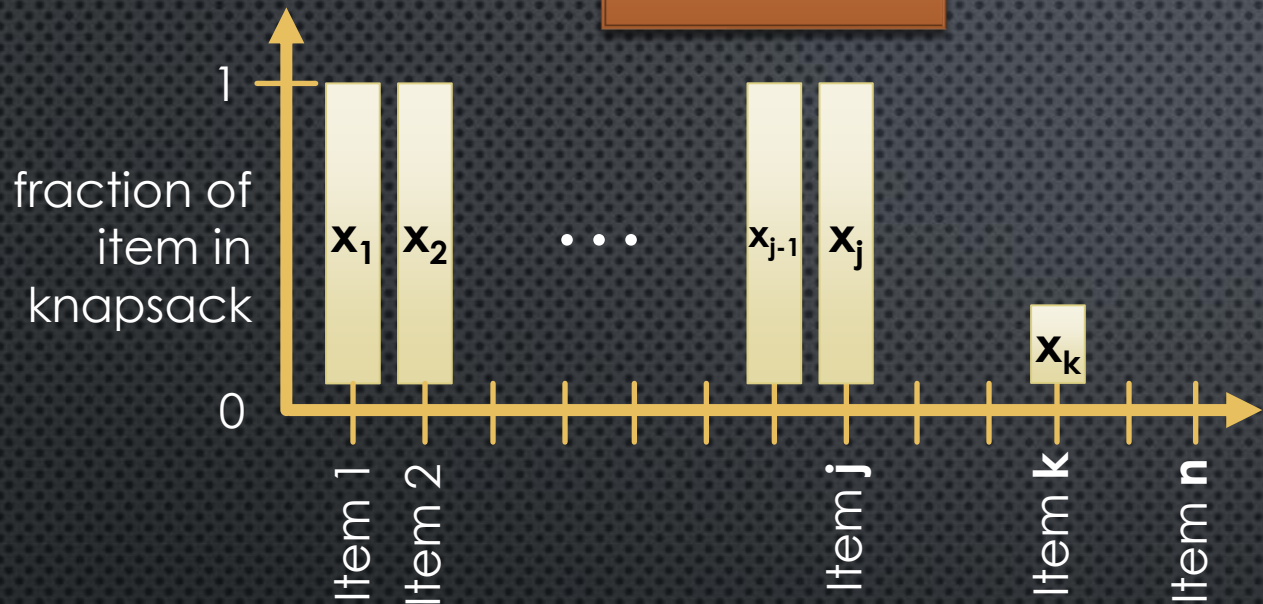
**Greedy solution X**



**Optimal solution Y**



**Greedy solution X**

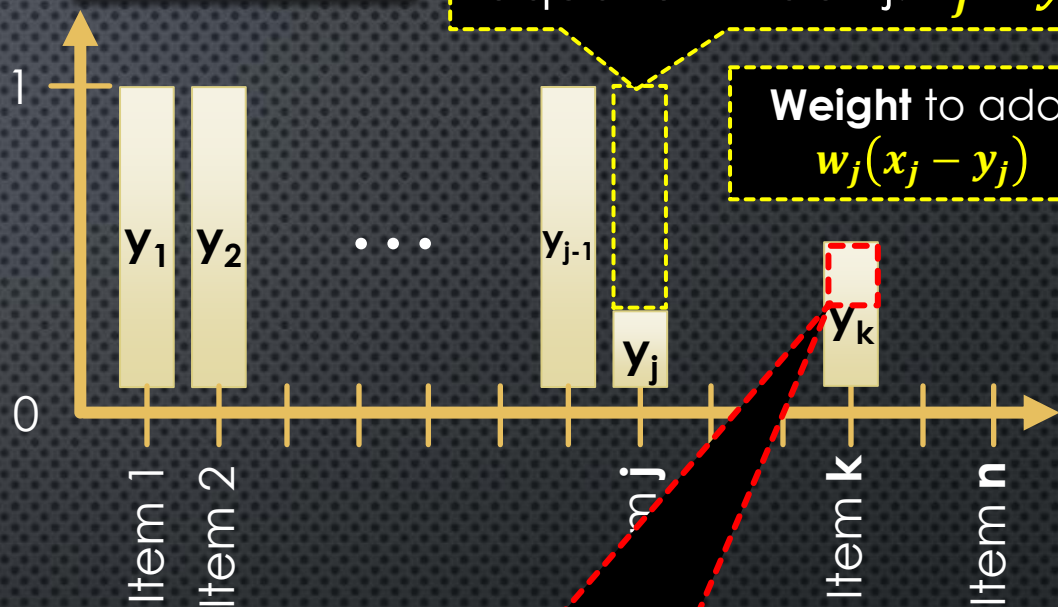


Must exist  $k > j$  such that  $y_k > x_k$  because weight of  $X$  and  $Y$  must be the same

**Remove** some **weight  $\delta$**  of item **k** and **add** the same weight of item **j**

With the goal of making the solutions **equal on index k or index j**

**Optimal solution Y**



**Fraction** we should **add** to  $j$  to make solutions equal on index  $j$ :  $x_j - y_j$

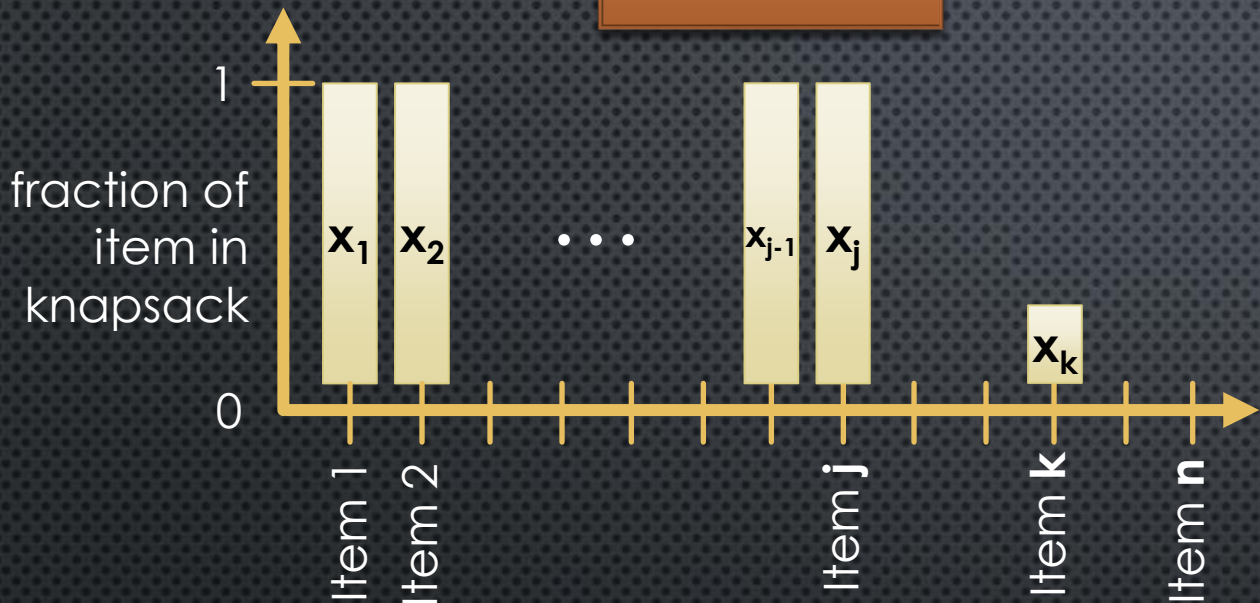
**Weight** to add:  $w_j(x_j - y_j)$

**Fraction** we should **remove** from  $k$  to make solutions equal on index  $k$ :  $y_k - x_k$

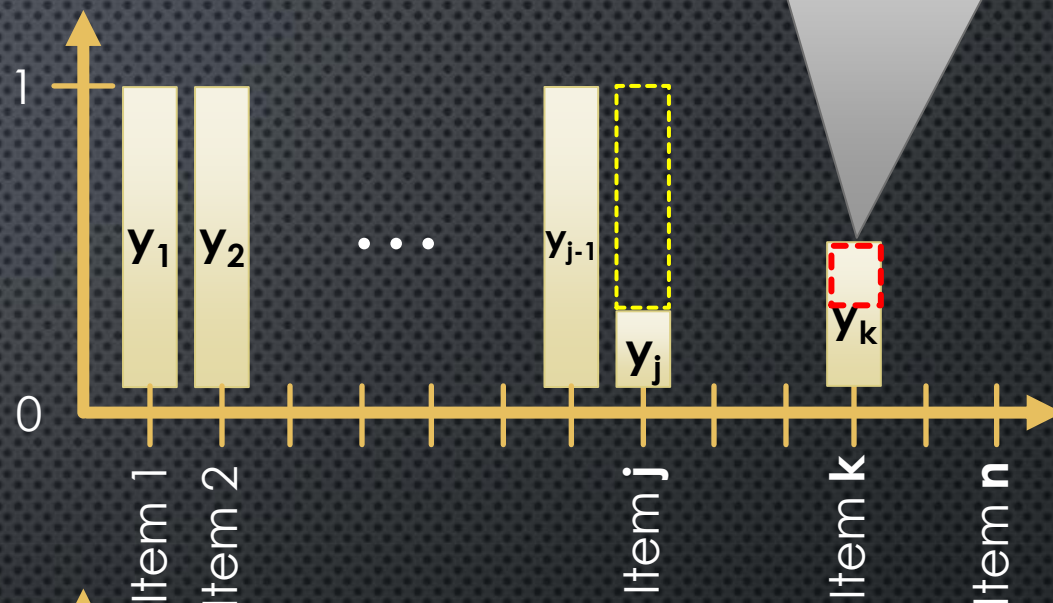
**Weight** to remove:  $w_k(y_k - x_k)$

Let  $\delta = \min\{w_j(x_j - y_j), w_k(y_k - x_k)\}$   
Observe  $\delta > 0$

**Greedy solution X**

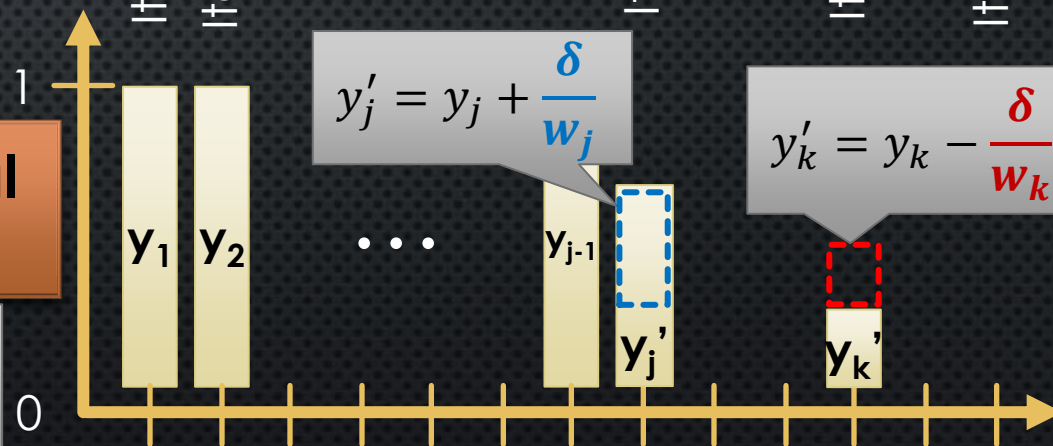


**Optimal solution Y**



Suppose  $\delta = w_k(y_k - x_k)$

**Modified optimal solution Y'**



$y_j' = y_j + \frac{\delta}{w_j}$

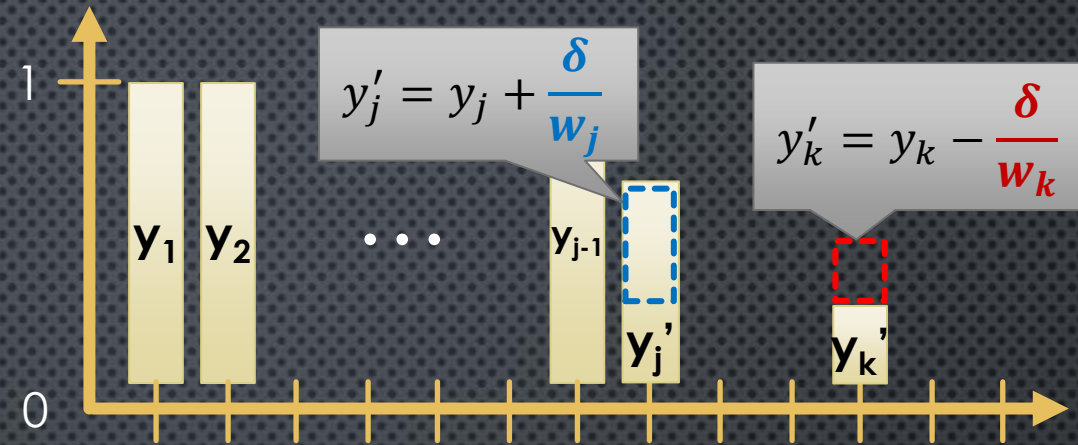
$y_k' = y_k - \frac{\delta}{w_k}$

In this case, since  $\delta = w_k(y_k - x_k)$ , we end up with  $y_k' = x_k$

If  $\delta$  were  $w_j(x_j - y_j)$ , we would have  $y_j' = x_j$



**Modified optimal solution  $Y'$**



To show  $Y'$  is feasible, we show  $weight(Y') \leq M$  and  $y'_k \geq 0, y'_j \leq 1$

**Weight**

We move  $\delta$  weight from item  $k$  to item  $j$   
This does not change the total weight!  
So  $weight(Y') = weight(Y) = M$

# FEASIBILITY OF $Y'$

- Showing  $y'_k \geq 0$ 
  - By definition,  $y'_k = y_k - \frac{\delta}{w_k} \geq 0$  iff  $\delta \leq y_k w_k$
  - But  $\delta$  is the **minimum** of  $w_j(x_j - y_j)$  and  $w_k(y_k - x_k)$
  - And  $w_k(y_k - x_k) \leq w_k y_k$  **so**  $\delta \leq y_k w_k$
- Showing  $y'_j \leq 1$ 
  - $y'_j = y_j + \frac{\delta}{w_j} \leq 1$  iff  $\delta \leq w_j(1 - y_j)$  (rearranging)
  - $\delta \leq w_j(x_j - y_j)$  (definition of  $\delta$ )
  - and  $w_j(x_j - y_j) \leq w_j(1 - y_j)$  (by feasibility of  $X$ , i.e.,  $x_j \leq 1$ )

# PROFIT OF $Y'$

(Fraction of item  $j$  **added**)  $\times$  (profit for entire item)

- $profit(Y') = profit(Y) + \frac{\delta}{w_j} p_j - \frac{\delta}{w_k} p_k = profit(Y) + \delta \left( \frac{p_j}{w_j} - \frac{p_k}{w_k} \right)$
- Since  $j$  is before  $k$ , and we consider items with more profit per unit weight first, we have  $\frac{p_j}{w_j} \geq \frac{p_k}{w_k}$ .
- Since  $\delta > 0$  and  $\frac{p_j}{w_j} \geq \frac{p_k}{w_k}$ , we have  $\delta \left( \frac{p_j}{w_j} - \frac{p_k}{w_k} \right) \geq 0$
- Since  $Y$  is optimal, this **cannot be positive**
- So  $Y'$  is a new optimal solution that **matches  $X$  on one more index than  $Y$**
- Contradiction:  $Y$  matched  $X$  on a **maximal** number of indices!

# SUMMARIZING EXCHANGE ARGUMENTS

- If there is a **unique optimal solution**
  - Let  $O \neq G$  be an optimal solution that beats greedy
  - Show how to change  $O$  to obtain a better solution
- If there is **more than one optimal solution**
  - Let  $O \neq G$  be an optimal solution that matches greedy on as many choices as possible
  - Show how to change  $O$  to obtain an optimal solution  $O'$  that matches greedy for even more choice(s)

# FINISHING UP GREEDY

# INTERVAL COLOURING



# PROBLEM: INTERVAL COLOURING

**Instance:** A set  $\mathcal{A} = \{A_1, \dots, A_n\}$  of intervals.

For  $1 \leq i \leq n$ ,  $A_i = [s_i, f_i)$ , where  $s_i$  is the **start time** of interval  $A_i$  and  $f_i$  is the **finish time** of  $A_i$ .

**Feasible solution:** A  $c$ -colouring is a mapping  $col : \mathcal{A} \rightarrow \{1, \dots, c\}$  that assigns each interval a **colour** such that two intervals receiving the same colour are always disjoint.

**Find:** A  $c$ -colouring of  $\mathcal{A}$  with the **minimum number of colours**.

**Example**



7 intervals,  
7 colours.  
**Feasible**, but  
**not optimal**

# MORE EXAMPLES

Example		Not feasible!
Example		7 intervals, 6 colours. <b>Feasible</b> , but <b>not optimal</b>
Example		7 intervals, 2 colours. <b>Optimal</b>



# Greedy Strategies for Interval Colouring

As usual, we consider the intervals one at a time.

At a given point in time, suppose we have coloured the first  $i < n$  intervals using  $d$  colours.

We will colour the  $(i + 1)$ st interval with **any permissible colour**. If it cannot be coloured using any of the existing  $d$  colours, then we introduce a **new colour** and  $d$  is increased by 1.

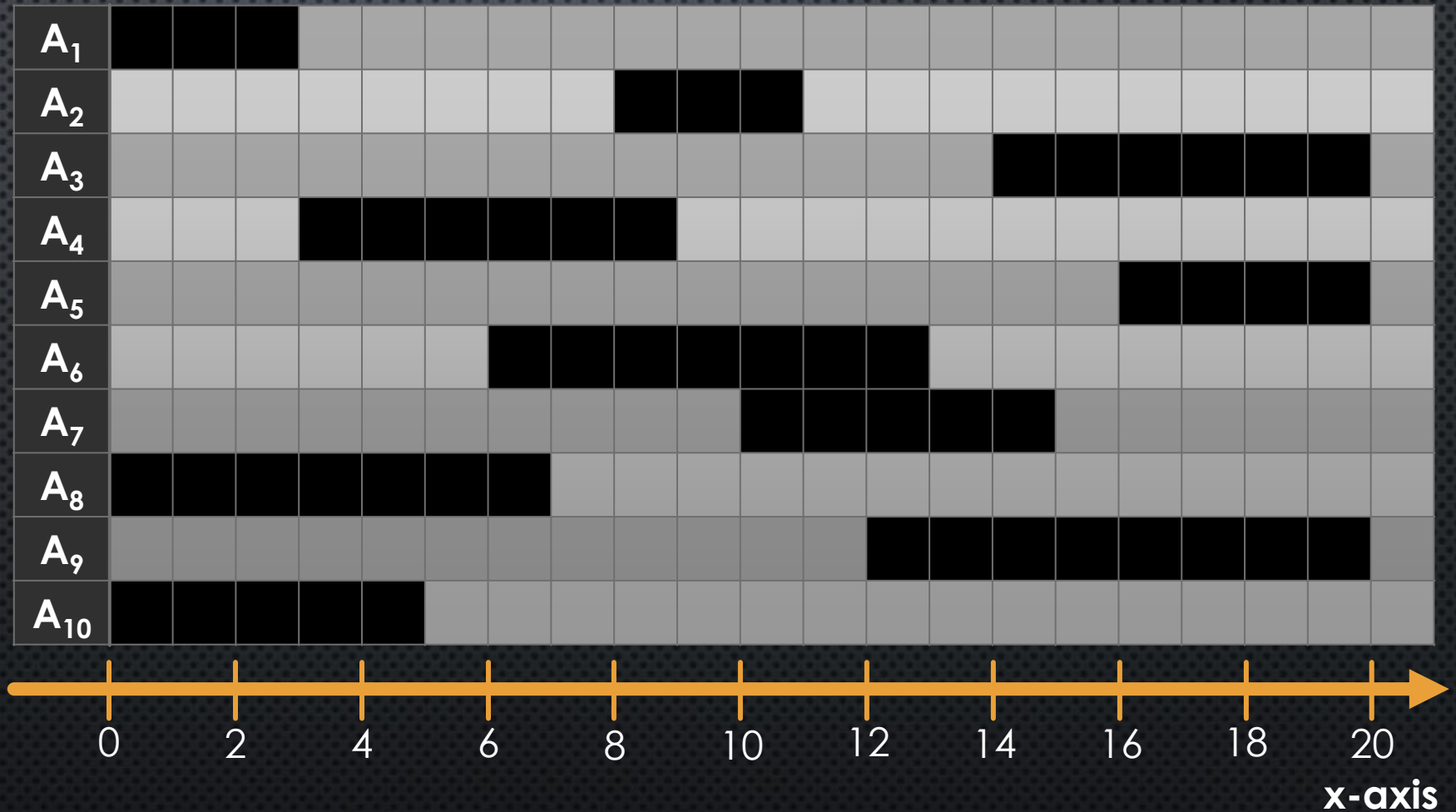
Question: In **what order** should we consider the intervals?

We will colour the  $(i + 1)$ st interval with **any permissible colour**. If it cannot be coloured using any of the existing  $d$  colours, then we introduce a **new colour** and  $d$  is increased by 1.

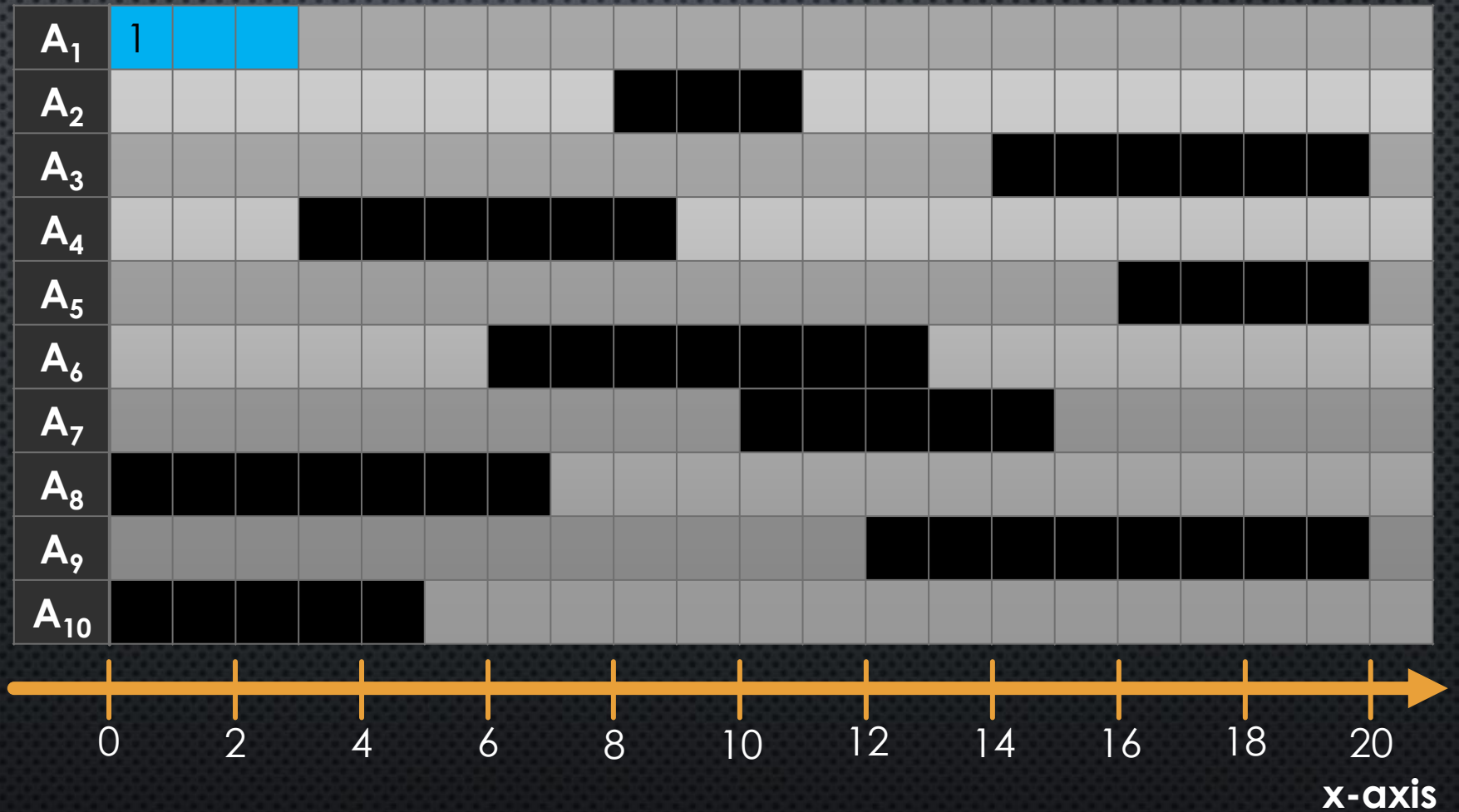
# EXAMPLE: ORDER MATTERS!

Consider intervals in the order they are given in the input:

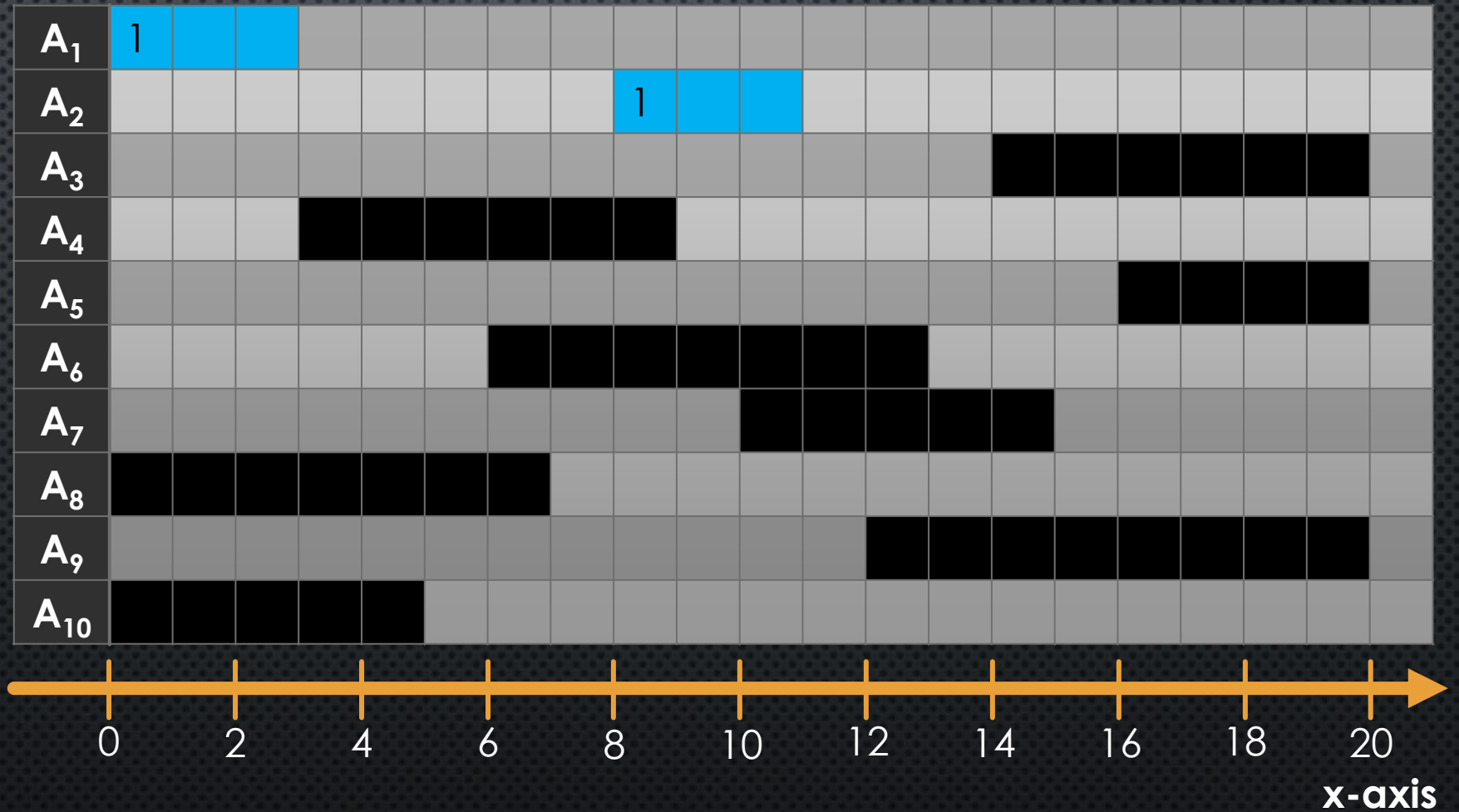
$A_1 \dots A_{10}$



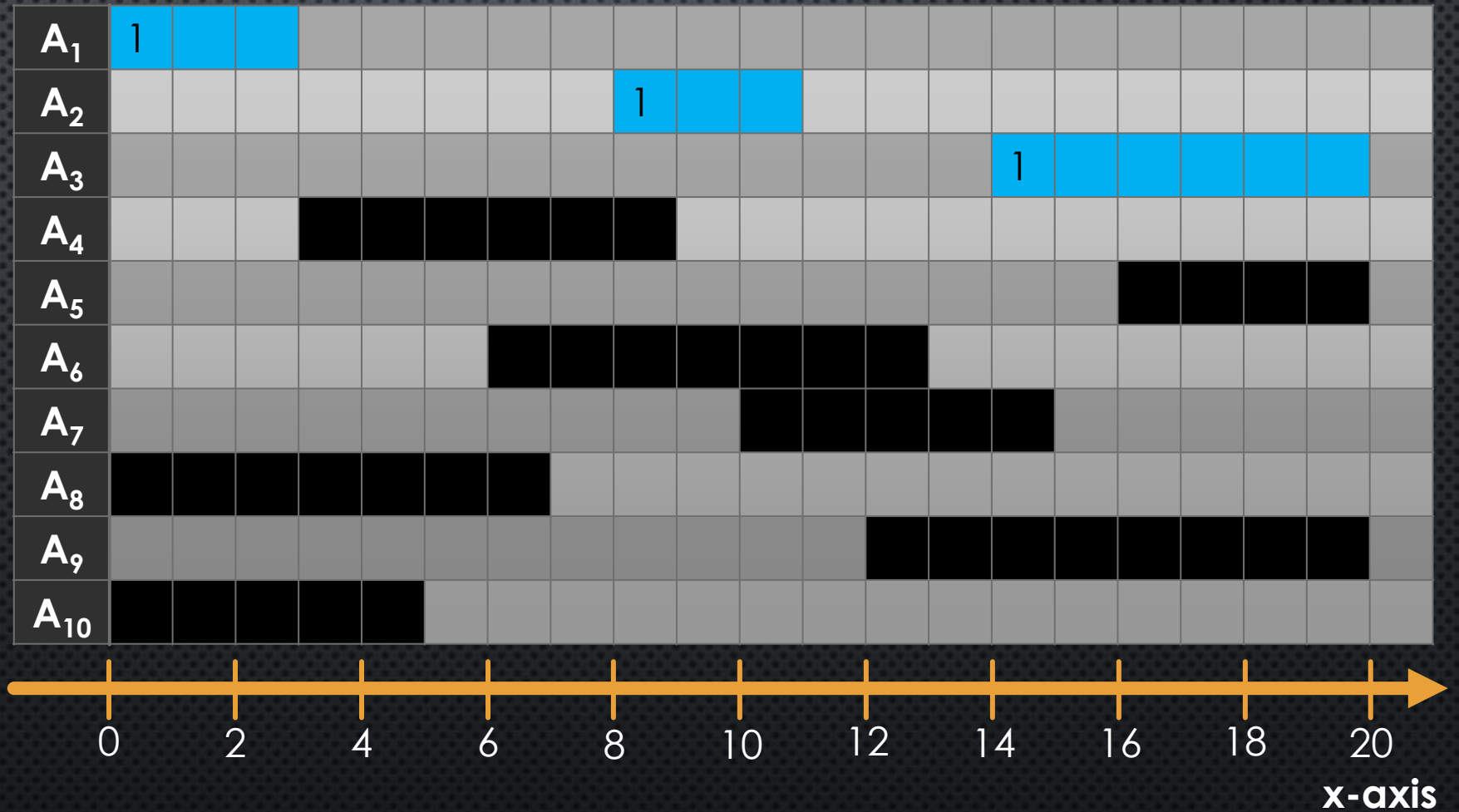
EXAMPLE:  
ORDER  
MATTERS!



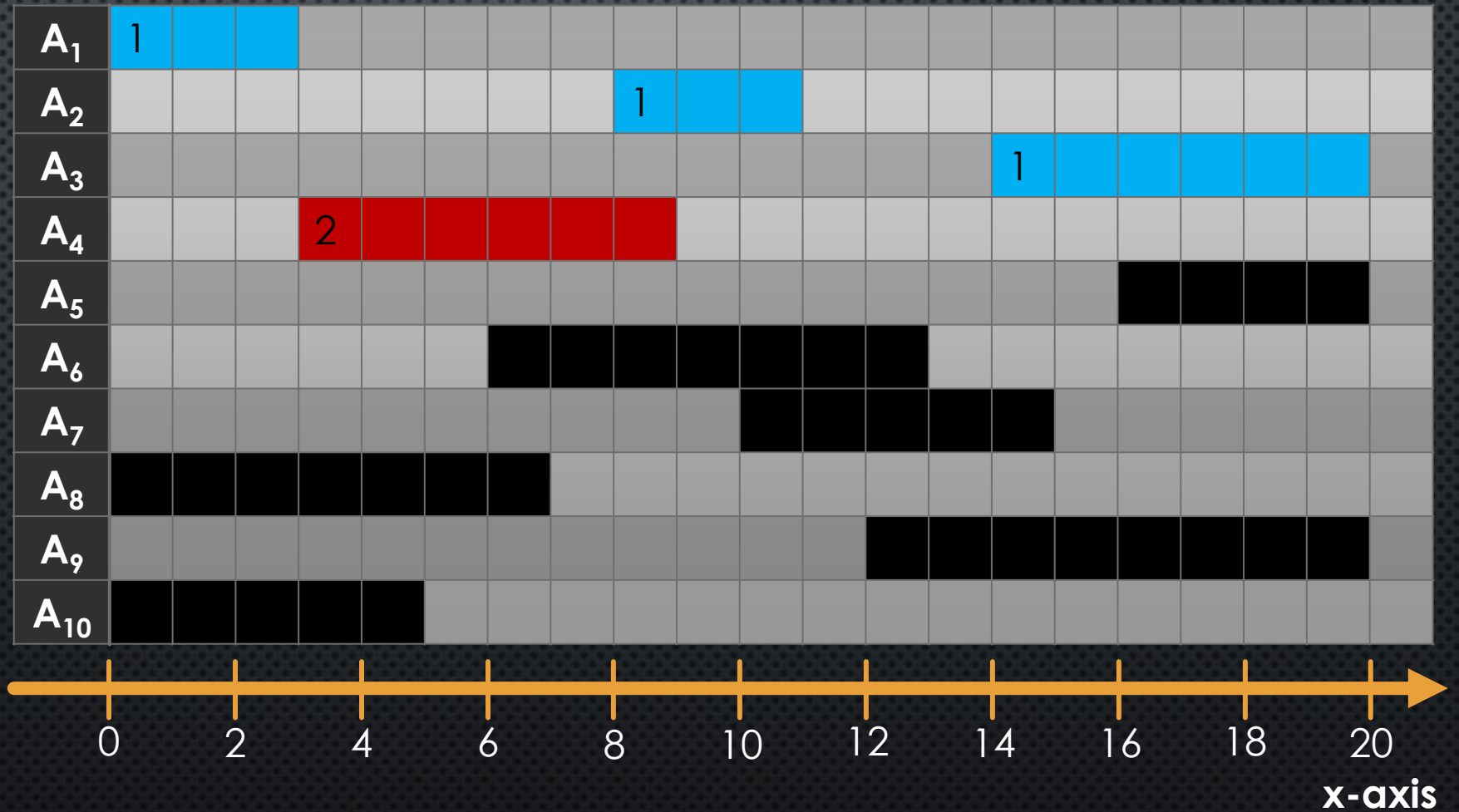
EXAMPLE:  
ORDER  
MATTERS!



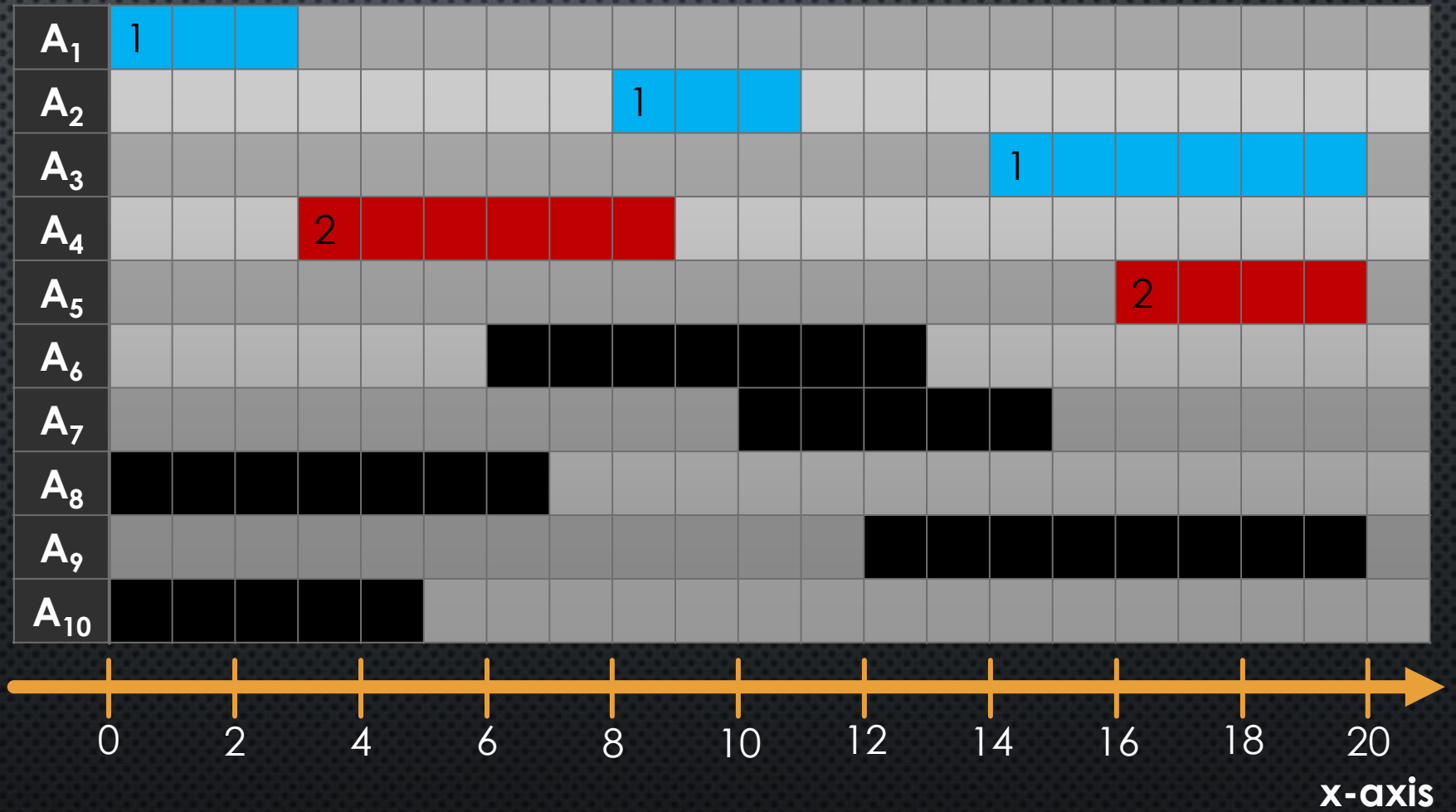
EXAMPLE:  
ORDER  
MATTERS!



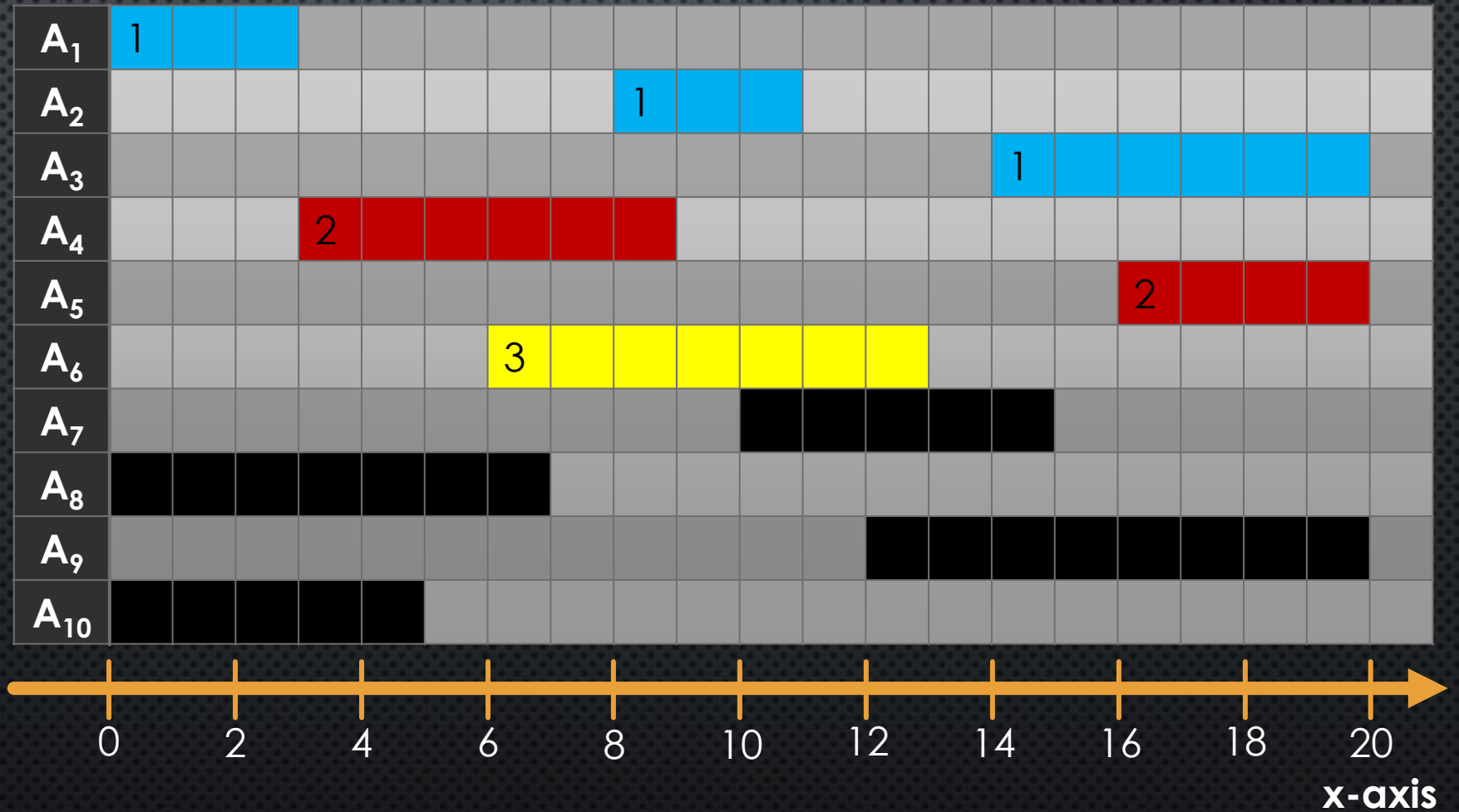
EXAMPLE:  
ORDER  
MATTERS!



EXAMPLE:  
ORDER  
MATTERS!

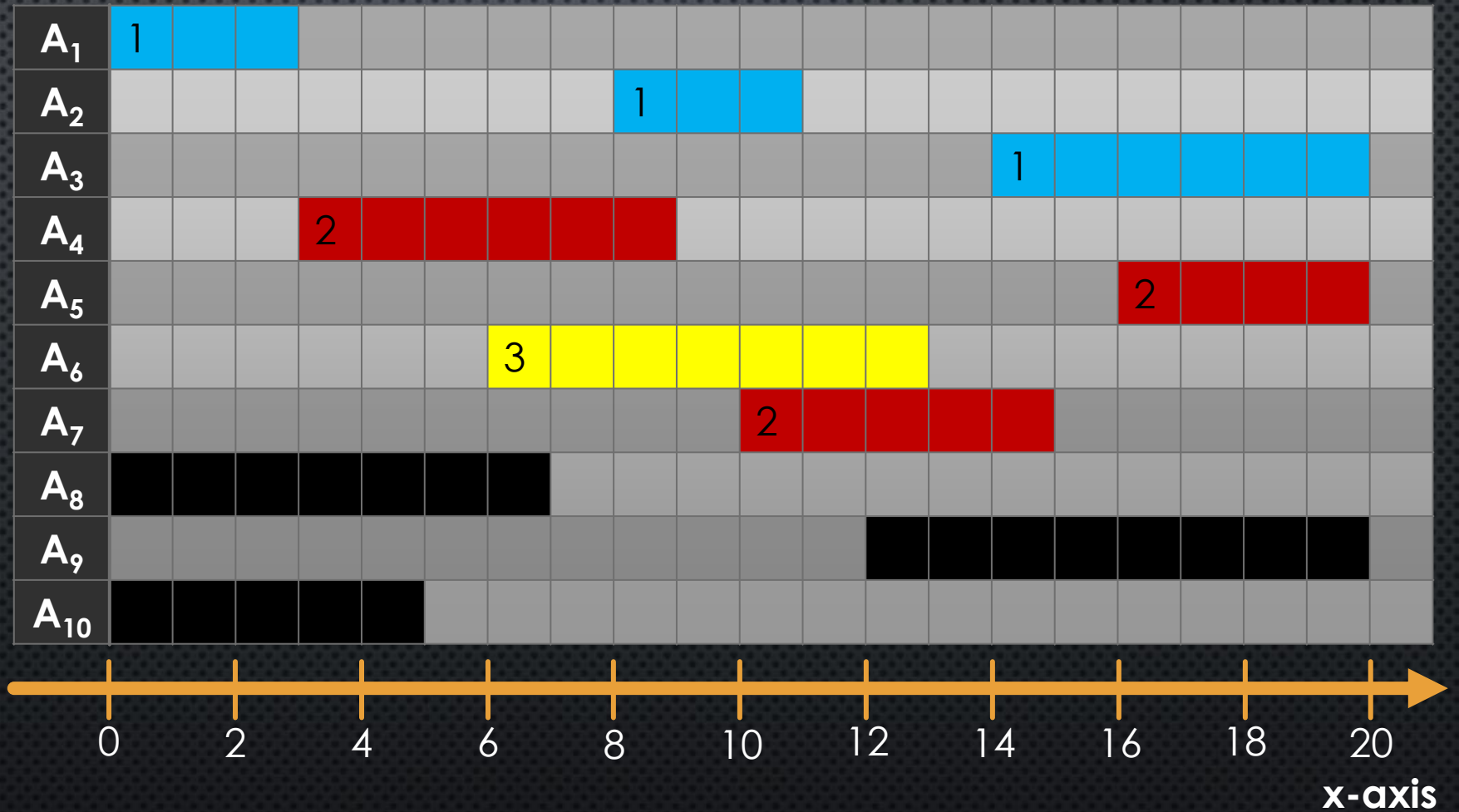


EXAMPLE:  
ORDER  
MATTERS!

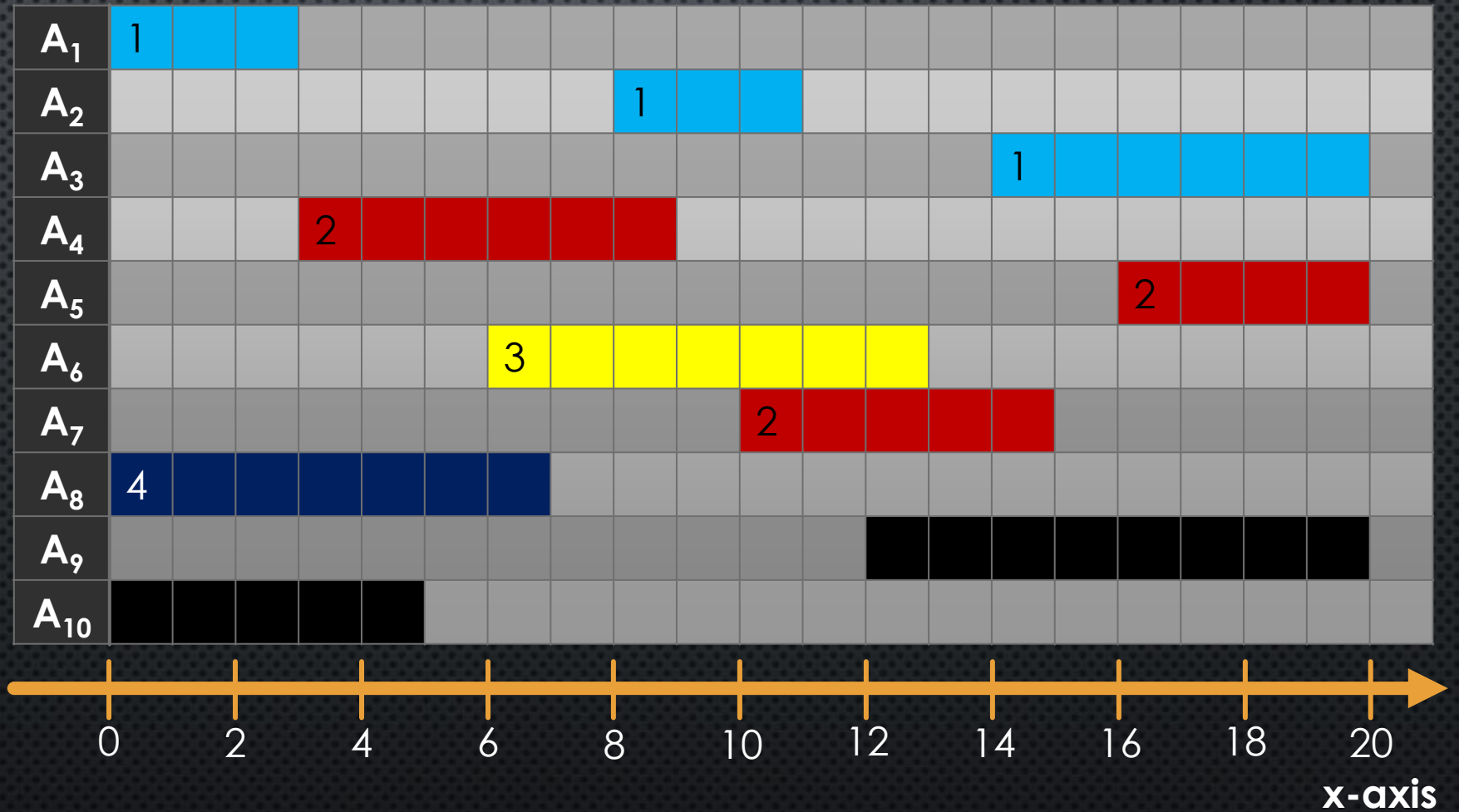




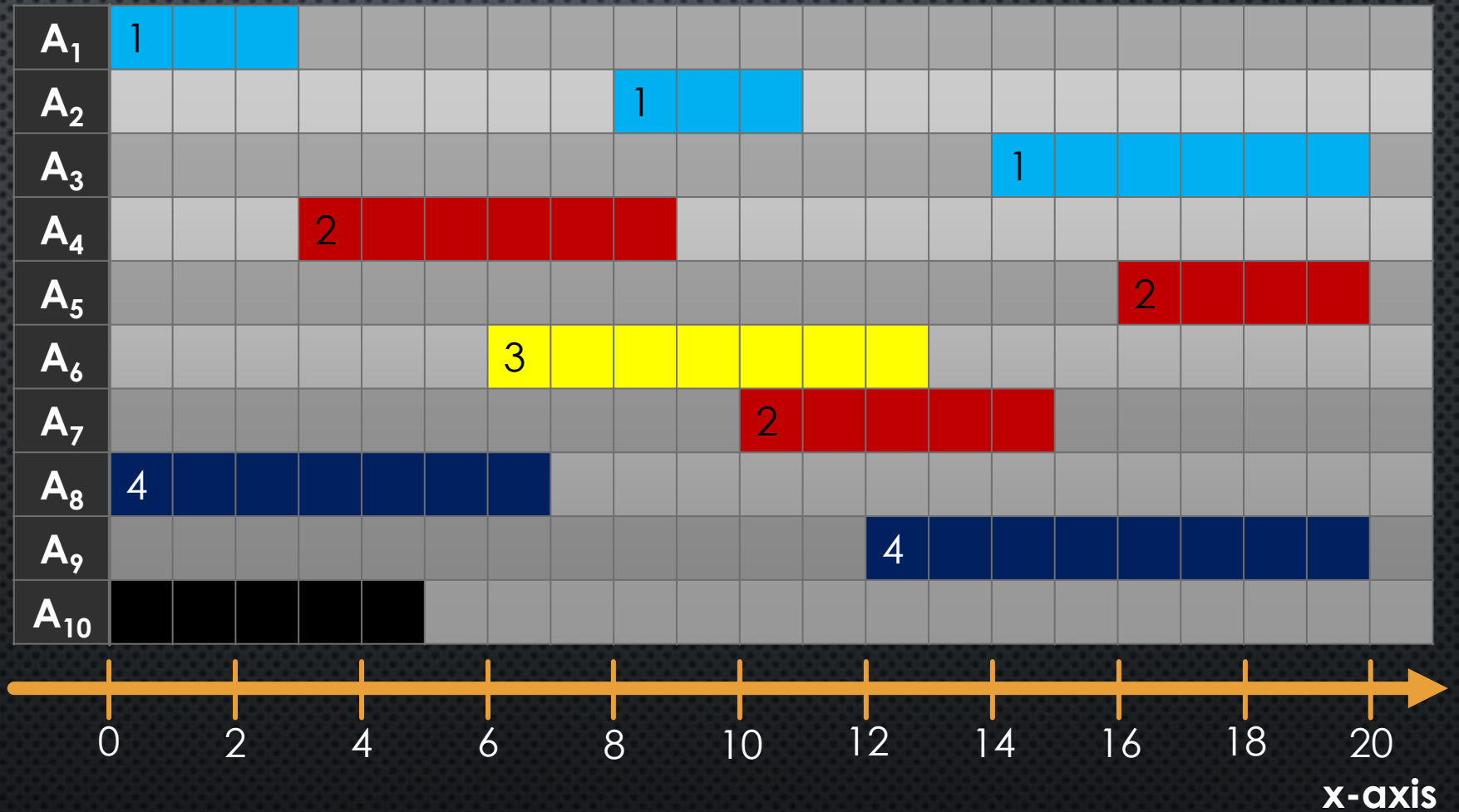
EXAMPLE:  
ORDER  
MATTERS!



EXAMPLE:  
ORDER  
MATTERS!



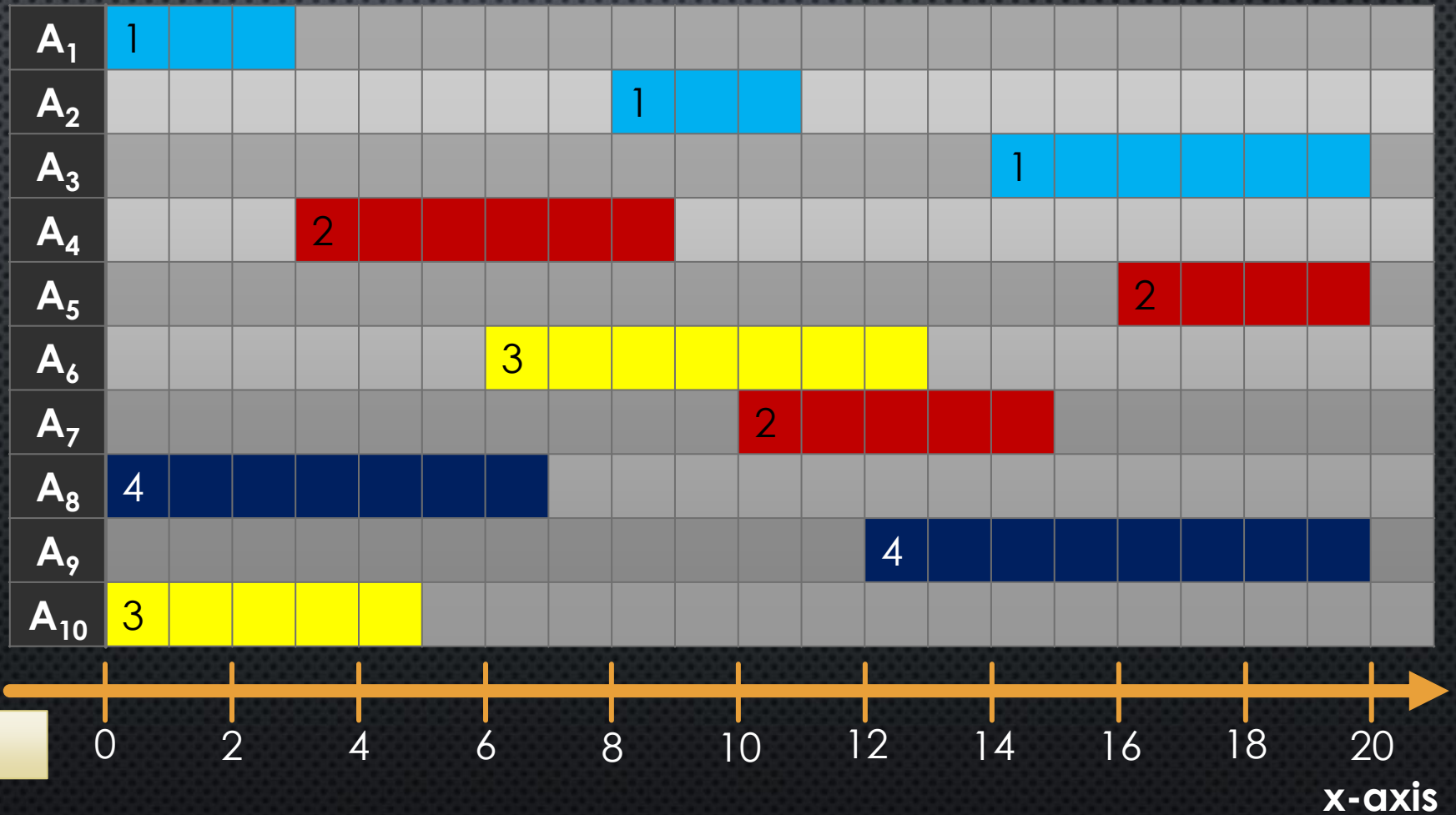
EXAMPLE:  
ORDER  
MATTERS!



# EXAMPLE: ORDER MATTERS!

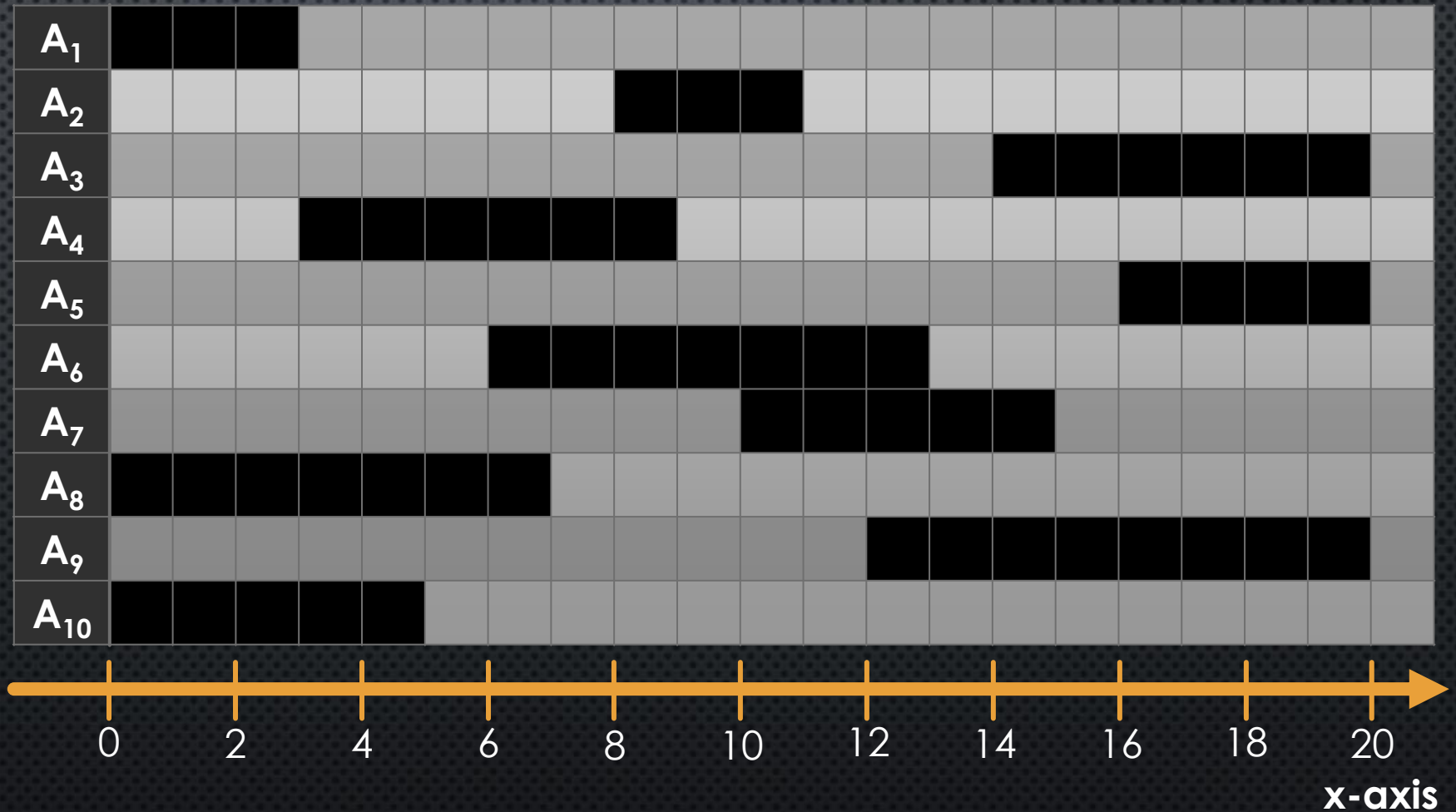
Used **4** colours

Can we do better?



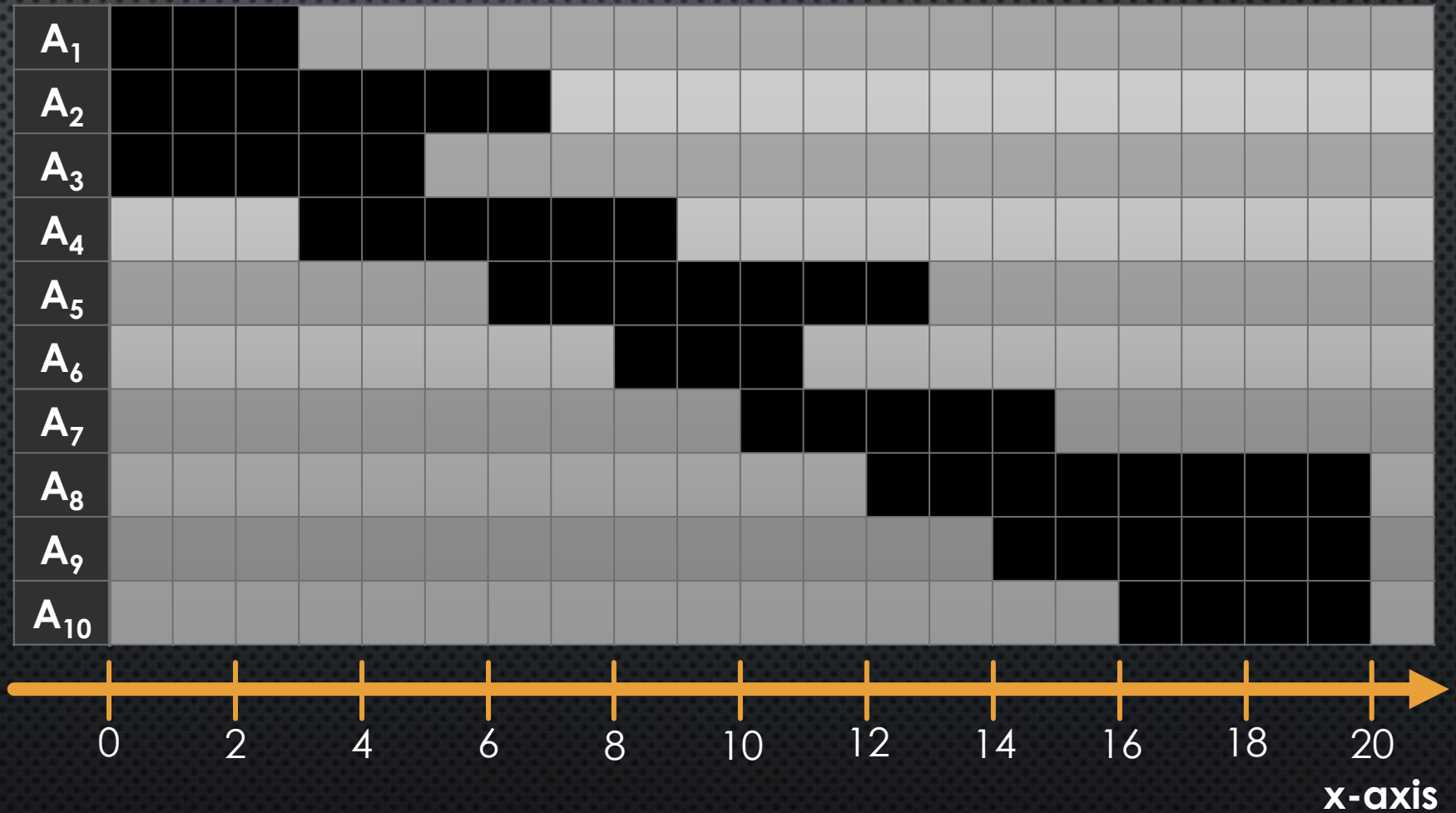
# EXAMPLE: ORDER MATTERS!

Pre-sort intervals by  
increasing start time!

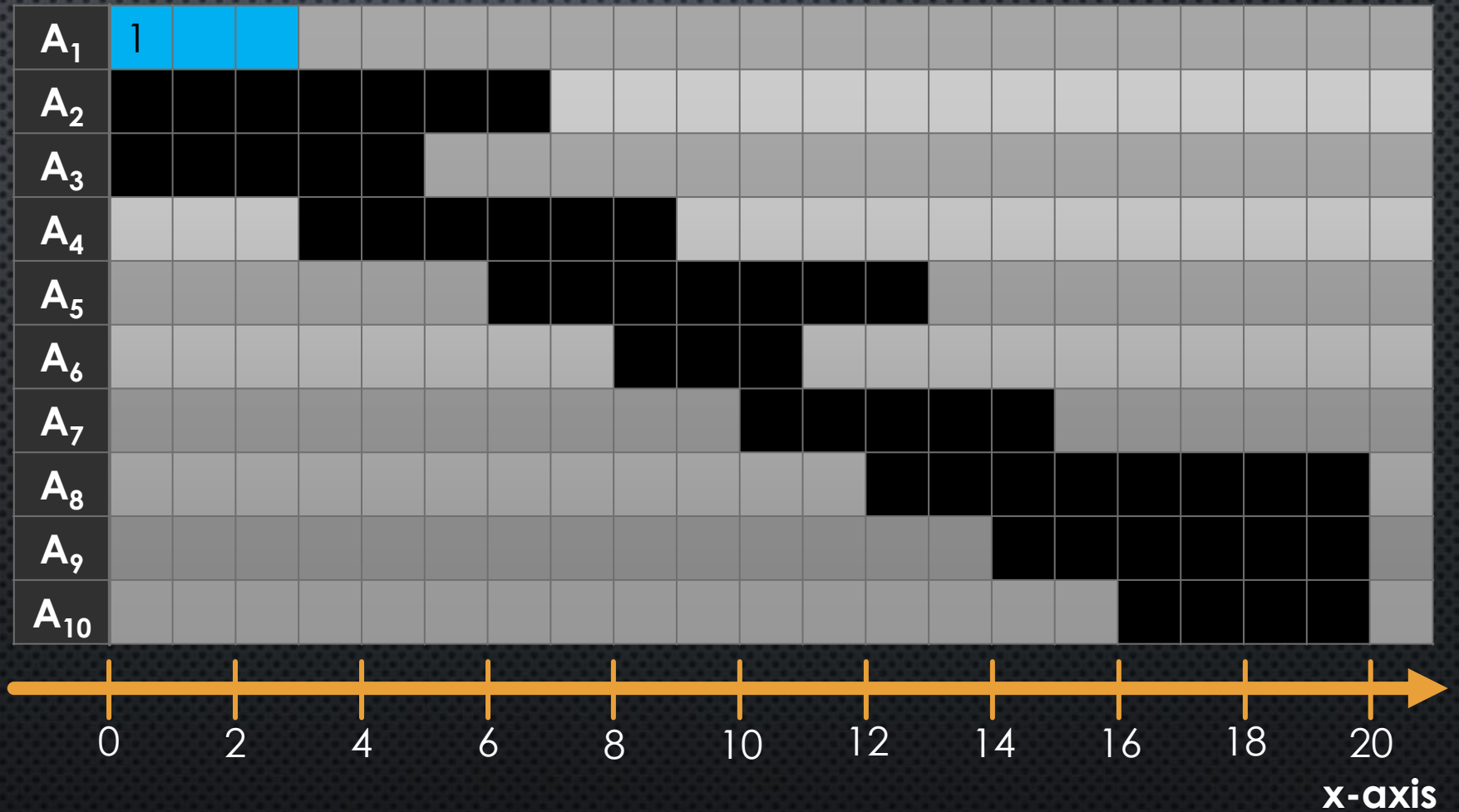


# EXAMPLE: ORDER MATTERS!

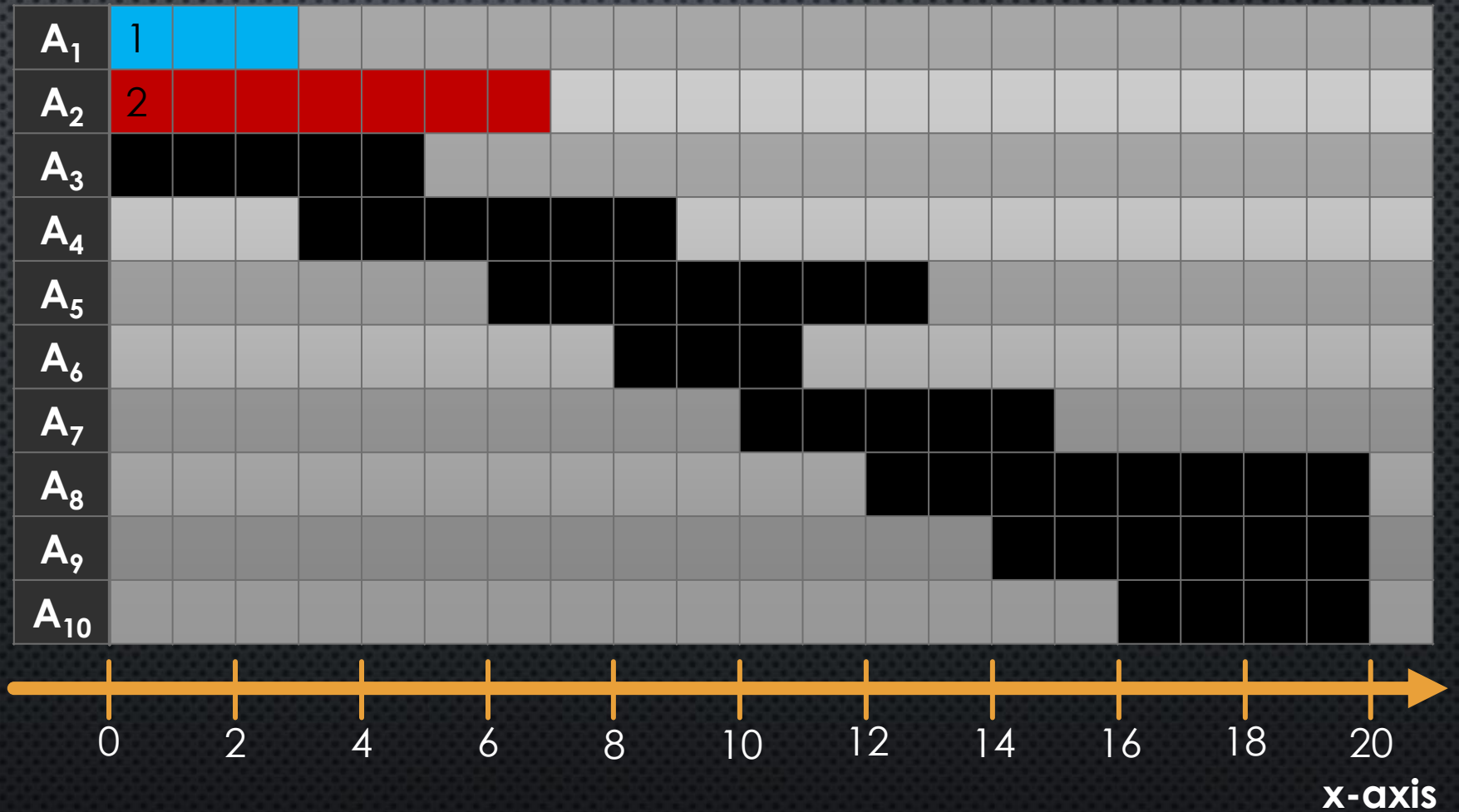
Pre-sort intervals by  
increasing start time!



EXAMPLE:  
ORDER  
MATTERS!

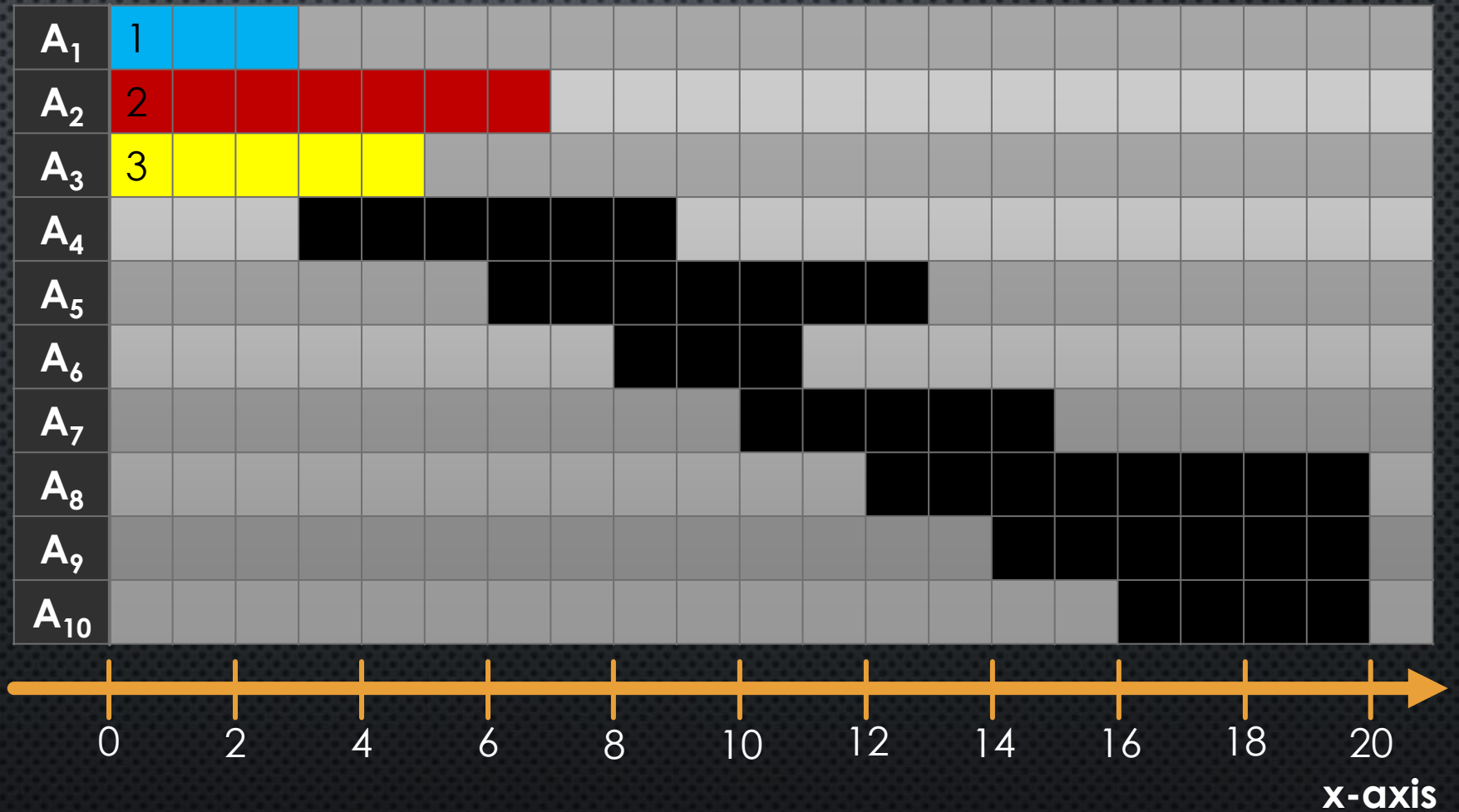


EXAMPLE:  
ORDER  
MATTERS!

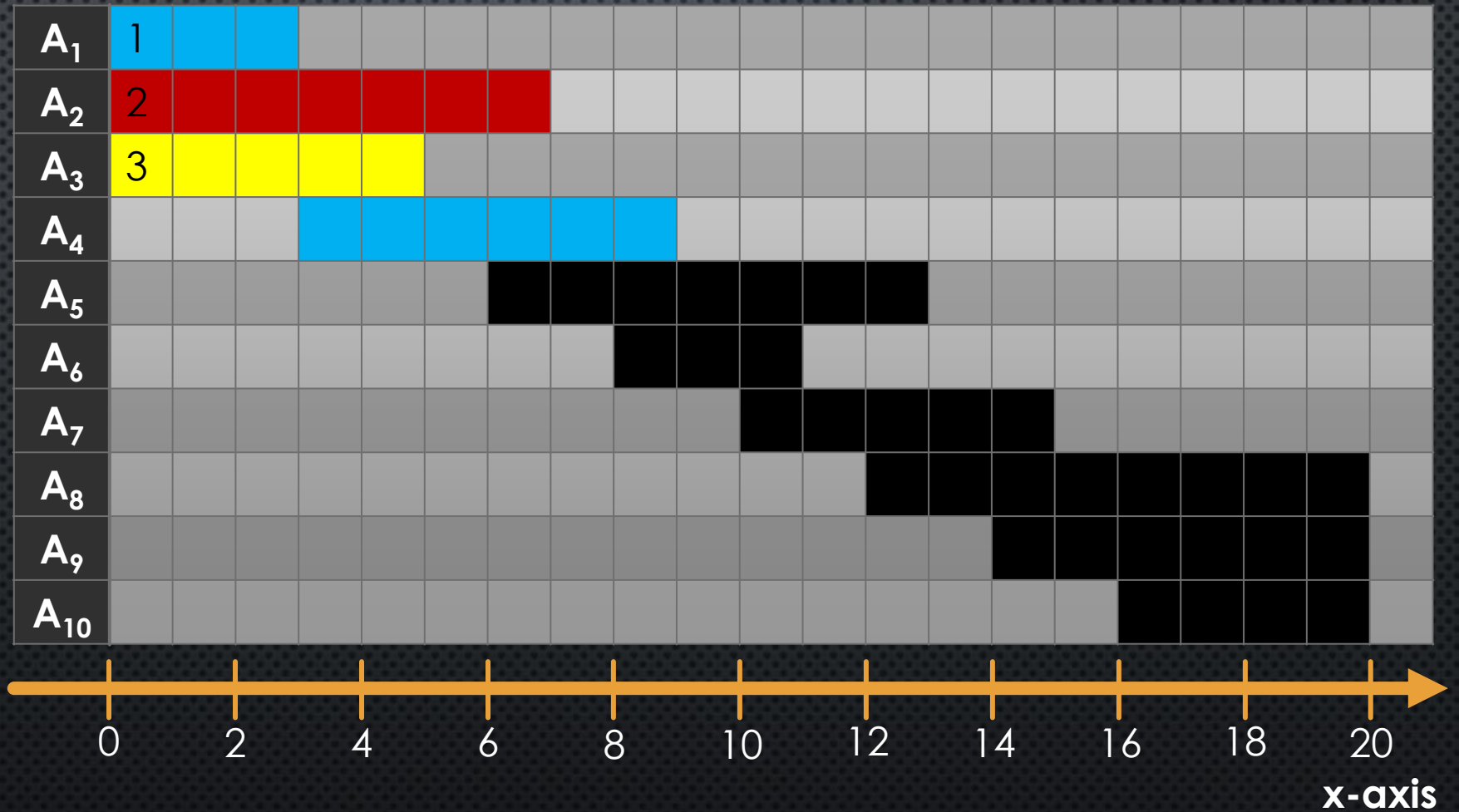




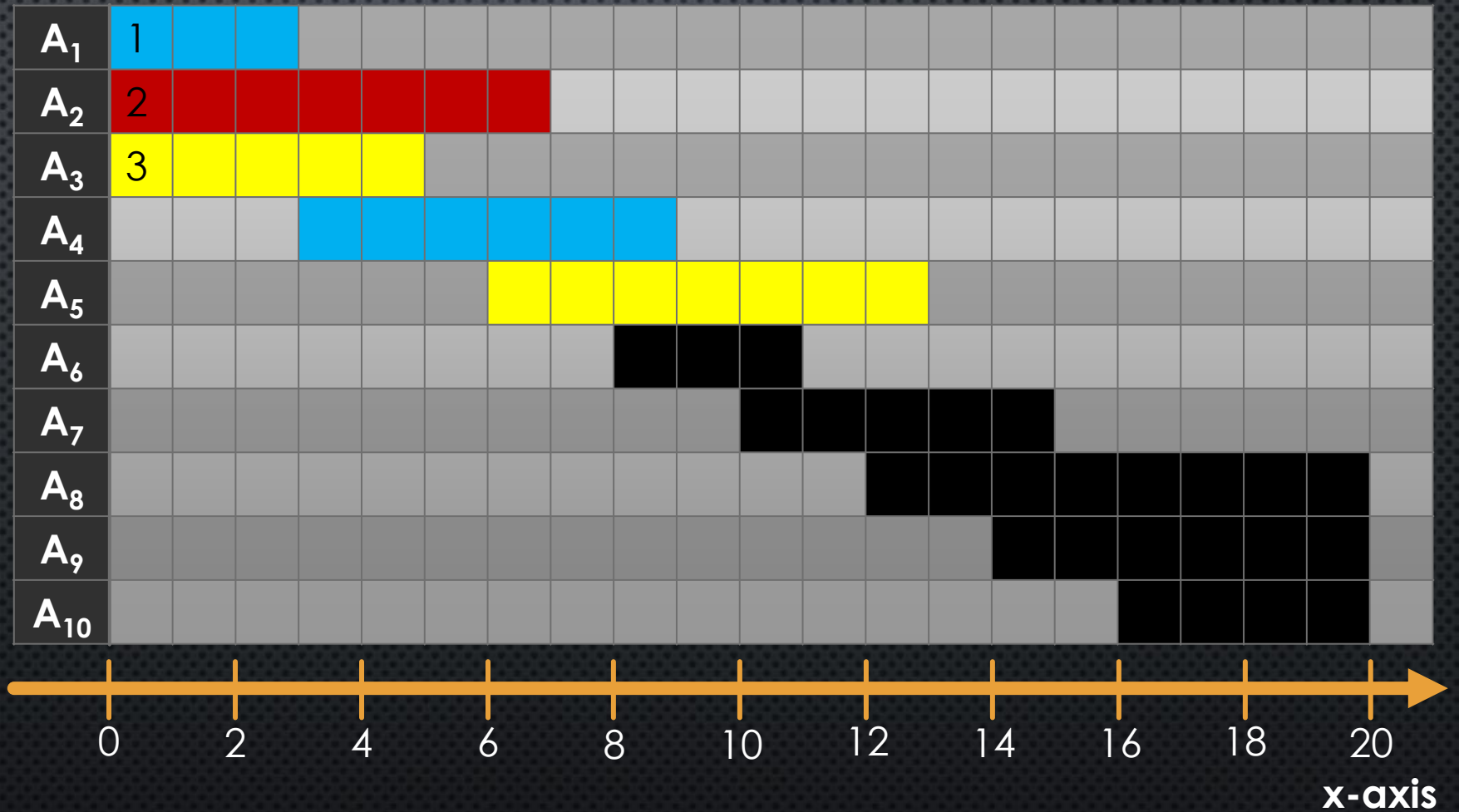
EXAMPLE:  
ORDER  
MATTERS!



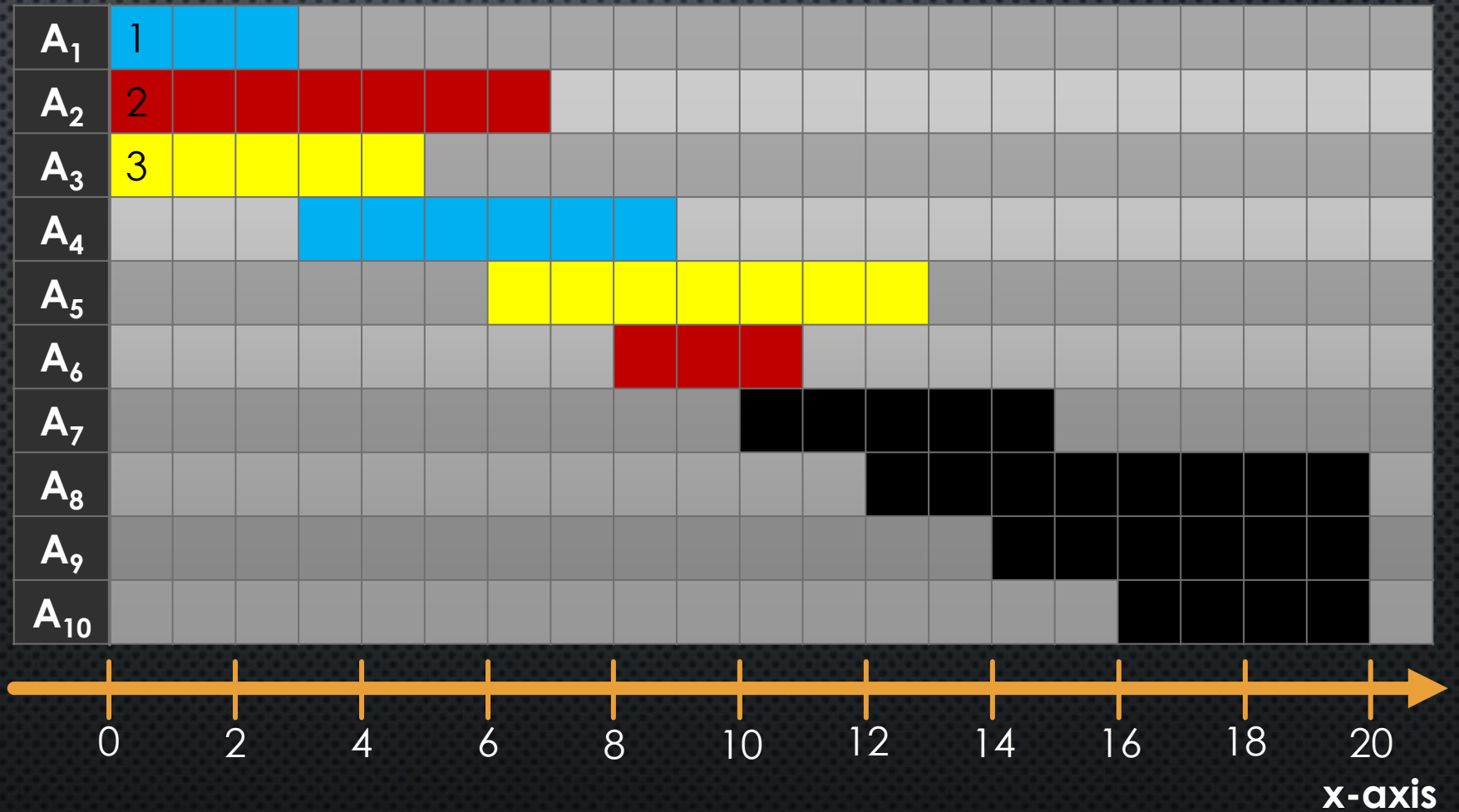
EXAMPLE:  
ORDER  
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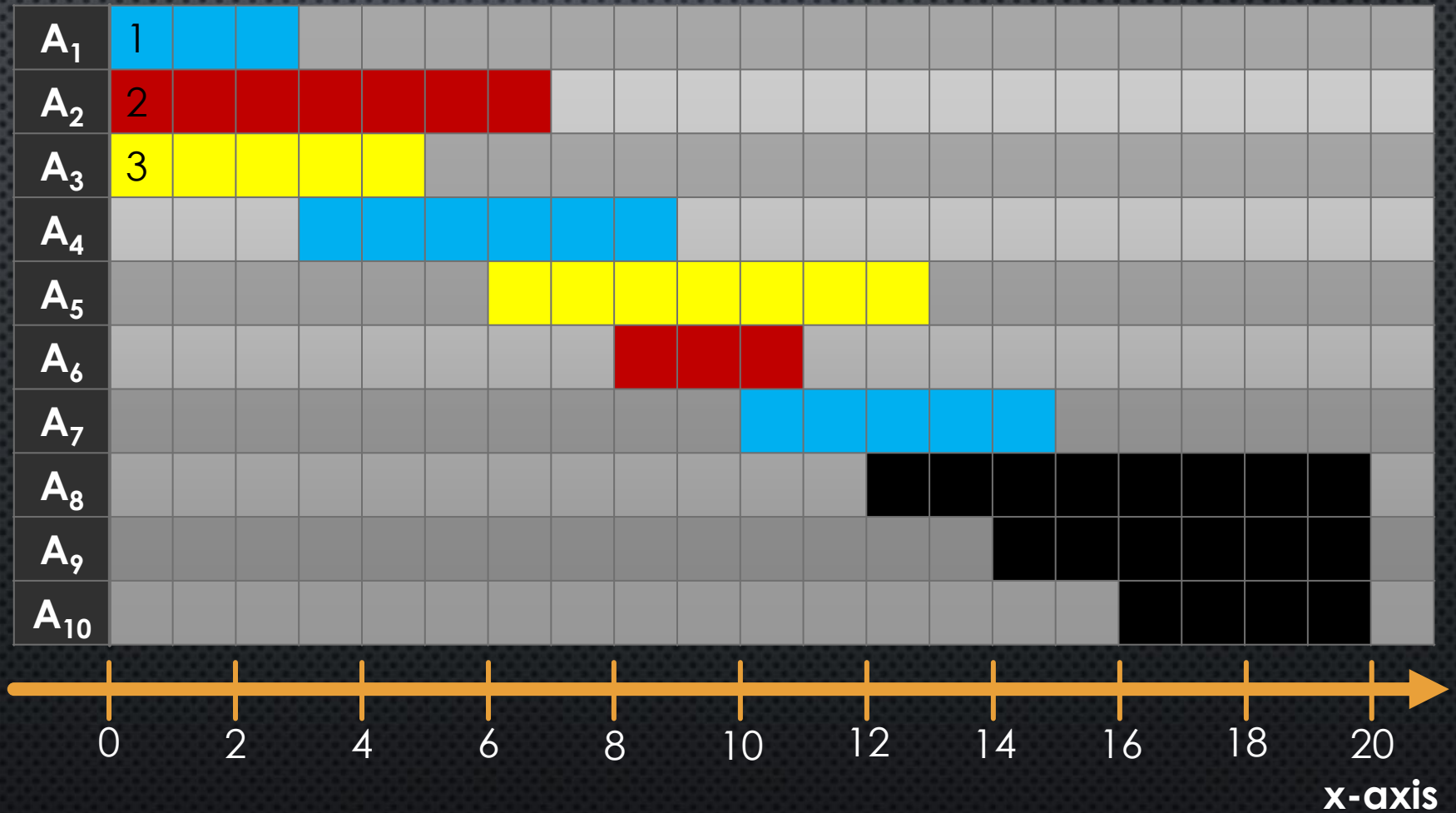
EXAMPLE:  
ORDER  
MATTERS!



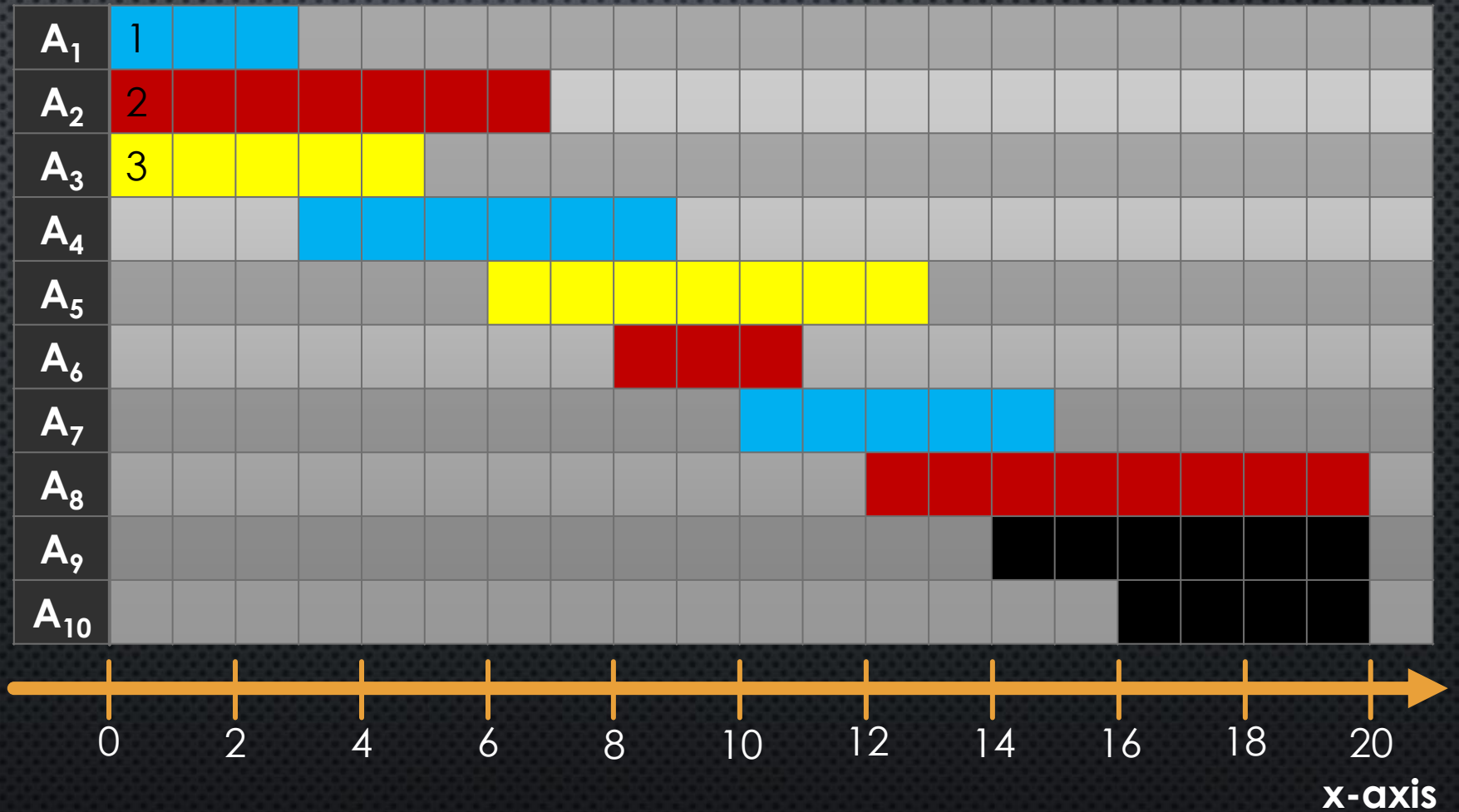
EXAMPLE:  
ORDER  
MATTERS!



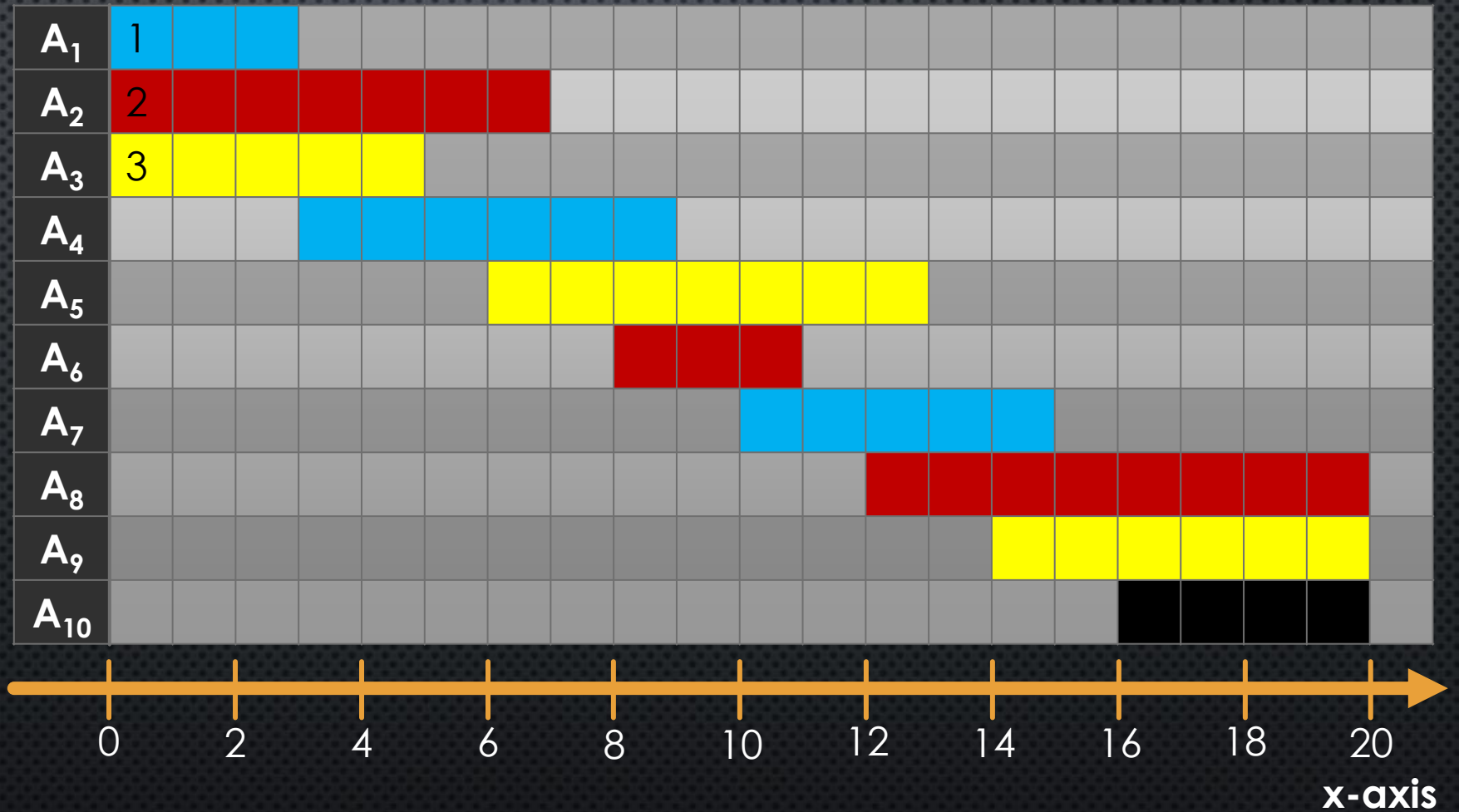
EXAMPLE:  
ORDER  
MATTERS!



EXAMPLE:  
ORDER  
MATTERS!



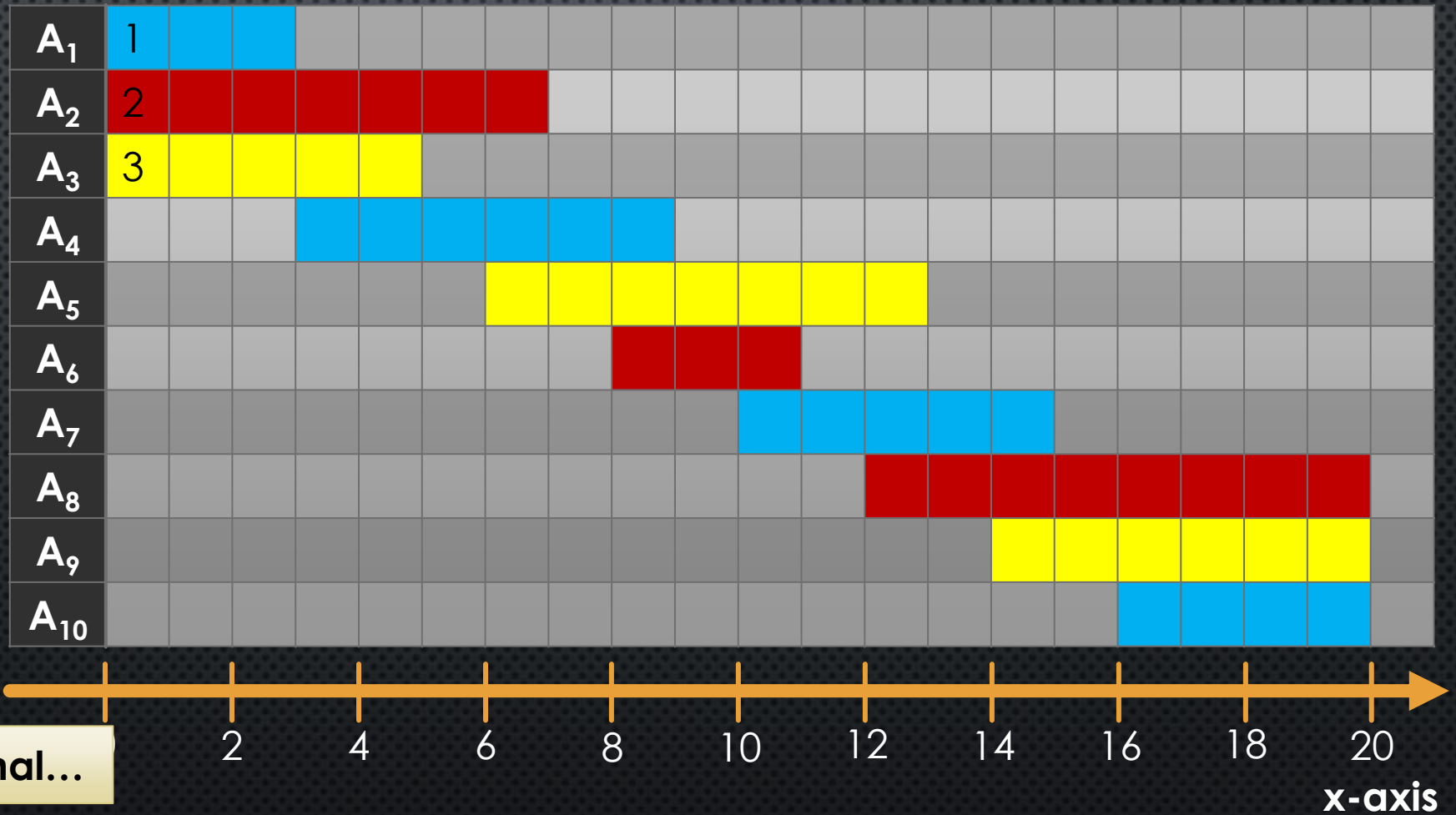
EXAMPLE:  
ORDER  
MATTERS!



# EXAMPLE: ORDER MATTERS!

Used **3** colours

Turns out to be optimal...





$d$  = # of colours used so far

```
1 Preprocess(A[1..n])
2   sort A by increasing start time
3   let s[1..n] be the start times in A
4   let f[1..n] be the finish times in A
5   return GreedyIntervalColouring(s, f)
6
7 GreedyIntervalColouring(s[1..n], f[1..n])
8   d = 1
9   colour[1] = 1
10  finish[1] = f[1]
11
12  for i = 2..n
13    reused = false
14    for c = 1..d
15      if finish[c] <= s[i] then
16        colour[i] = c
17        finish[c] = f[i]
18        reused = true
19        break
20    if not reused then
21      d++
22      colour[i] = d
23      finish[d] = f[i]
24
25  return d
```

$finish[c]$  = finish time of **last** interval to receive colour  $c$

Interval 1 gets colour 1

For each interval  $A_i$ ,  
**search** for an appropriate colour  $c$

Check if we can reuse any colour  $c$  in 1.. $d$

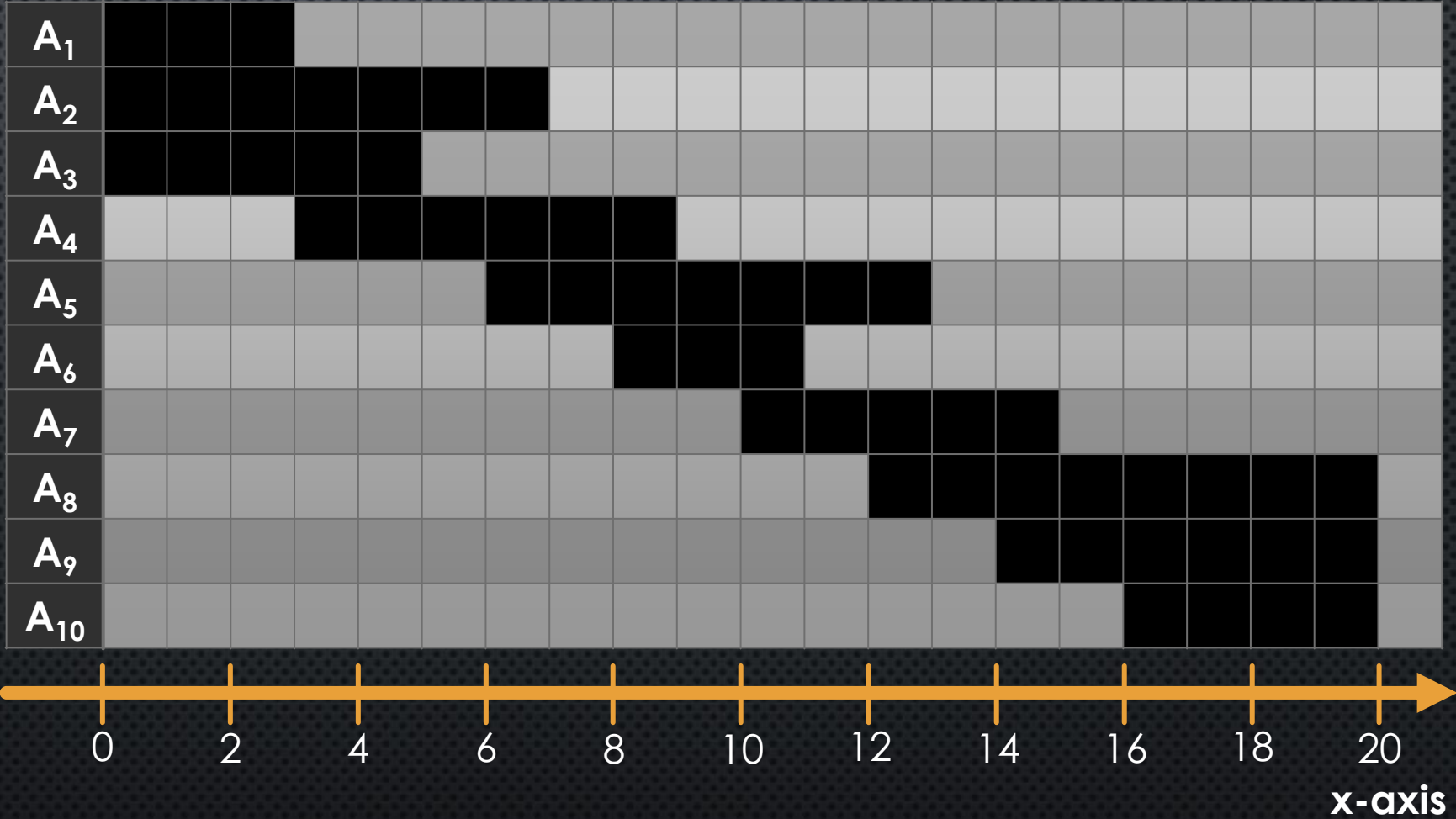
Consider interval  $A_i = (s_i, f_i)$ .  
If  $s_i \geq finish[c]$ , then we can give  $A_i$  colour  $c$  without breaking feasibility

we **reused** a colour

If we didn't reuse a colour, use a **new colour**

Initial state

# EXAMPLE: RUNNING GREEDY



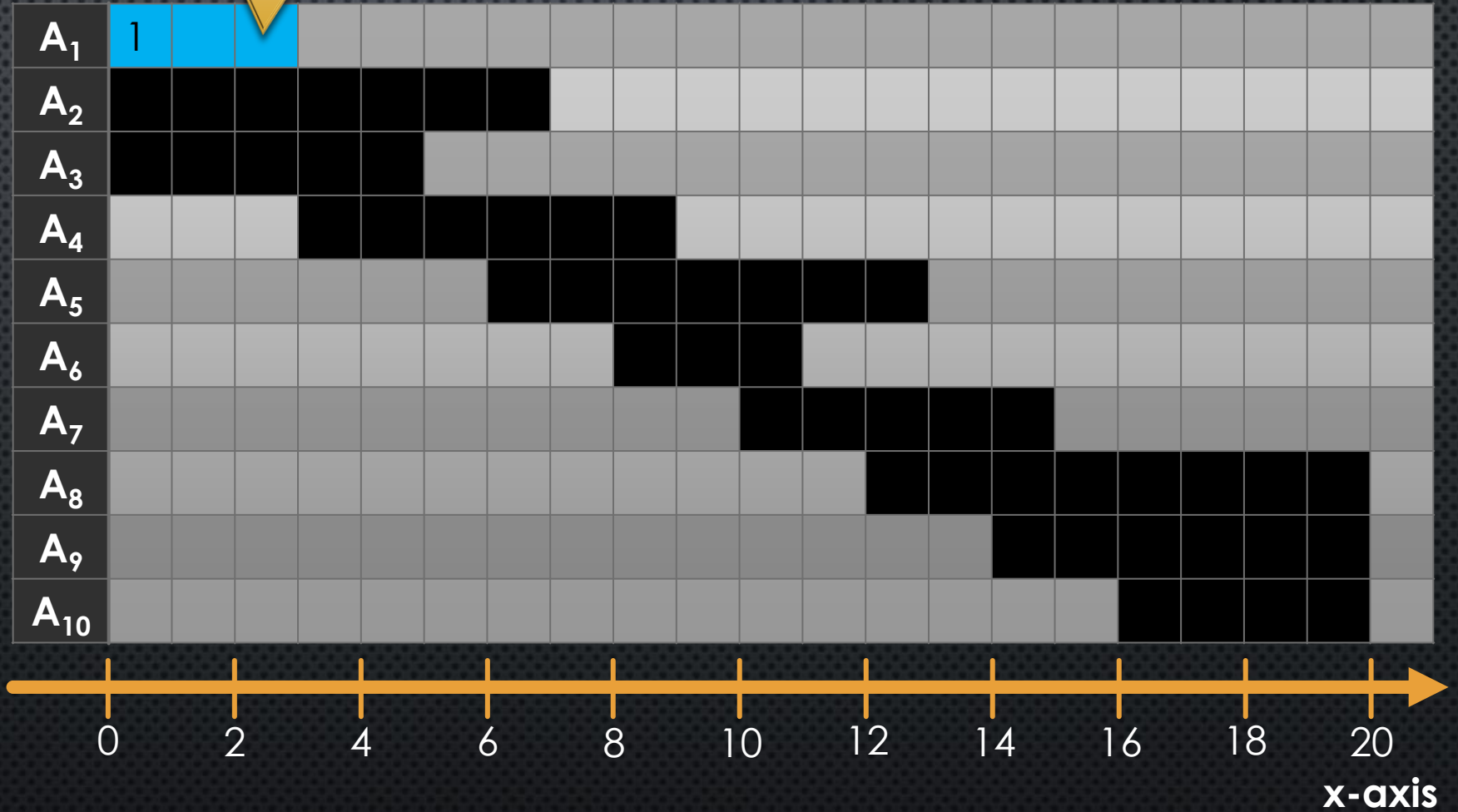
$i=1$

$d=1$

$finish[1]=$

Code **before** the loop: just assign colour 1

# EXAMPLE: RUNNING GREEDY



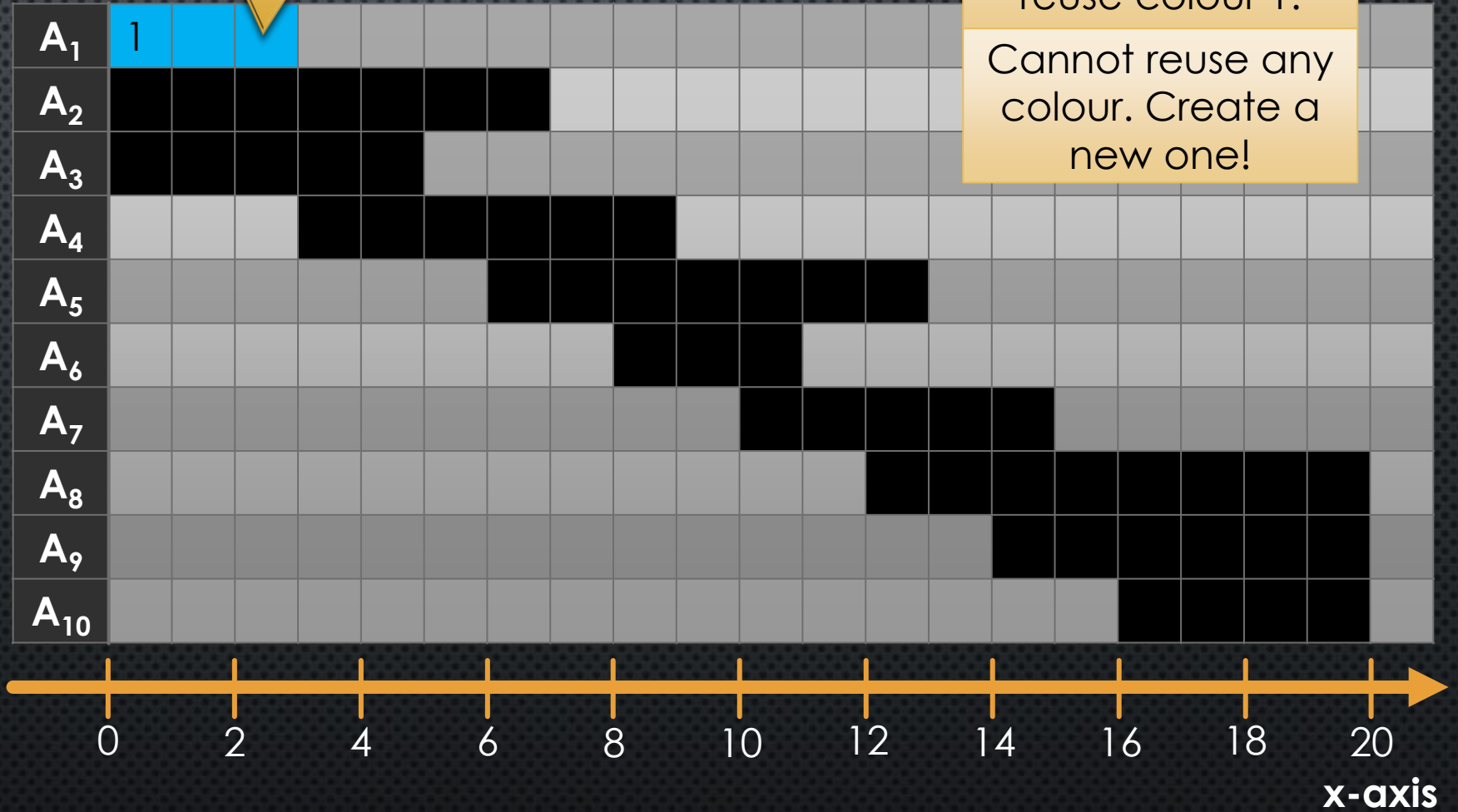
$i=2$

$d=2$

$finish[1]=$

While loop over  $c$ .  
Check if we can  
reuse a color in  $1..d$

# EXAMPLE: RUNNING GREEDY



Is  $finish[1] \leq s_2$ ?

No. We cannot  
reuse colour 1.

Cannot reuse any  
colour. Create a  
new one!

$i=2$

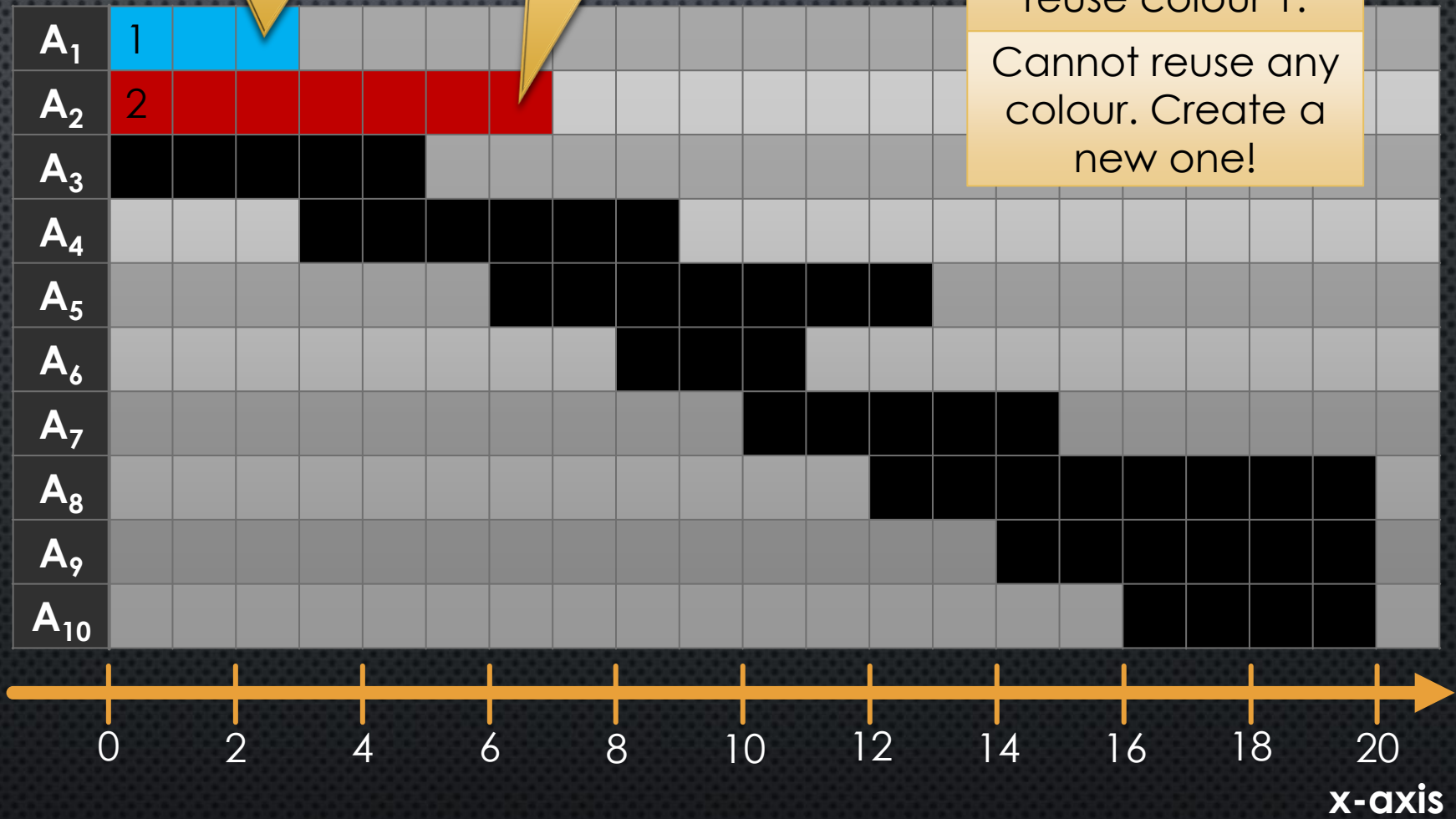
$d=2$

$finish[1]=$

$finish[2]=$

While loop over  $c$ .  
Check if we can  
reuse a color in  $1..d$

# EXAMPLE: RUNNING GREEDY



Is  $finish[1] \leq s_2$ ?  
No. We cannot  
reuse colour 1.  
Cannot reuse any  
colour. Create a  
new one!

$i=3$

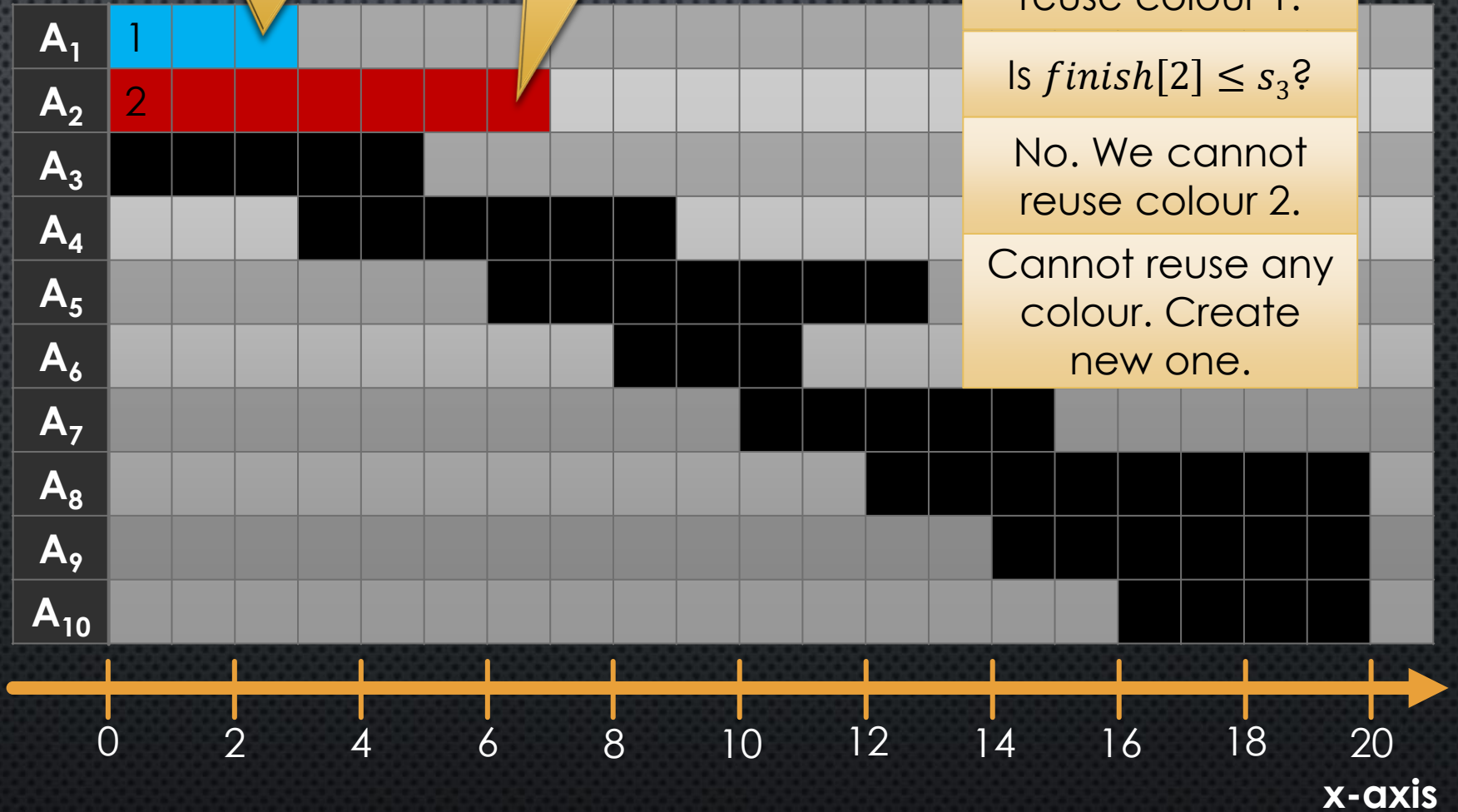
$d=2$

$finish[1]=$

$finish[2]=$

While loop over  $c$ .  
Check if we can  
reuse a color in  $1..d$

# EXAMPLE: RUNNING GREEDY



$i=3$

$d=3$

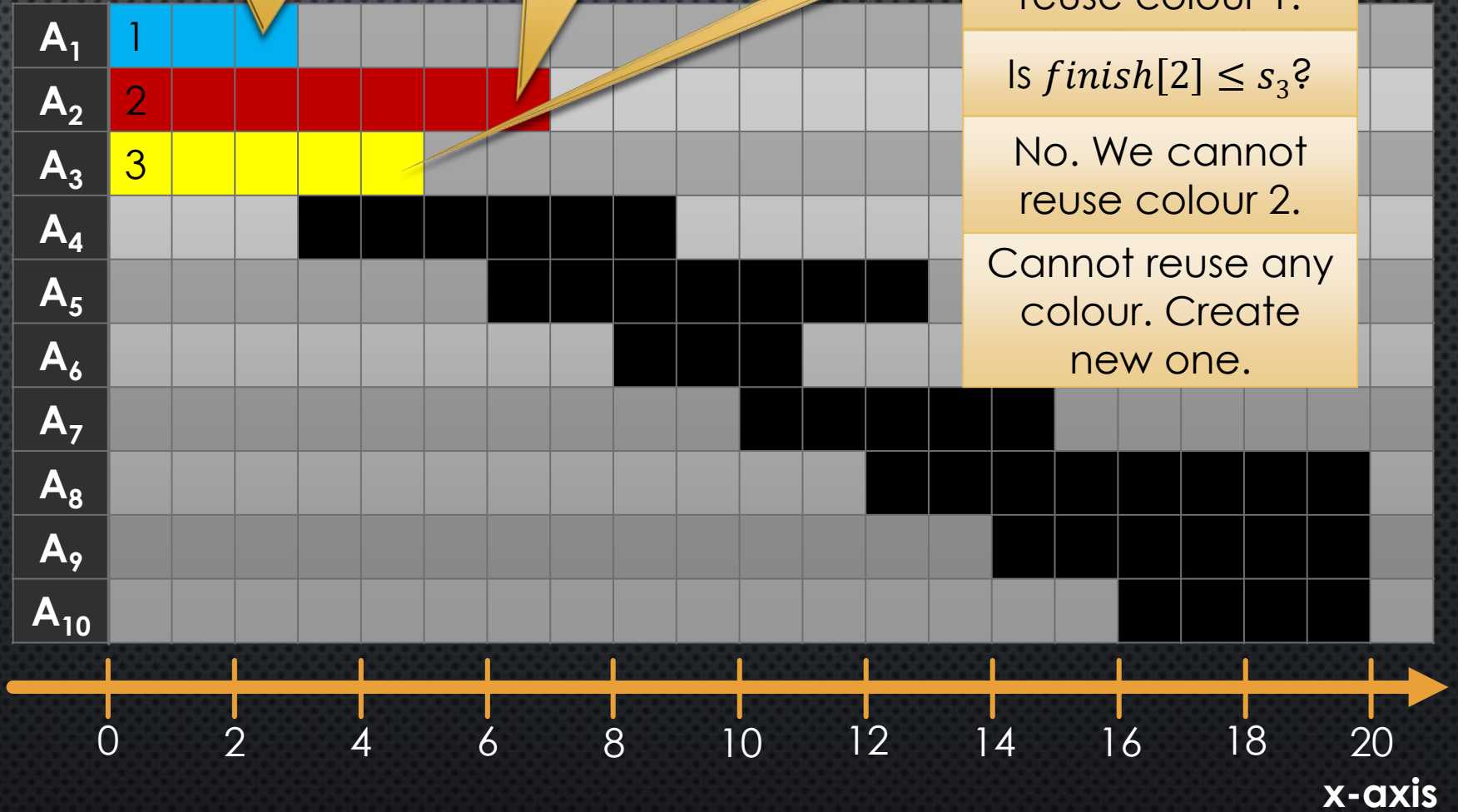
$finish[1]=$

$finish[2]=$

$finish[3]=$

While loop over  $c$ .  
Check if we can  
reuse a color in  $1..d$

# EXAMPLE: RUNNING GREEDY



Is  $finish[1] \leq s_3$ ?

No. We cannot  
reuse colour 1.

Is  $finish[2] \leq s_3$ ?

No. We cannot  
reuse colour 2.

Cannot reuse any  
colour. Create  
new one.

i=4

d=3

finish[1]=

finish[2]=

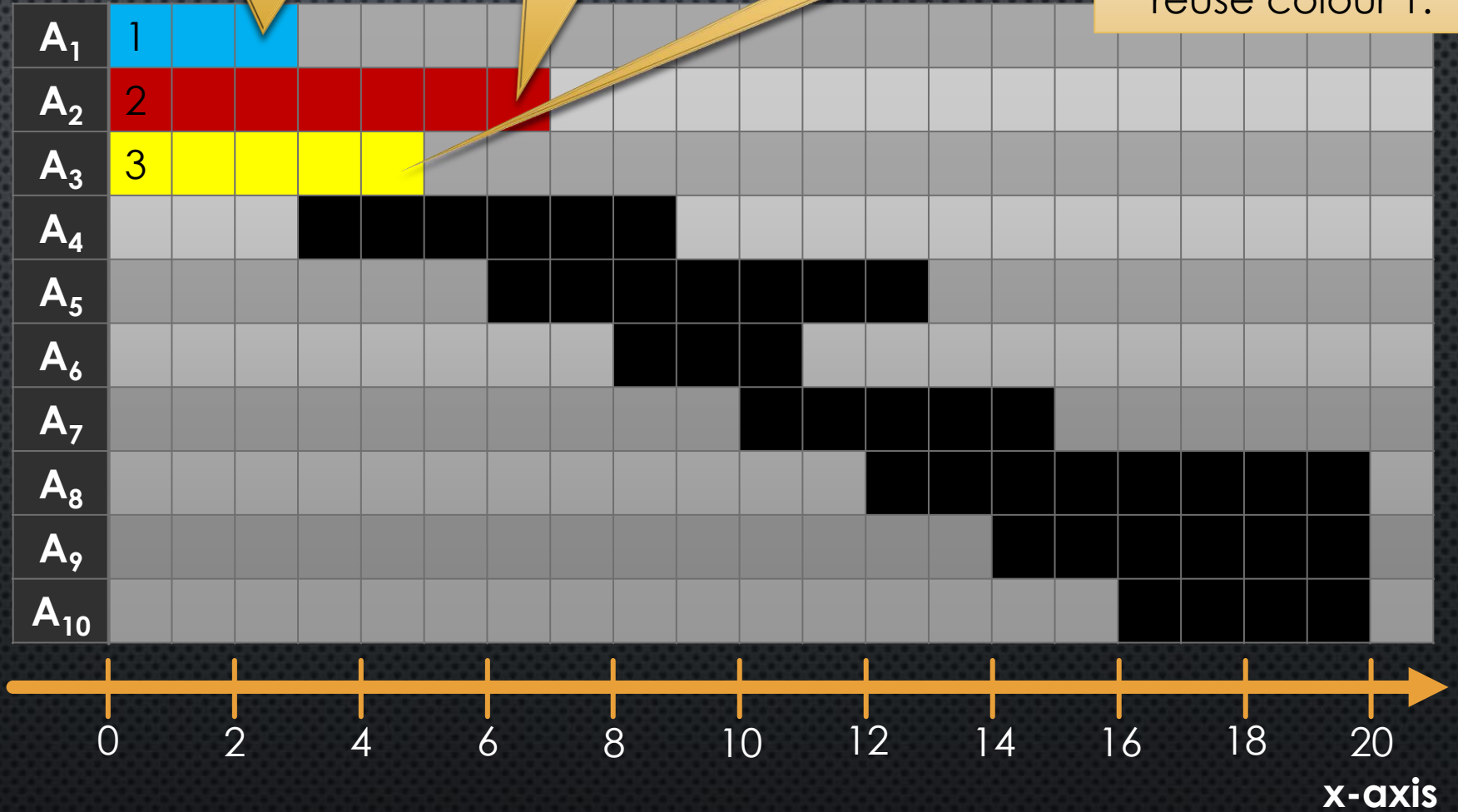
finish[3]=

Is  $finish[1] \leq s_4$ ?

Yes. We **can** reuse colour 1.

While loop over **c**.  
Check if we can reuse a color in **1..d**

# EXAMPLE: RUNNING GREEDY





$i=4$

$d=3$

$finish[1]=$

$finish[2]=$

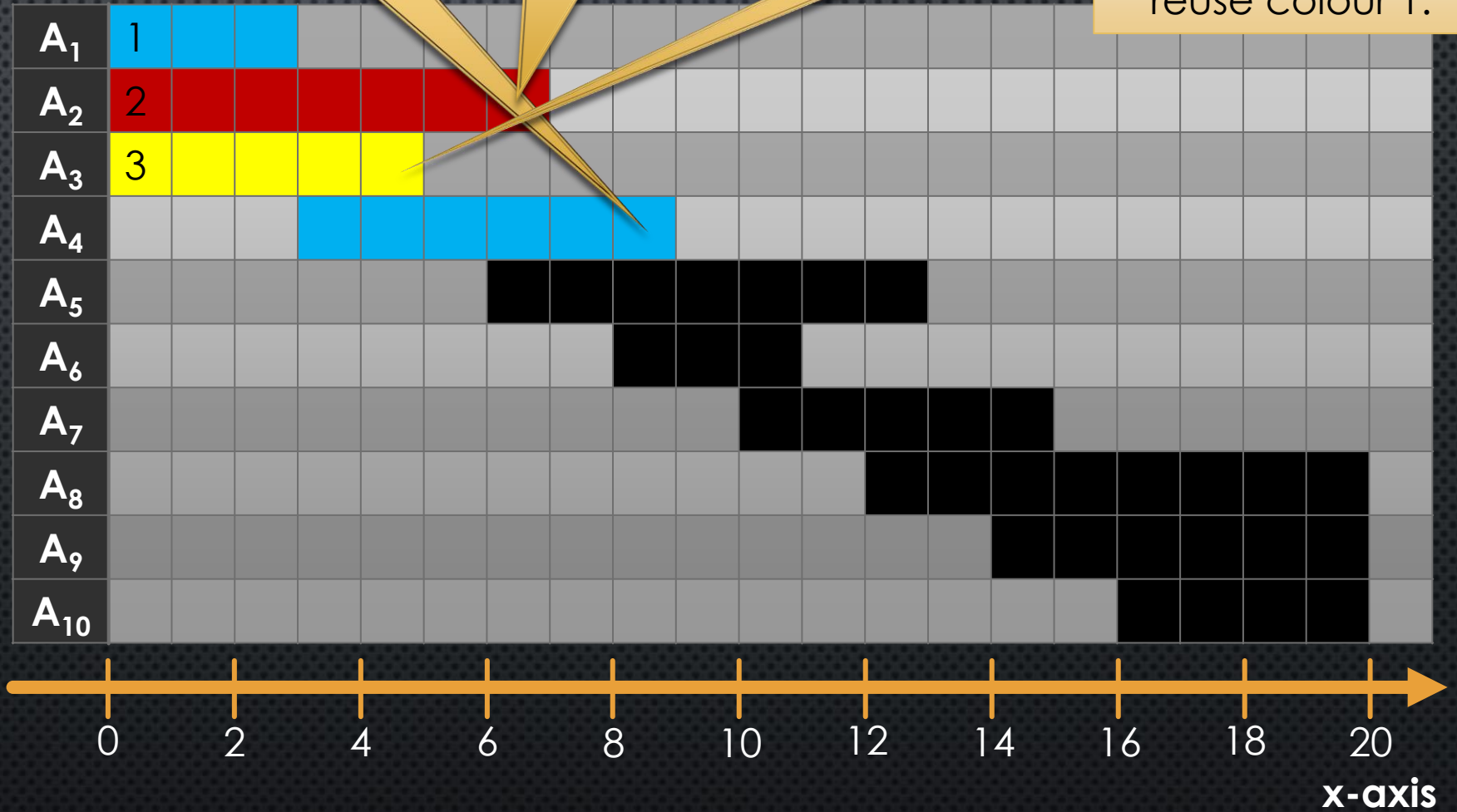
$finish[3]=$

Is  $finish[1] \leq s_4$ ?

Yes. We **can** reuse colour 1.

While loop over **c**.  
Check if we can reuse a color in **1..d**

# EXAMPLE: RUNNING GREEDY



i=5

d=3

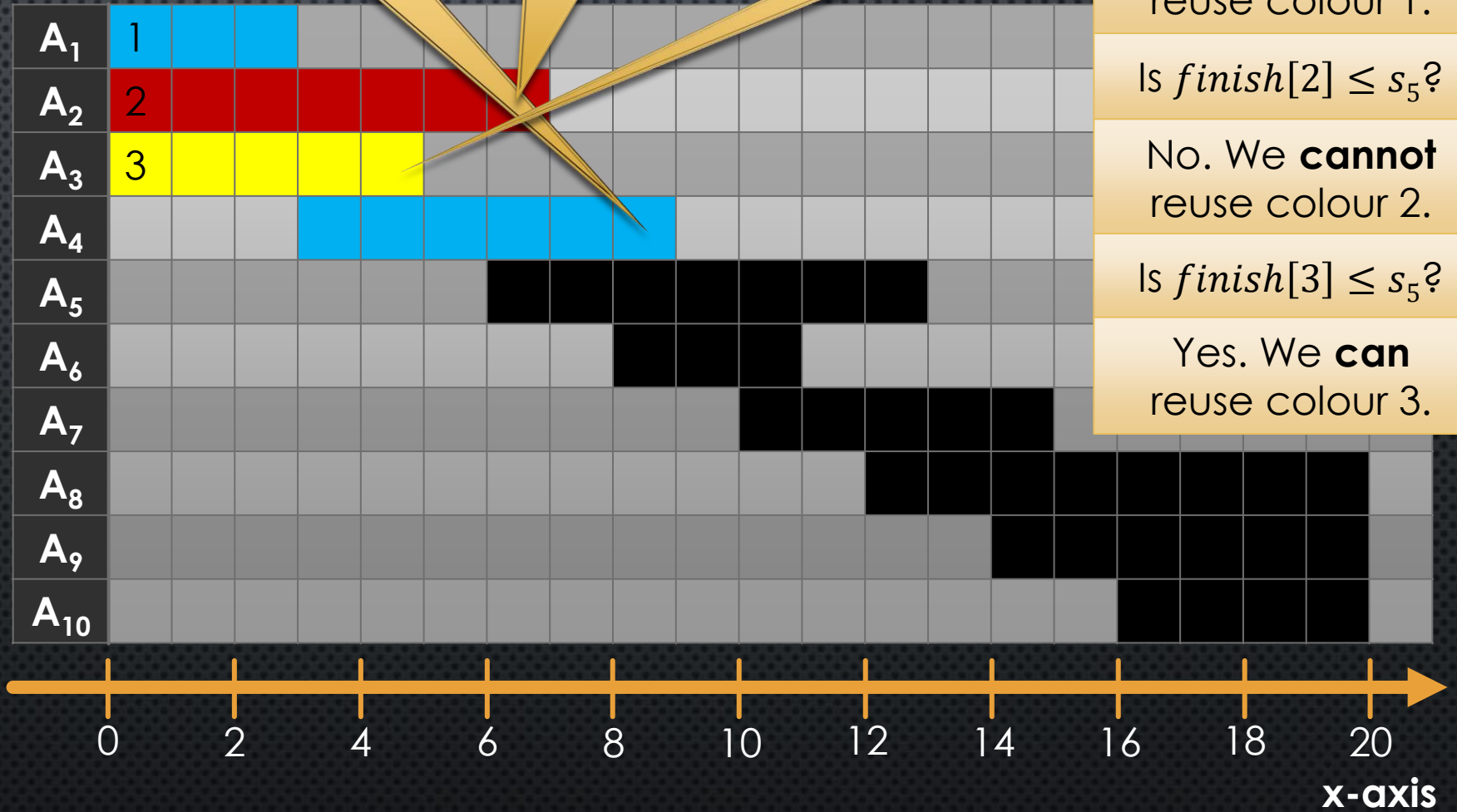
finish[1]=

finish[2]=

finish[3]=

While loop over **c**.  
Check if we can  
reuse a color in **1..d**

# EXAMPLE: RUNNING GREEDY



$i=5$

$d=3$

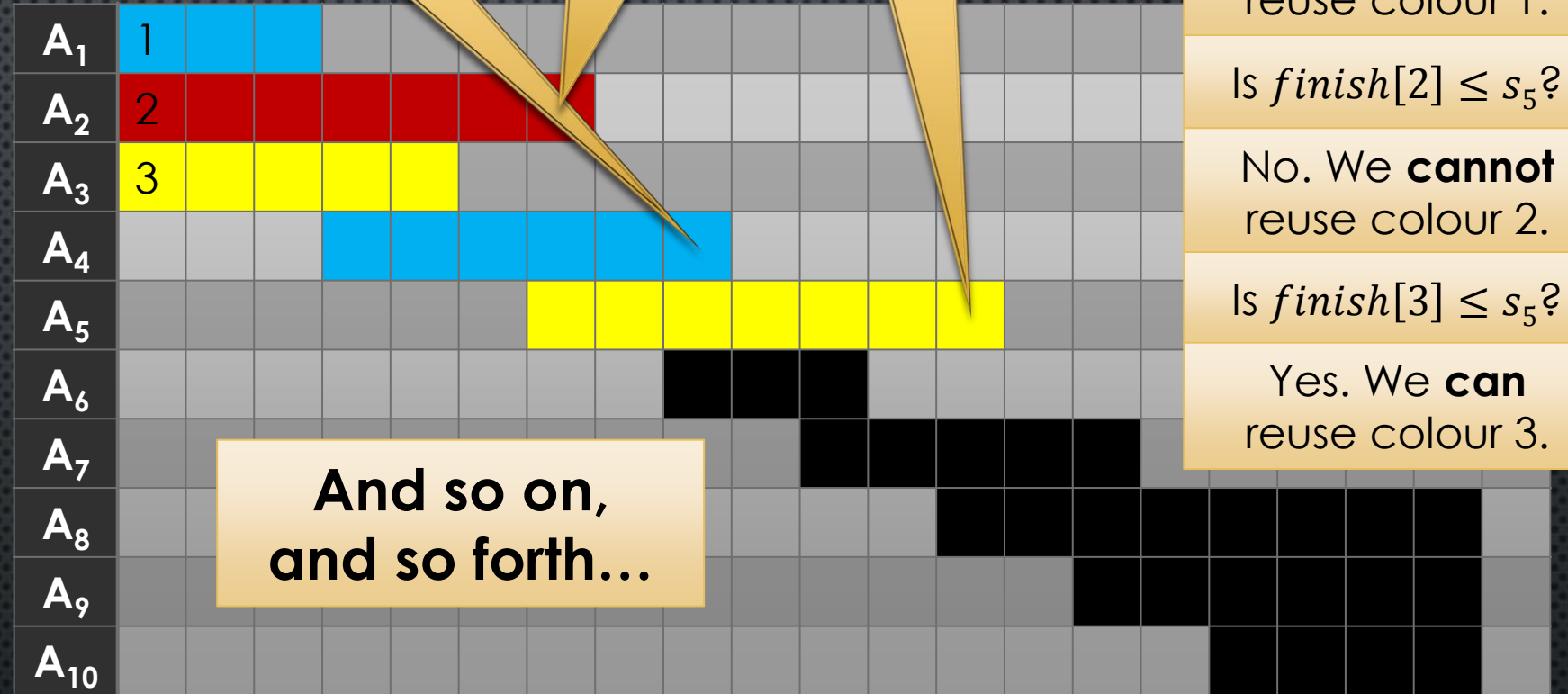
$finish[1]=$

$finish[2]=$

$finish[3]=$

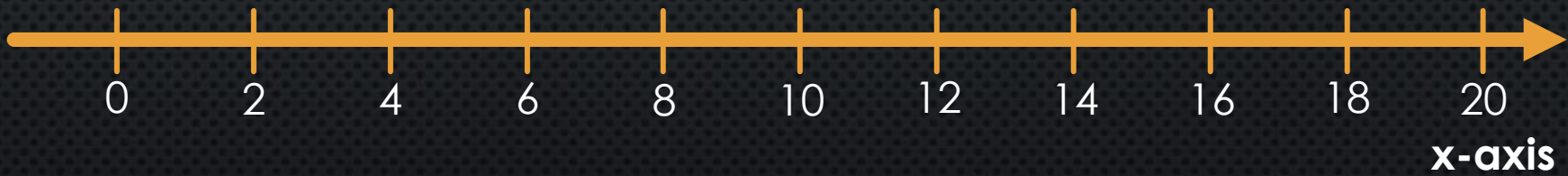
While loop over  $c$ .  
Check if we can  
reuse a color in  $1..d$

# EXAMPLE: RUNNING GREEDY



And so on,  
and so forth...

- Is  $finish[1] \leq s_5$ ?
- No. We **cannot** reuse colour 1.
- Is  $finish[2] \leq s_5$ ?
- No. We **cannot** reuse colour 2.
- Is  $finish[3] \leq s_5$ ?
- Yes. We **can** reuse colour 3.



# Correctness of the Algorithm

The correctness of this greedy algorithm can be proven inductively as well as by a “slick” method—we give the “slick” proof:

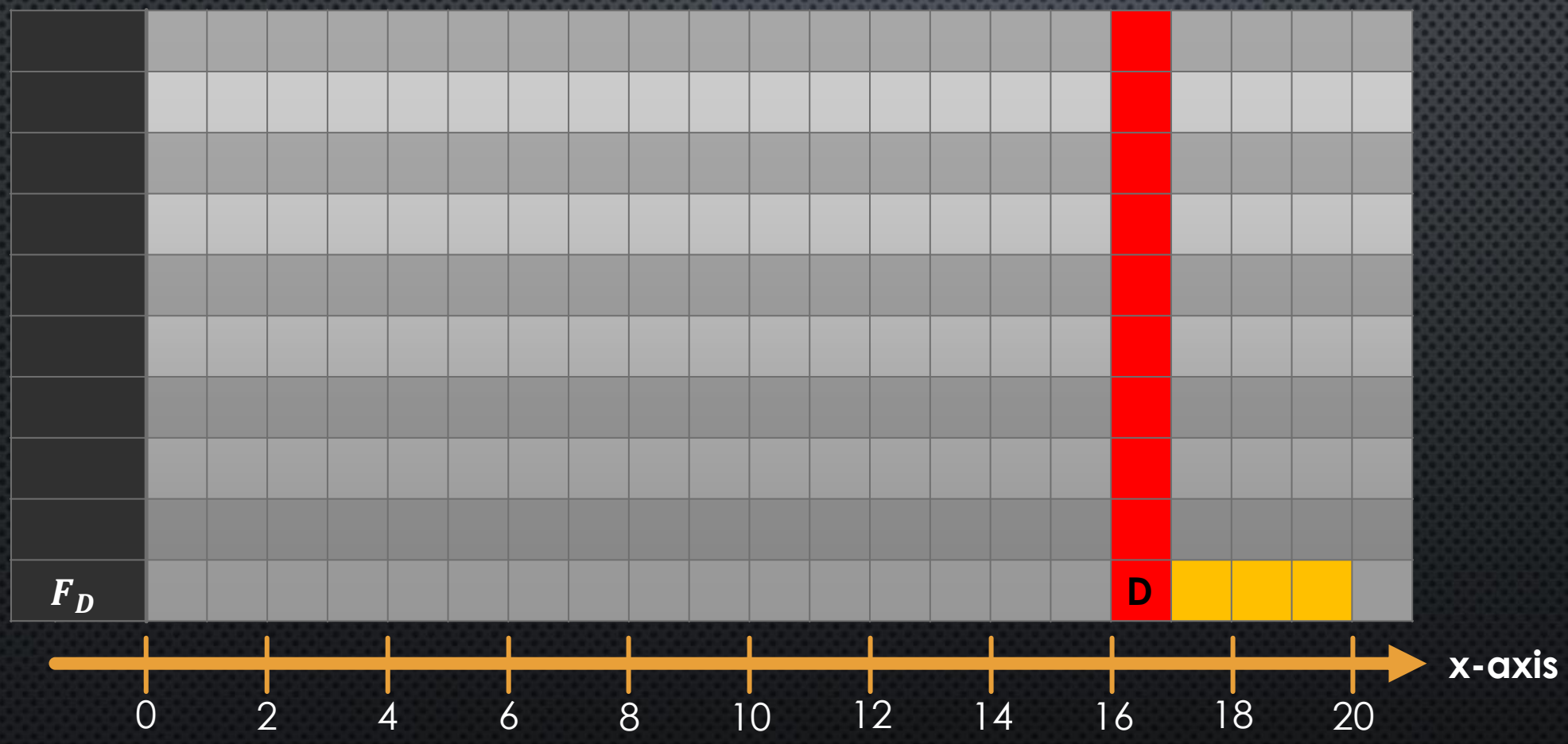
Let  $D$  denote the number of colours used by the algorithm.

Let  $F_D$  be the **first** interval that has **colour D**



Let  $F_D$  be the **first** interval that has **colour D**

We prove  $F_D$  **overlaps D-1 other intervals at a single point in time**



Let  $F_D$  be the **first** interval that has **colour  $D$**

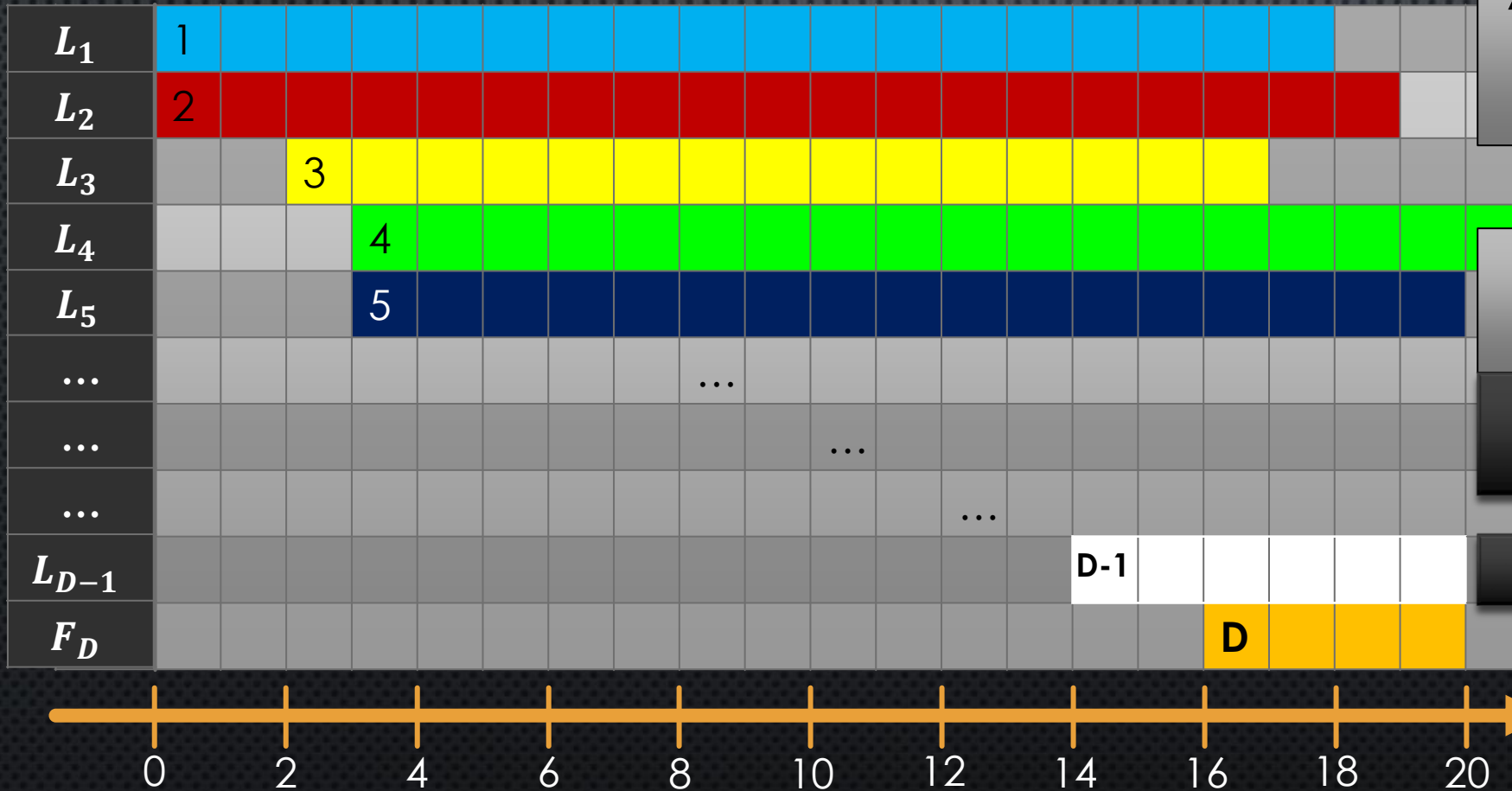
Let  $L_c$  be the **last** interval that has **colour  $c$**  and **starts before  $F_D$**

We prove **start[ $F_D$ ]** is properly contained in every such interval  $L_c$

Let's argue this for  $L_1$

Note  $L_1$  must exist  
(otherwise greedy would just use colour 1 for  $F_D$ )

And  $finish[L_1]$  must be **after**  
**start[ $F_D$ ]** or colour 1 would  
be eligible for reuse!



Same argument applies to  
 $L_2, \dots, L_{D-1}$

So,  $F_D$  overlaps  $D - 1$  intervals  
at a single time start[ $F_D$ ]!

So, we **must** use  $D$  colours!

# TIME COMPLEXITY?

```
1 Preprocess(A[1..n])
2   sort A by increasing start time
3   let s[1..n] be the start times in A
4   let f[1..n] be the finish times in A
5   return GreedyIntervalColouring(s, f)
6
7 GreedyIntervalColouring(s[1..n], f[1..n])
8   d = 1
9   colour[1] = 1
10  finish[1] = f[1]
11
12  for i = 2..n
13    reused = false
14    for c = 1..d
15      if finish[c] <= s[i] then
16        colour[i] = c
17        finish[c] = f[i]
18        reused = true
19        break
20    if not reused then
21      d++
22      colour[i] = d
23      finish[d] = f[i]
24
25  return d
```

$O(n \log n)$

$O(n)$  iterations

$O(d)$  iterations...

Total  $O(n \log n + nd)$

Could be  $O(n \log n)$  if only a constant number of colours are needed (or even  $\log n$  colours!)

Could be  $O(n^2)$  if  $n$  colours are needed

Most accurate complexity statement is  $\Theta(n \log n + nD)$  where  $D$  is # colours used

What **inefficiencies** exist in this algorithm?  
Could we make it faster with clever data structure usage?

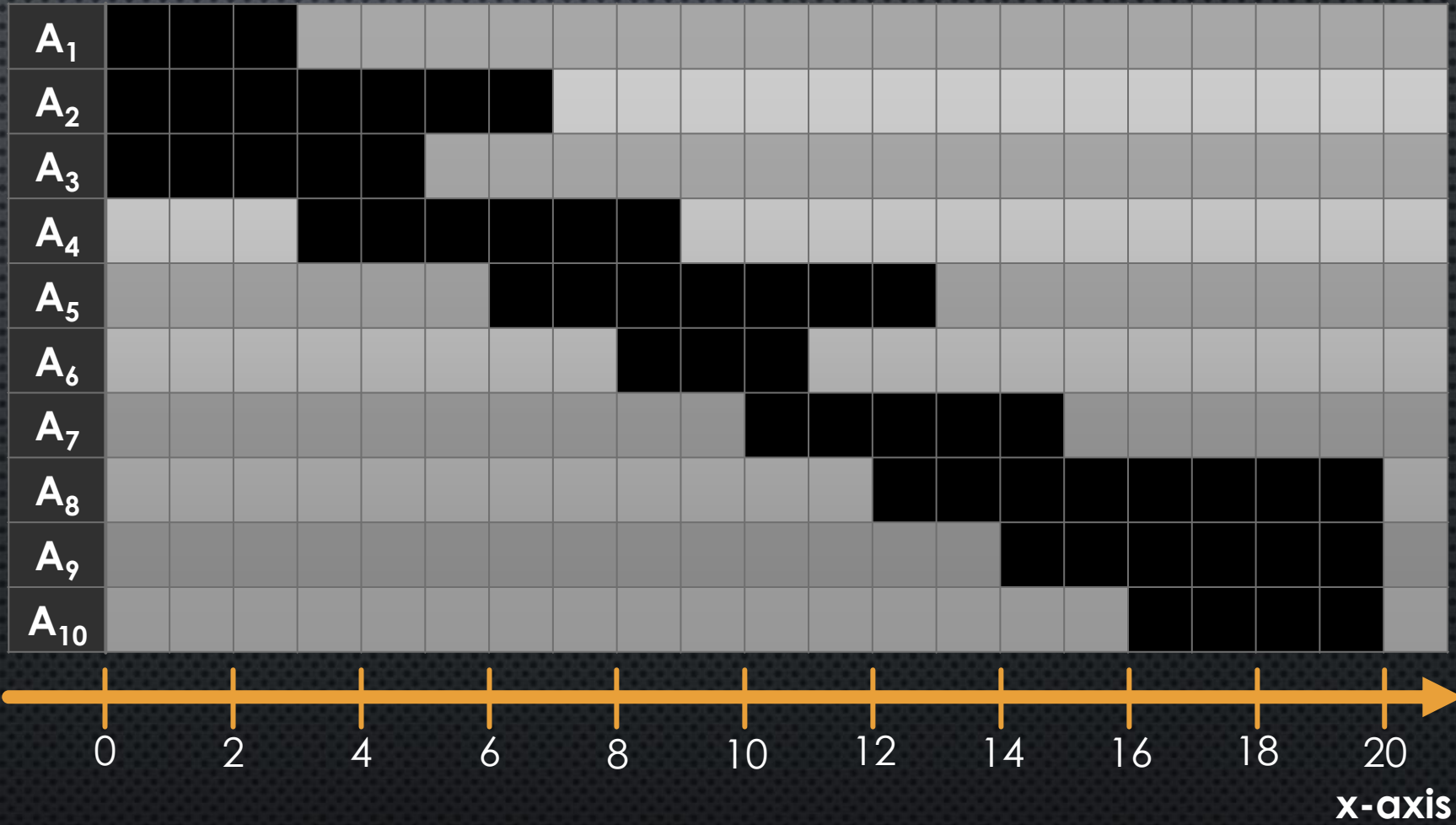


# IMPROVING THIS ALGORITHM

- Current greedy algorithm:
  - For each interval  $A_i$ , compare its start time  $s_i$  with the *finish*[ $c$ ] times of all colours introduced so-far
  - Why? Looking for some *finish*[ $c$ ] time that is earlier than  $s_i$
- We are doing **linear search**... Can we do better?
- Use a priority queue to keep track of the **earliest** *finish*[ $c$ ] at all times in the algorithm
  - Then we only need to look at **minimum element**

# EXAMPLE: HEAP-BASED ALGORITHM

Initial state



Min element: NULL

Heap

# EXAMPLE: HEAP-BASED ALGORITHM

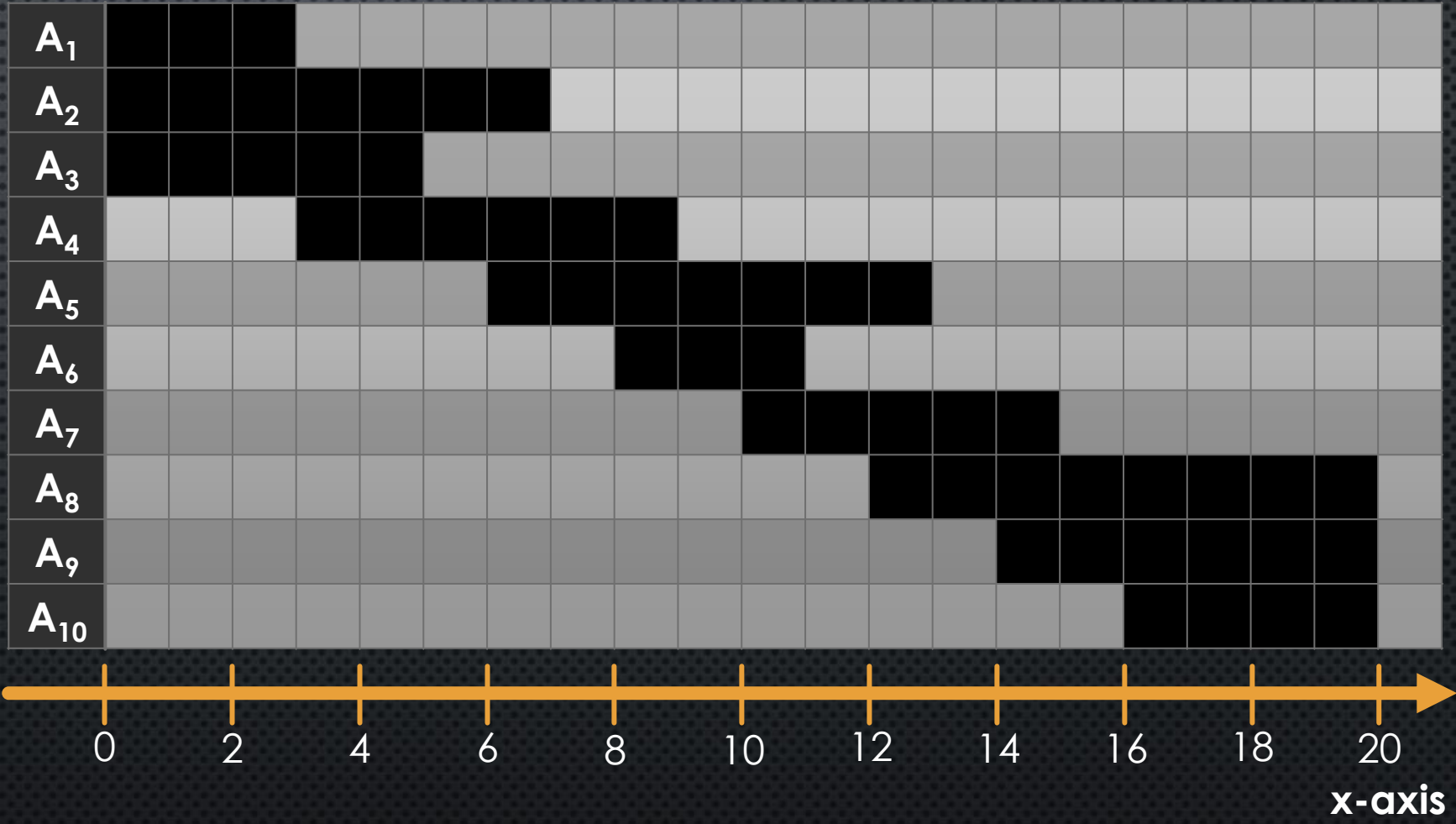
**Min element: NULL**

**Heap**

Iteration i=1

Check heap  
minimum

Empty, so a new  
colour is needed



# EXAMPLE: HEAP-BASED ALGORITHM

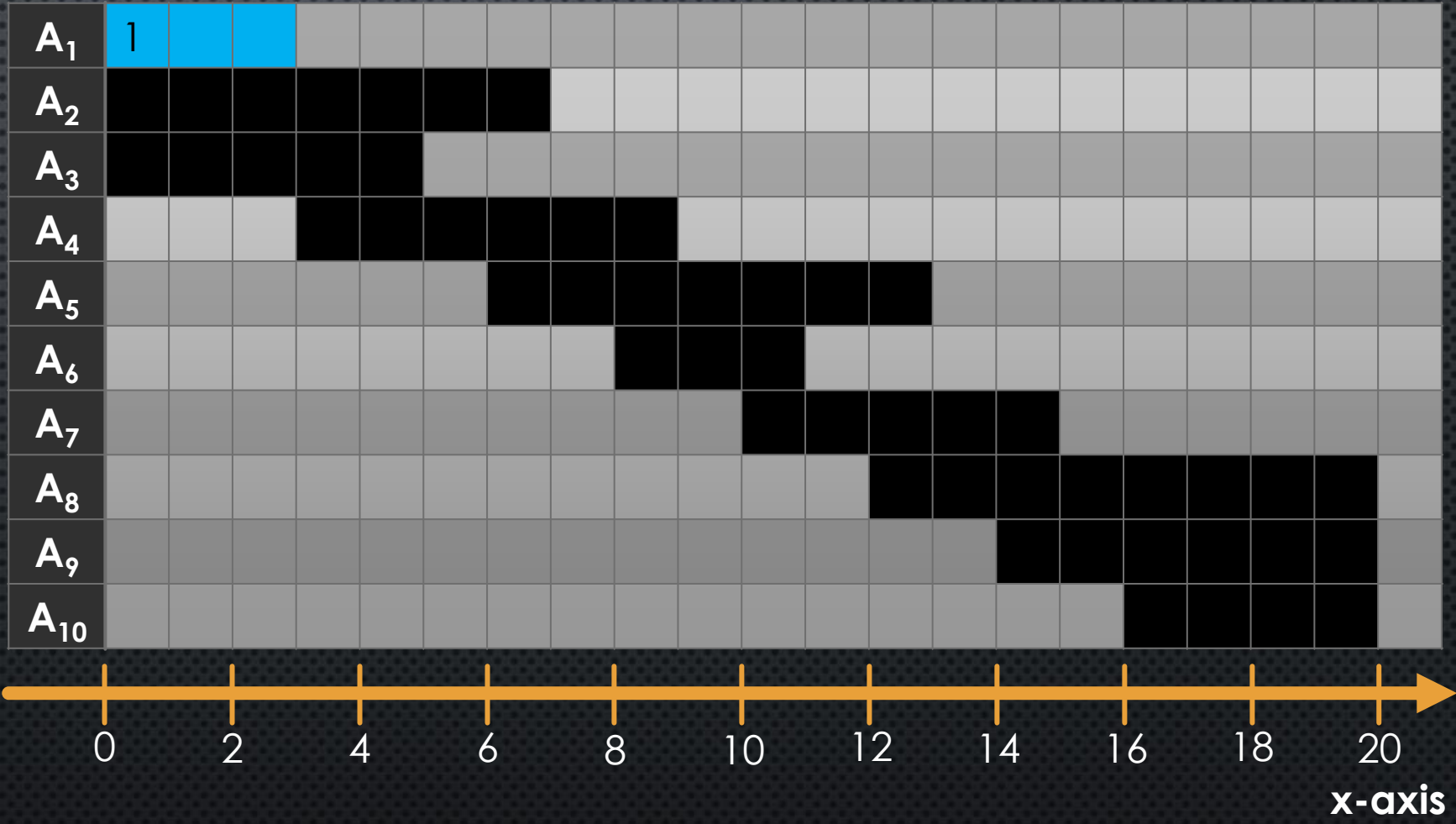
Min element: finish at time 3

Heap finish at time 3

Iteration i=1

Check heap minimum

Empty, so a new colour is needed

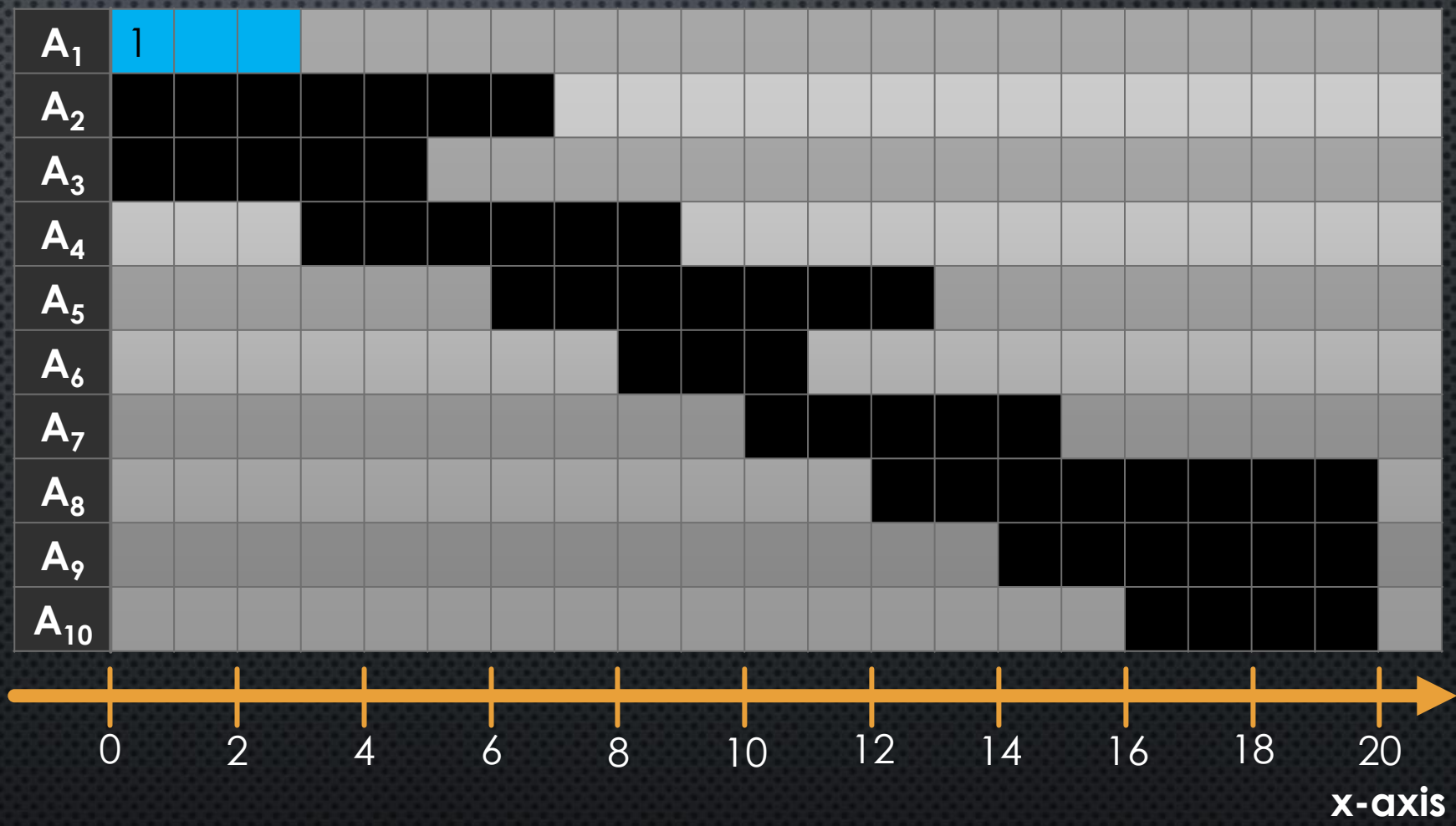


# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 3

Heap finish at time 3

Iteration  $i=2$       Check heap minimum      Check if finish time 3 is before  $s_2$       No. New colour!



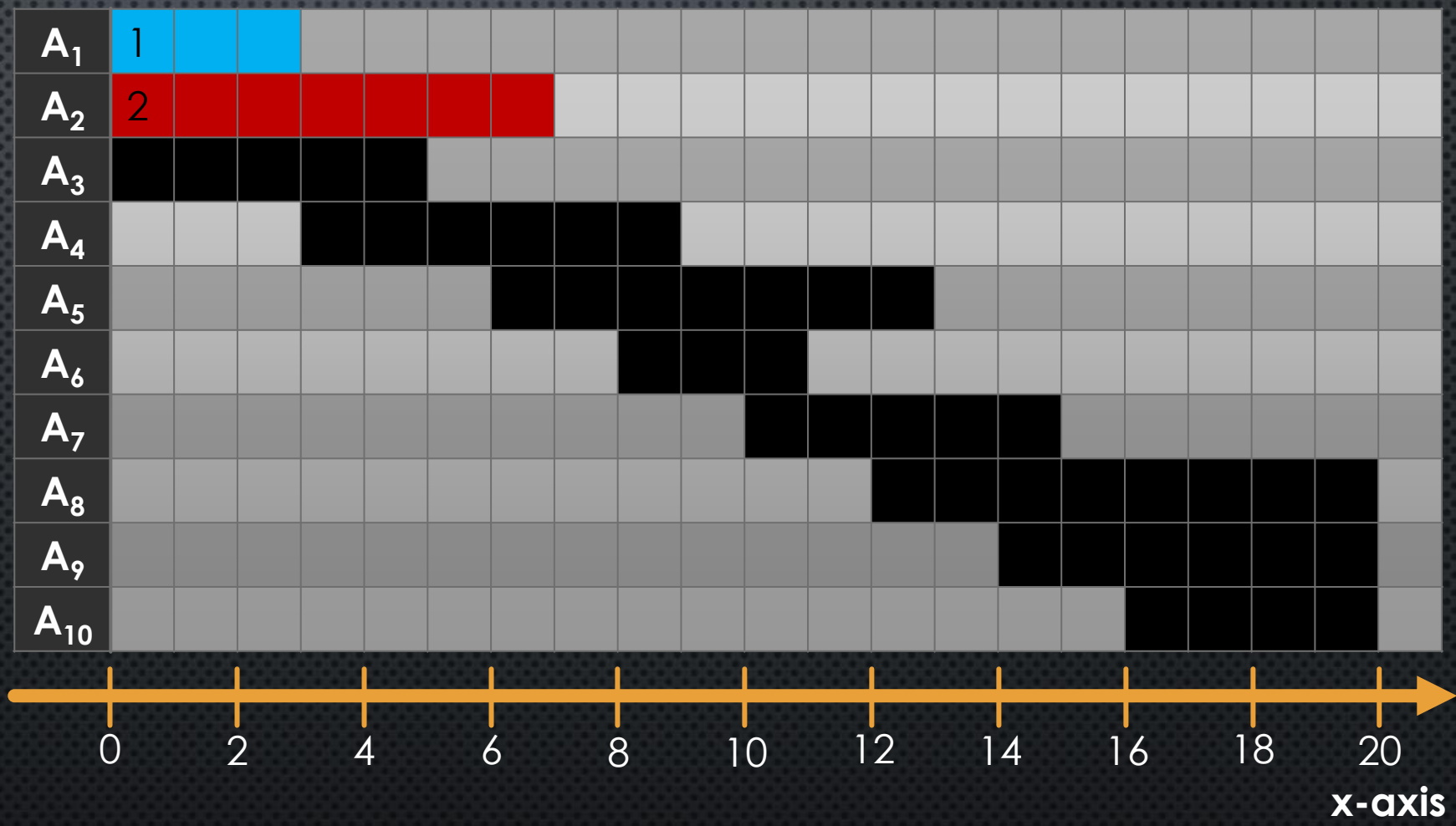
# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 3

Heap finish at time 3

finish at time 7

Iteration  $i=2$       Check heap minimum      Check if finish time 3 is before  $s_2$       No. New colour!



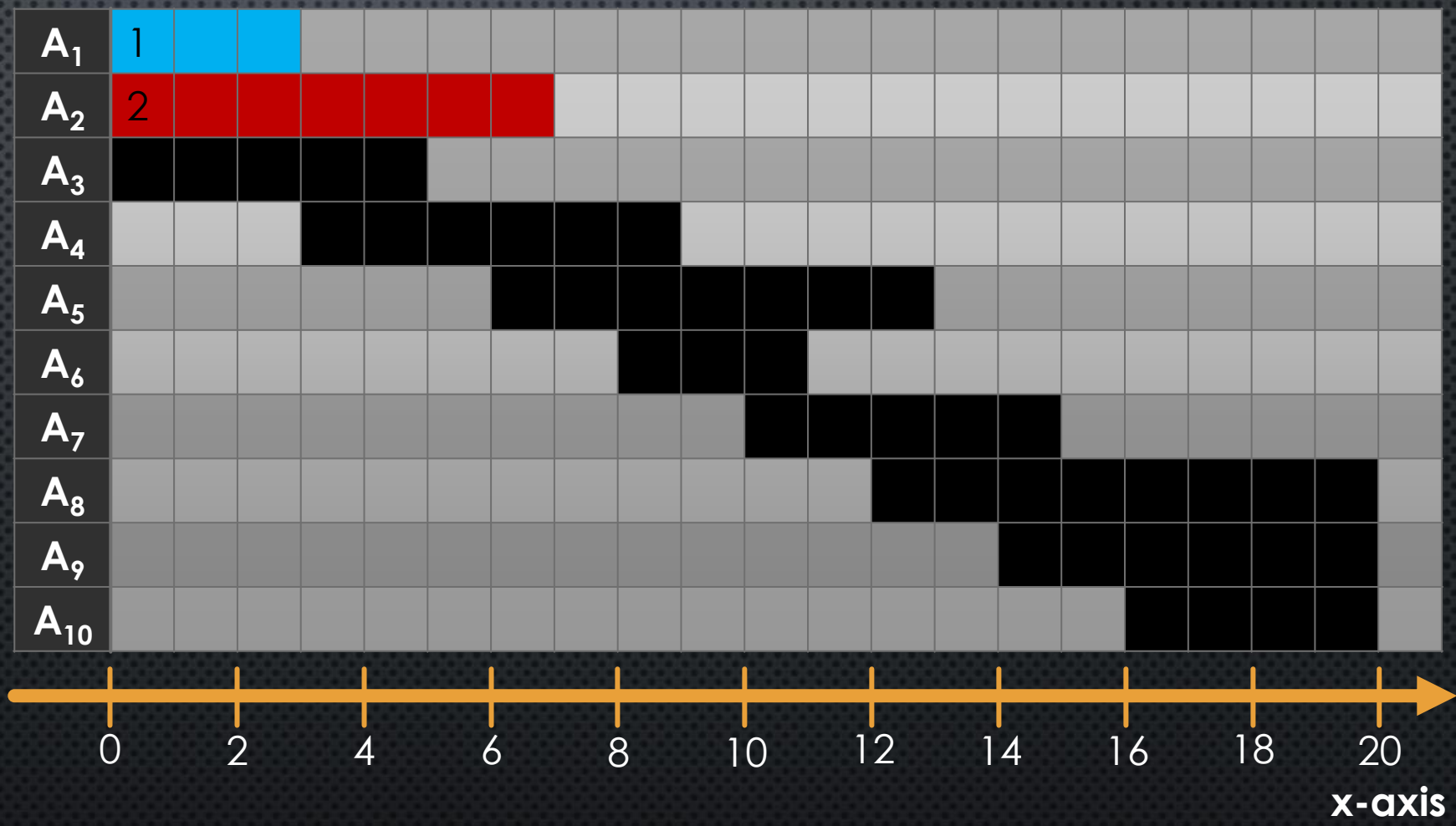
# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 3

Heap finish at time 3

finish at time 7

Iteration  $i=3$       Check heap minimum      Check if finish time 3 is before  $s_3$       No. New colour!



# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 3

Heap finish at time 3

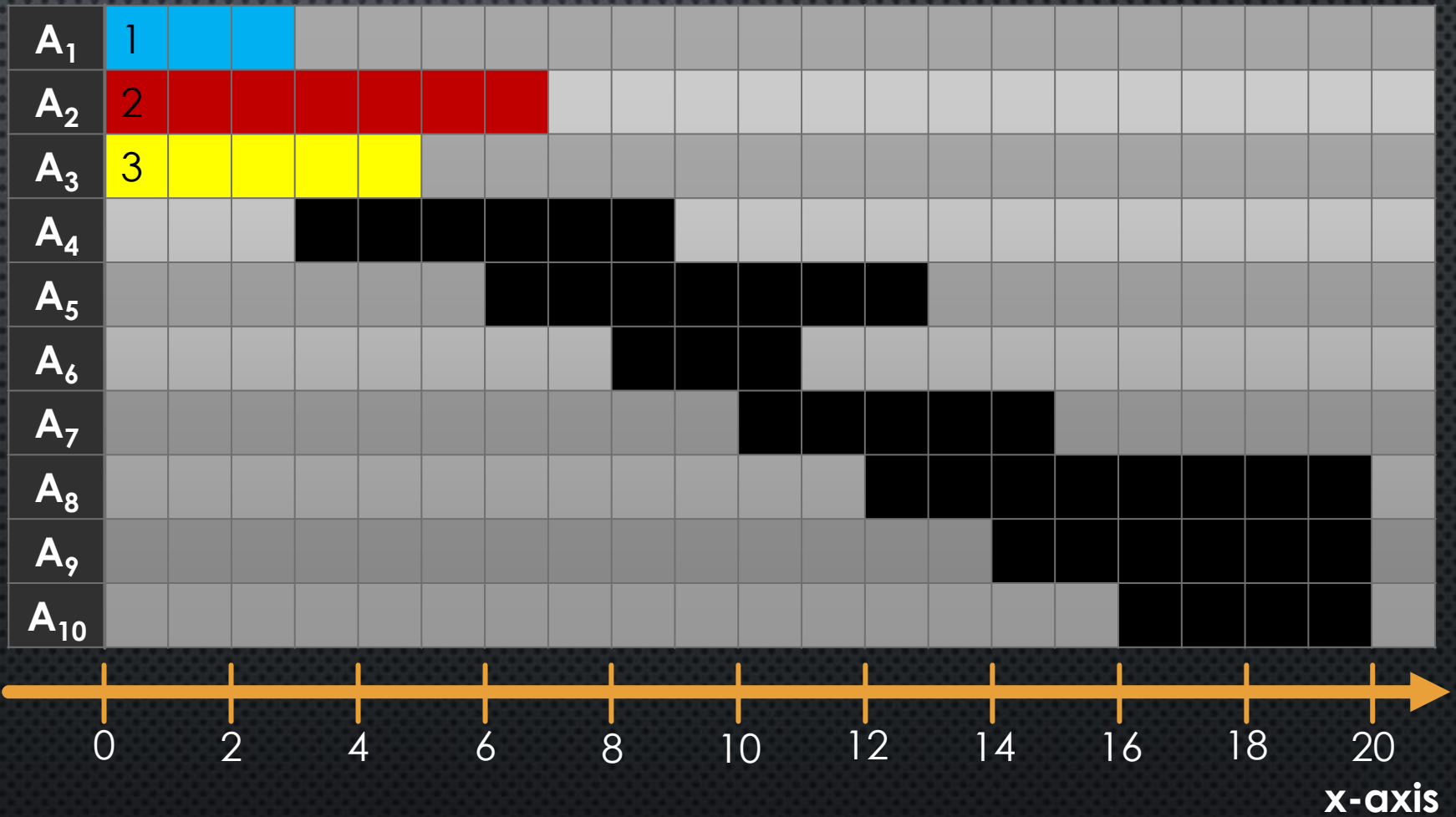
finish at time 7      finish at time 5

Iteration  $i=3$

Check heap minimum

Check if finish time 3 is before  $s_3$

No. New colour!





# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 3

Heap finish at time 3

finish at time 7

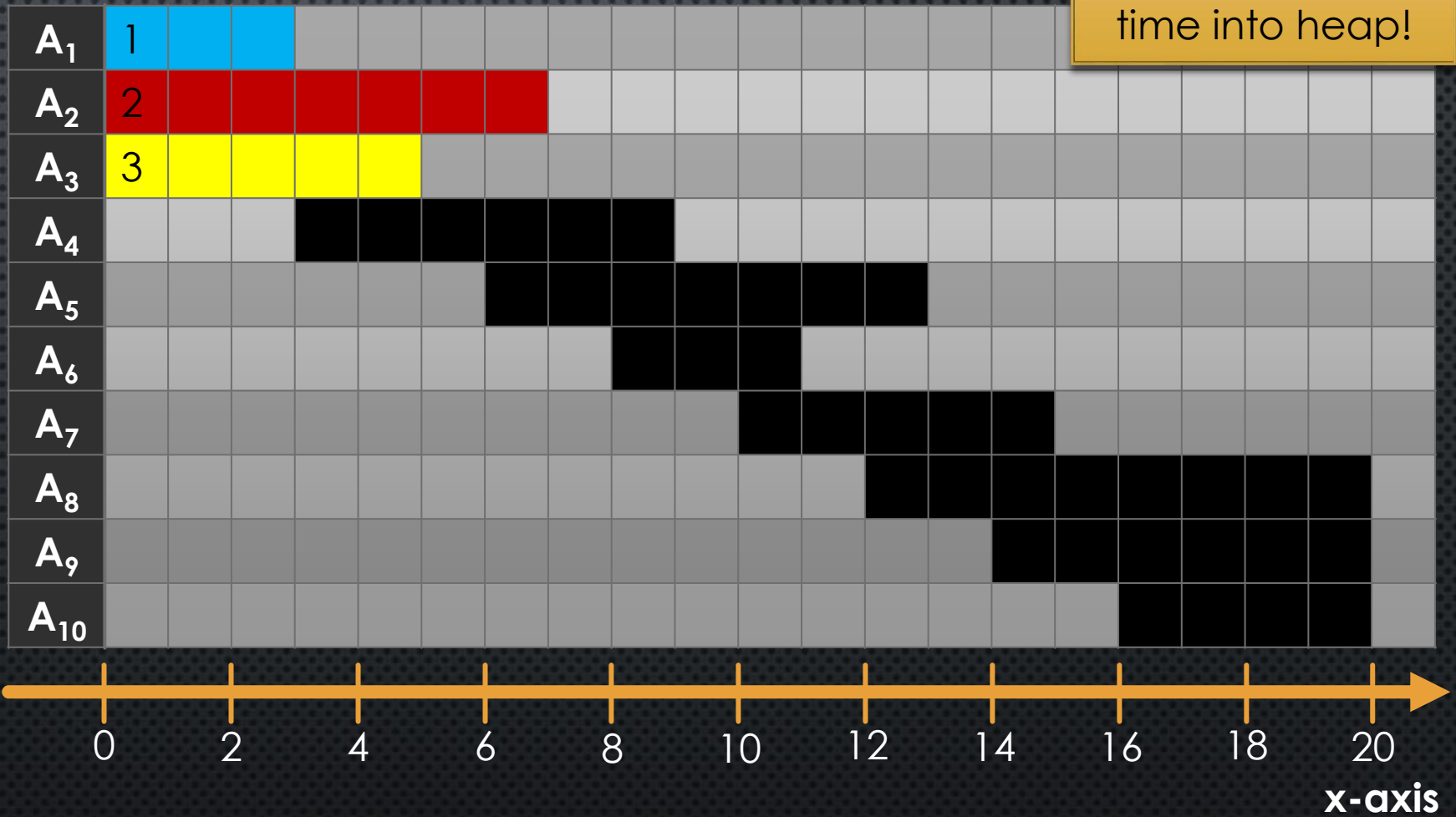
finish at time 5

Iteration i=4

Check heap minimum

Check if finish time 3 is before  $s_4$

Yes. **Reuse** colour, **deleteMin** and **insert** new finish time into heap!



# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 5

Heap finish at time 5

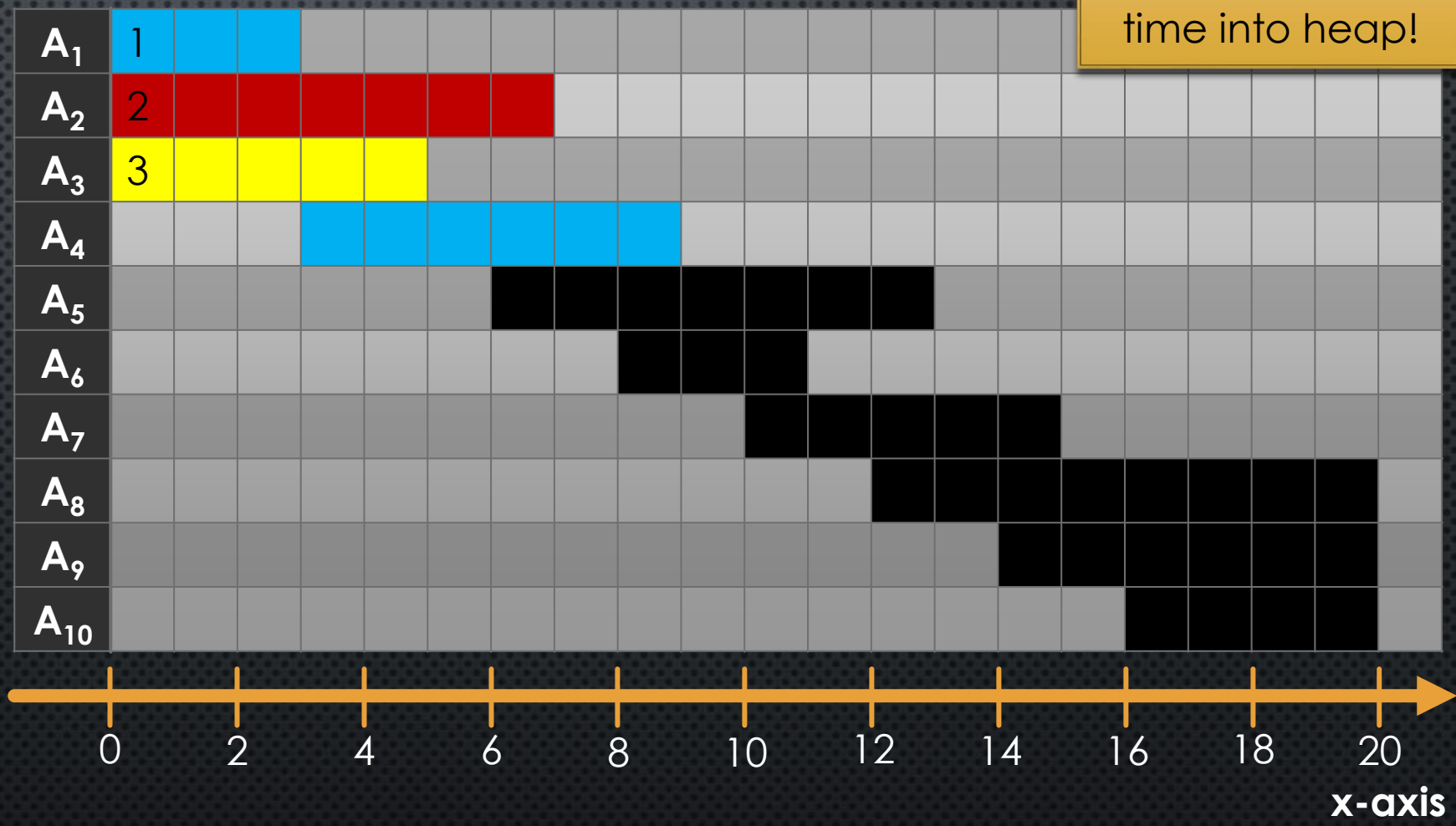
finish at time 7

Iteration i=4

Check heap minimum

Check if finish time 3 is before  $s_4$

Yes. **Reuse** colour, **deleteMin** and insert new finish time into heap!



# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 5

Heap finish at time 5

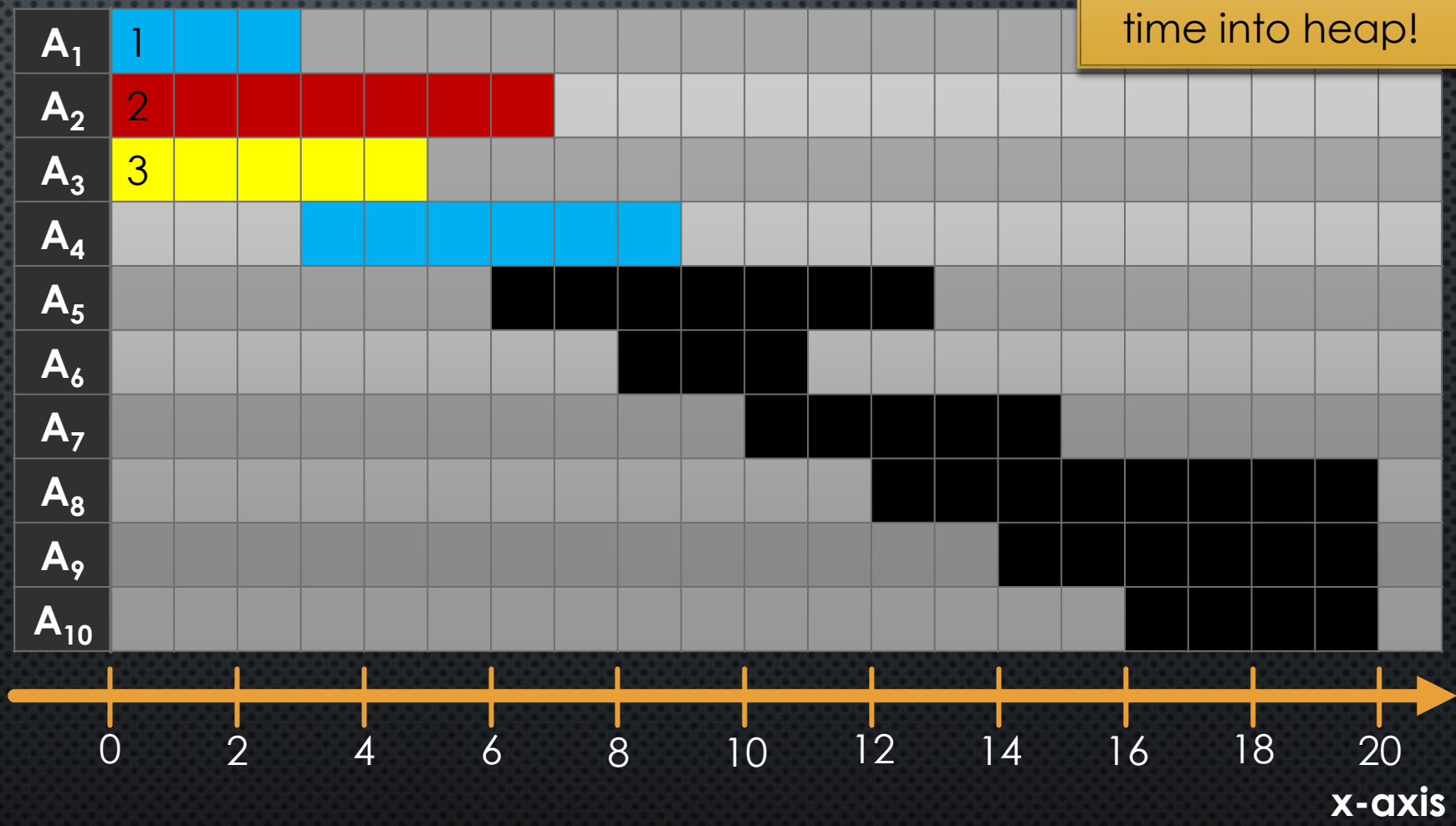
finish at time 7    finish at time 9

Iteration i=4

Check heap minimum

Check if finish time 3 is before  $s_4$

Yes. **Reuse** colour, **deleteMin** and insert new finish time into heap!



# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 5

Heap finish at time 5

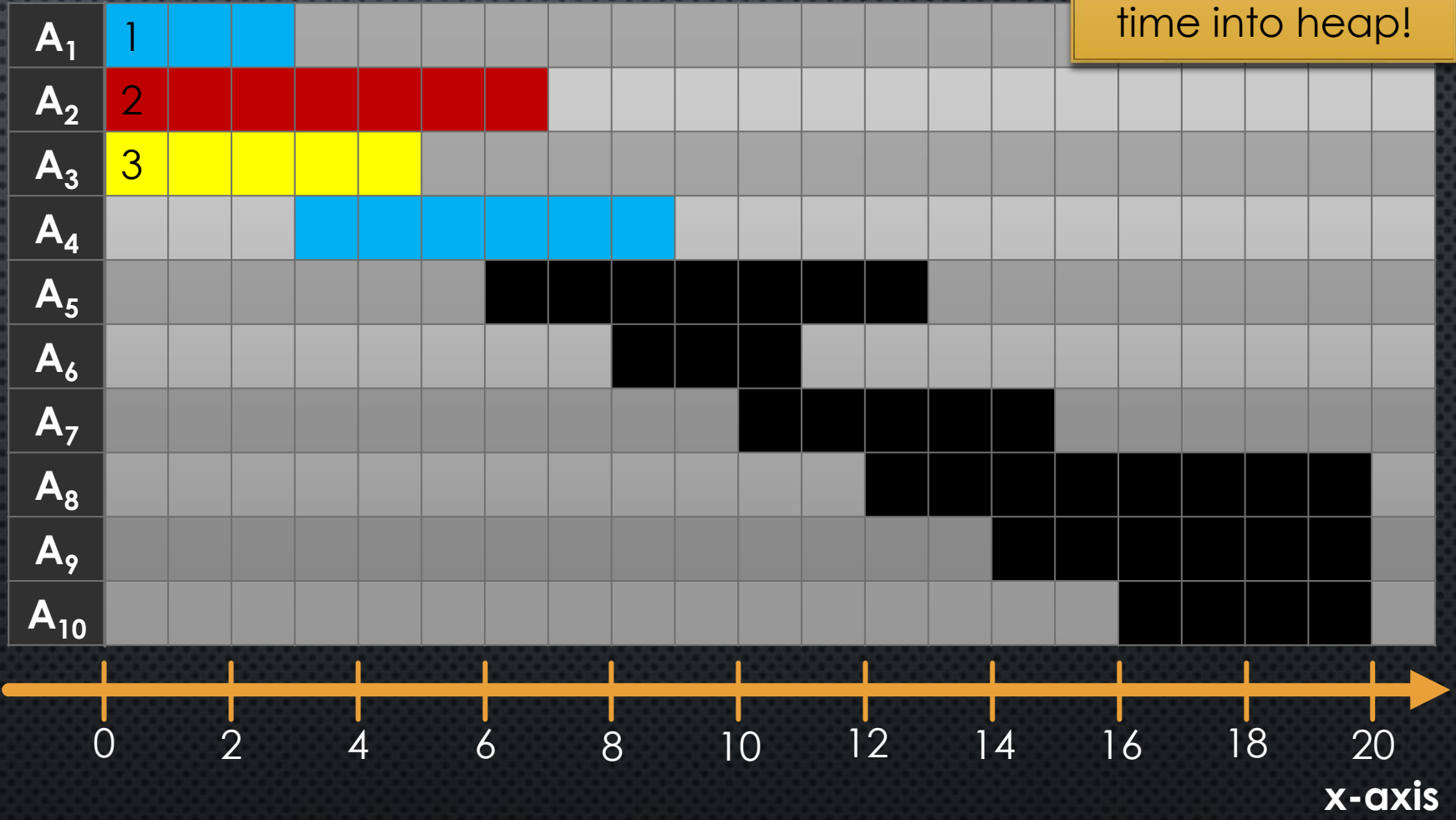
finish at time 7    finish at time 9

Iteration i=5

Check heap minimum

Check if finish time 5 is before  $s_5$

Yes. **Reuse** colour, **deleteMin** and insert new finish time into heap!



# EXAMPLE: HEAP-BASED ALGORITHM

Min element: finish at time 7

Heap finish at time 7

finish at time 13 finish at time 9

Iteration  $i=5$       Check heap minimum      Check if finish time 5 is before  $s_5$       Yes. **Reuse** colour, **deleteMin** and insert new finish time into heap!



```

1 Preprocess(A[1..n])
2   sort A by increasing start time
3   let s[1..n] be the start times in A
4   let f[1..n] be the finish times in A
5   return GreedyIntervalColouring(s, f)
6
7 GreedyIntervalColouring(s[1..n], f[1..n])
8   d = 1
9   colour[1] = 1
10  h = new minPQ
11  h.insert([f[1], colour[1]])
12
13  for i = 2..n
14    (fc, c) = h.min()
15    if fc <= s[i] then
16      h.deleteMin()
17      colour[i] = c
18    else
19      d++
20      colour[i] = d
21      h.insert([f[i], colour[i]])
22
23  return d

```

$O(\log S)$  where  
 $S = \text{size}(\text{priority queue})$

$O(1)$

$O(1)$

$O(\log D)$

$O(\log D)$

Total  $\Theta(n \log n) + \Theta(n \log D)$

Since  $n \geq D$ ,  $\Theta(n \log n)$

# DYNAMIC PROGRAMMING

What?

—Richard Bellman, *Eye of the Hurricane: An Autobiography* (1984, excerpts from page 159)

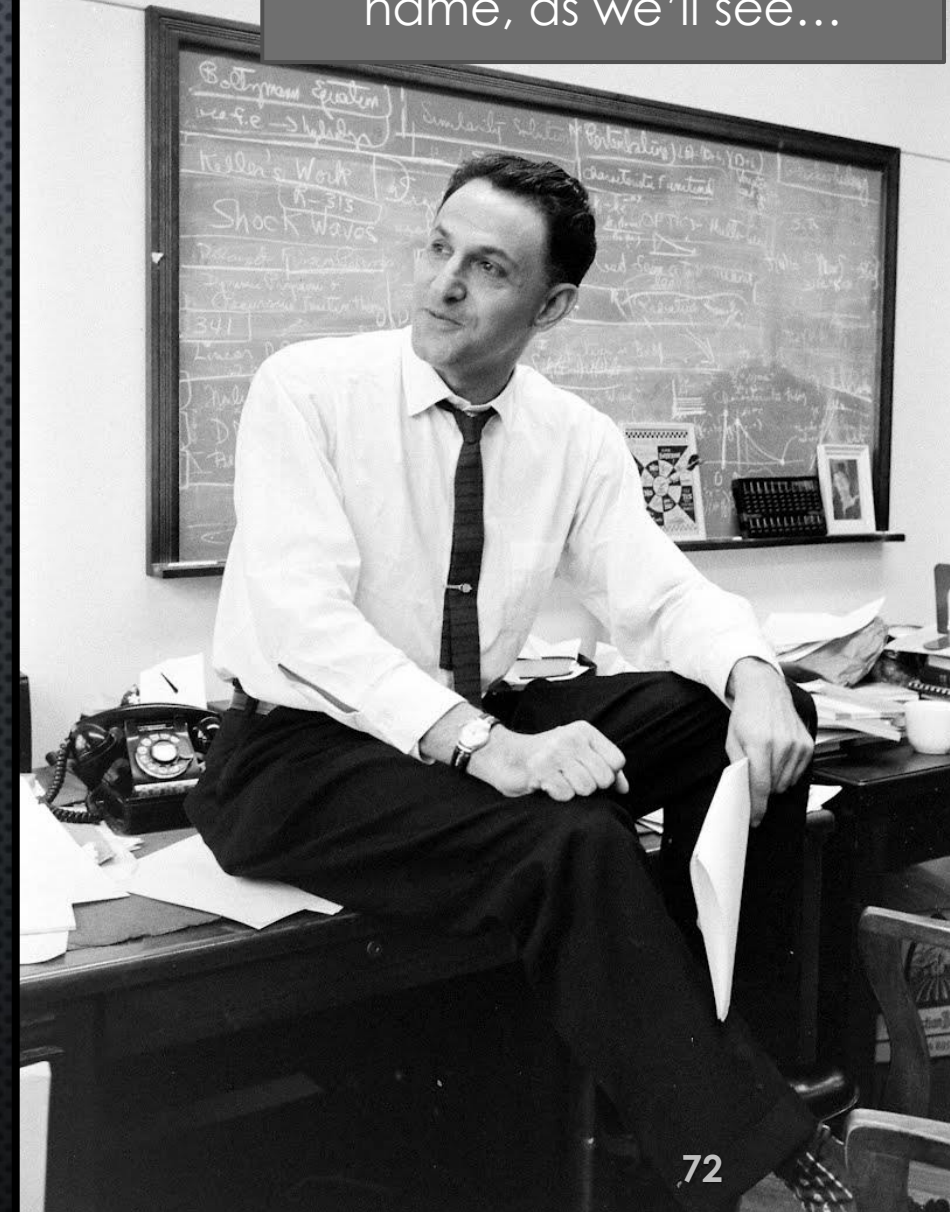
Where did the name, dynamic programming, come from? The 1950s were not good years for mathematical research.

We had a very interesting gentleman in Washington named Wilson. He was Secretary of Defense, and he actually had a pathological fear and hatred of the word "research"... He would turn red, and he would get violent if people used the term research in his presence. You can imagine how he felt, then, about the term mathematical.

I felt I had to do something to shield Wilson ... from the fact that I was really doing mathematics... What title, what name, could I choose? In the first place I was interested in planning, in decision making, in thinking. But planning, is not a good word for various reasons. I decided therefore to use the word "programming." I wanted to get across the idea that this was "dynamic," this was multistage, this was time-varying. I thought, let's kill two birds with one stone.

I thought dynamic programming was a good name. It was something not even a Congressman could object to.

"Bottom-up recursion" might also a reasonable name, as we'll see...





# COMPUTING FIBONACCI NUMBERS INEFFICIENTLY

A **TOY** EXAMPLE TO COMPARE D&C TO DYNAMIC PROGRAMMING

```
1 BadFib(n)
2   if n == 0 or n == 1 then return n
3   return BadFib(n-1) + BadFib(n-2)
```



# RUNTIME

- In unit cost model
  - **(UNREALISTIC!)**

```
1 BadFib(n)
2   if n == 0 or n == 1 then return n
3   return BadFib(n-1) + BadFib(n-2)
```

- $T(n) = T(n-1) + T(n-2) + O(1)$ 
  - $T(n) \geq 2T(n-2) + O(1)$
  - $T(n) \leq 2T(n-1) + O(1)$

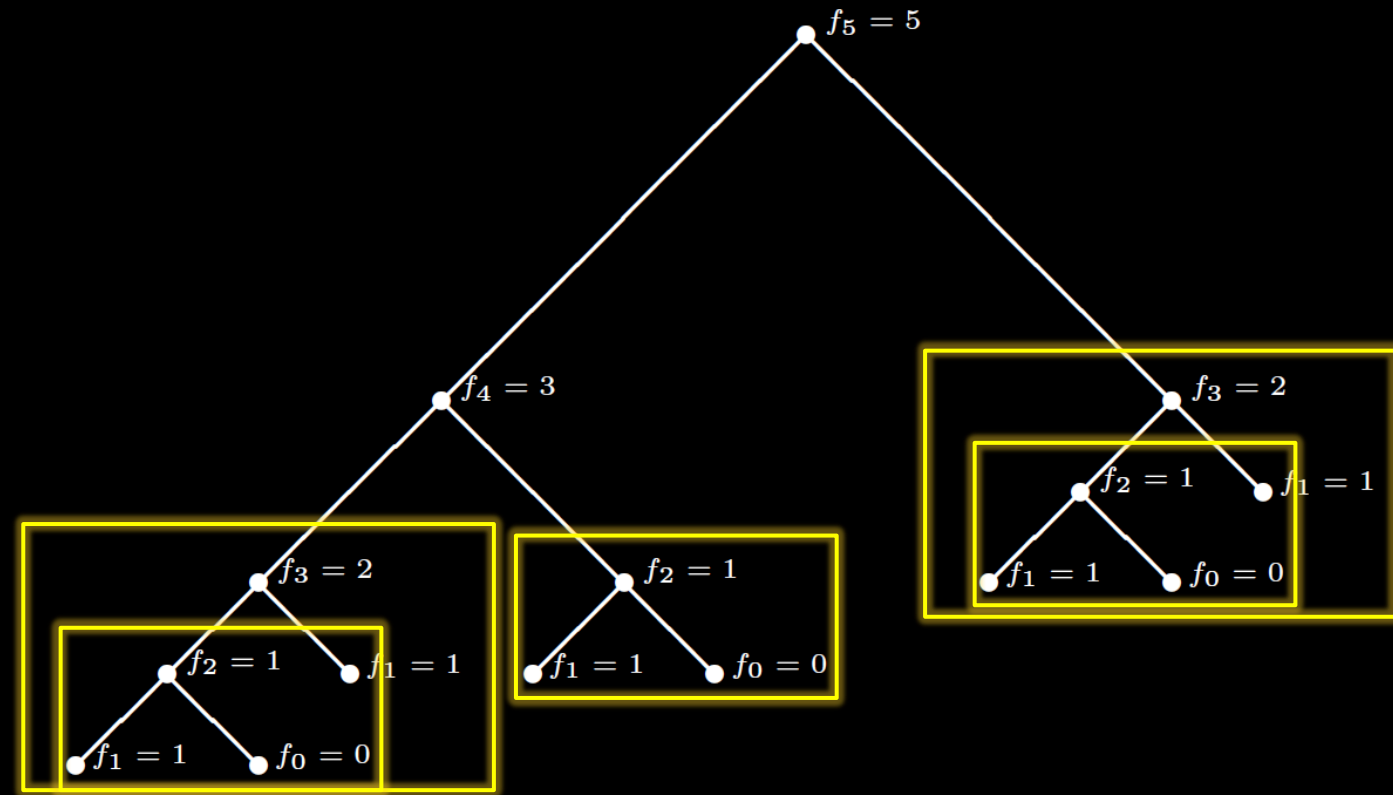
This  $O(1)$  would change in the bit complexity model

- $n/2$  levels of recursion for the first expression
- $n$  levels for the second expression
- Work doubles at each level
- $T(n)$  is certainly in  $\Omega(2^{n/2})$  and  $O(2^n)$

# WHY IS THIS SO SLOW?

- Subproblems have LOTS of overlap!
- Every subtree on the right appears on the left
- ... recursively ...
- Each subtree is computed **exponentially** often in its depth

## The Recursion Tree to Evaluate $f_5$ :



This **overlap** suggests dynamic programming may be able to help! 75

# Designing Dynamic Programming Algorithms for Optimization Problems

## (Optimal) Recursive Structure

Examine the structure of an optimal solution to a problem instance  $I$ , and determine if an optimal solution for  $I$  can be expressed in terms of optimal solutions to certain **subproblems** of  $I$ .

## Define Subproblems

Define a set of subproblems  $\mathcal{S}(I)$  of the instance  $I$ , the solution of which enables the optimal solution of  $I$  to be computed.  $I$  will be the last or largest instance in the set  $\mathcal{S}(I)$ .

# Designing Dynamic Programming Algorithms (cont.)

## Recurrence Relation

Derive a **recurrence relation** on the optimal solutions to the instances in  $\mathcal{S}(I)$ . This recurrence relation should be completely specified in terms of optimal solutions to (smaller) instances in  $\mathcal{S}(I)$  and/or base cases.

## Compute Optimal Solutions

Compute the optimal solutions to all the instances in  $\mathcal{S}(I)$ . Compute these solutions using the recurrence relation in a **bottom-up** fashion, filling in a table of values containing these optimal solutions. Whenever a particular table entry is filled in using the recurrence relation, the optimal solutions of relevant subproblems can be looked up in the table (they have been computed already). The final table entry is the solution to  $I$ .

# SOLVING FIB USING DYNAMIC PROGRAMMING

- (Optimal) Recursive Structure
  - Solution to  $n$ -th Fibonacci number  $f(n)$  can be expressed as the addition of smaller Fibonacci numbers
  - No notion of **optimality** for this particular problem
- Define Subproblems
  - The set subproblems that will be combined to obtain  $Fib(n)$  is  $\{Fib(n - 1), Fib(n - 2)\}$
  - $S(I) = \{Fib(0), Fib(1), \dots, Fib(n)\}$
- Recurrence Relation 
$$f(n) = \begin{cases} f(n - 1) + f(n - 2) & : i \geq 2 \\ 1 & : i = 1 \\ 0 & : i = 0 \end{cases}$$
- Computing (Optimal) Solutions
  - Create **table f[1..n]** and compute its entries “**bottom-up**”

# FILLING THE TABLE “BOTTOM-UP”

- Key idea:
  - When computing a table entry
  - Must have **already computed** the **entries** it depends on!
- Dependencies
  - Extract directly from recurrence
  - Entry  $n$  depends on  $n-1$  and  $n-2$
- **Computing entries in order  $1..n$**  guarantees  $n-1$  and  $n-2$  are already computed when we compute  $n$



# DP SOLUTION

```
1 FibDP(n)
2   f = new array of size n
3
4   f[0] = 0
5   f[1] = 1
6
7   for i = 2..n
8     f[i] = f[i-1] + f[i-2]
9
10  return f[n]
```

```
1 FibDP(n)
2   fi2 = 0
3   fi1 = 1
4
5   for i = 2..n
6     temp = fi
7
8     fi = fi1 + fi2
9
10    fi2 = fi1
11    fi1 = temp
12
13  return fi
```

represents f[i-2]

represents f[i-1]

Save f[i] before overwriting it (so its value can be stored in f[i-1] later)

Contains f[n]

This is still considered to be dynamic programming... We've just optimized out the table.

- **Space saving** optimization:
  - We never look at f[i-3] or earlier
  - Can make do with a few variables instead of a table



# CORRECTNESS

- **Step 1**

- Order 0..n means  $i-1$  and  $i-2$  are already computed when we compute  $i$

- Prove that when computing a table entry, dependent entries are **already computed**

- **Step 2** (similar to D&C)

- Suppose subproblems are solved correctly (optimally)
- Prove these (optimal) subsolutions are combined into a(n optimal) solution

- Suppose  $f[i-1]$  and  $f[i-2]$  are the  $(i-1)$ th and  $(i-2)$ th Fib #s
- Then prove  $f[i] =$  the  $n$ -th Fib #

```
1 FibDP(n)
2   f = new array of size n
3
4   f[0] = 0
5   f[1] = 1
6
7   for i = 2..n
8       f[i] = f[i-1] + f[i-2]
9
10  return f[n]
```

# MODEL OF COMPUTATION FOR RUNTIME

- Unit cost model is **not very realistic** for this problem, because Fibonacci numbers grow quickly
  - $F[10]=55$
  - $F[100]=354224848179261915075$
  - $F[300]=222232244629420445529739893461909967206666939096499764990979600$
  - Value of  $F[n]$  is exponential in  $n$ :  $f_n \in \Theta(\phi^n)$  where  $\phi \cong 1.6$
  - $\phi^n$  needs  $\log(\phi^n)$  bits to store it
  - So  $F[n]$  needs  $\Theta(n)$  bits to store!

But let's use unit cost anyway for simplicity

# RUNNING TIME (UNIT COST)

- $T(n) \in \Theta(n)$

- $T(n) \in \Theta(n)$

# A BRIEF ASIDE

- Is this **linear runtime**?
- NO! This is “a linear function of  $n$ ”
- When we say “linear runtime” we mean “a linear function of the input size”
- What is the input size  $S$ ?
  - The input is the number  $n$ .
  - How many bits does it take to store  $n$ ?  
 $O(\log n)$
  - So  $S = \log n$  bits

Express  $T(n)$  as a function of the input size  $S$  (in bits)

$$\begin{aligned} T(n) &\in \Theta(n) \\ 2^S &= 2^{\log n} = n \\ \text{So } T(n) &\in \Theta(2^S) \end{aligned}$$

This algorithm is exponential in the input size!

... but still exponentially faster than  $2^n$

UNLIKELY THAT WE GET HERE

# ROD CUTTING

A "REAL" DYNAMIC PROGRAMMING EXAMPLE

- Input:

$n = 4$

length $i$	1	2	3	4
price $p_i$	1	5	8	9

- $n$ : length of rod

- $p_1, \dots, p_n$ :  $p_i =$  price of a rod of length  $i$

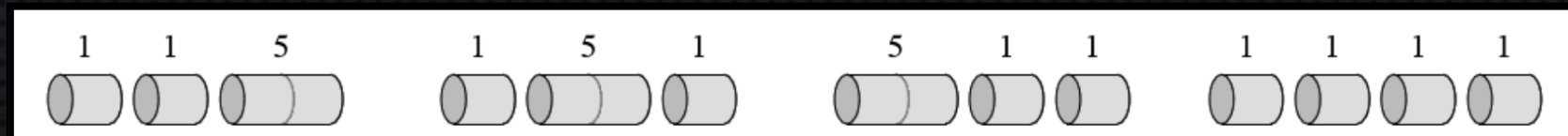
- Output:

- Max **income** possible by cutting the rod of length  $n$  into any number of **integer** pieces (maybe **no** cuts)

All ways of cutting  
a rod of length 4

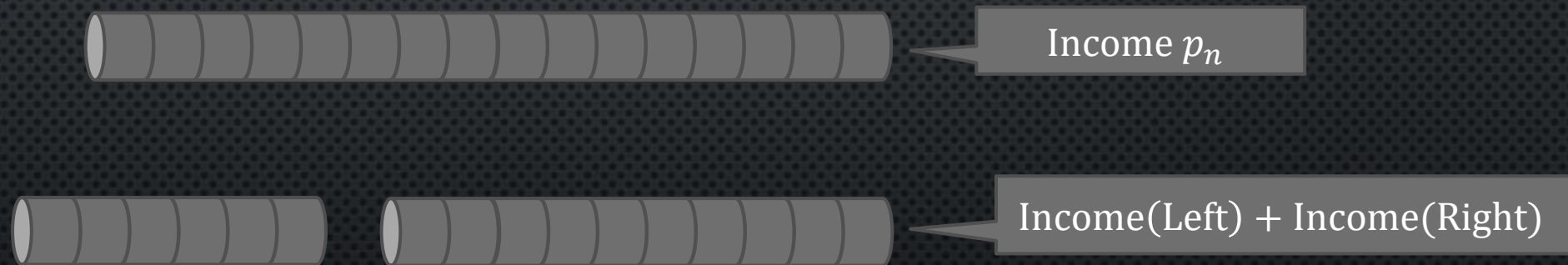


Example output: 10



# DYNAMIC PROGRAMMING APPROACH

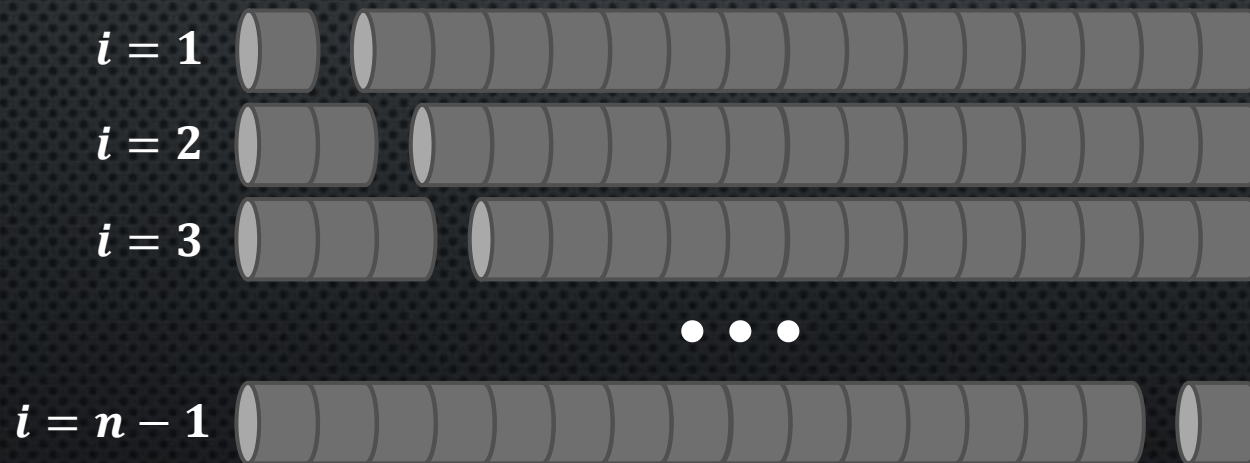
- High level idea (**can just think recursively to start**)
  - Given a rod of length  $n$
  - Either make no cuts,  
or make a cut and **recurse** on the remaining parts



- **Where** should we cut?

# DYNAMIC PROGRAMMING APPROACH

- Try **all ways** of making that cut
  - I.e., try a cut at positions  $1, 2, \dots, n - 1$
  - In each case, recurse on two rods  $[0, i]$  and  $[i, n]$
- Take the max income over **all possibilities** (each  $i$  / no cut)



Optimal substructure:  
Max income from two  
rods w/sizes  $i$  and  $n - i$

... is max income we can  
get from the rod size  $i$

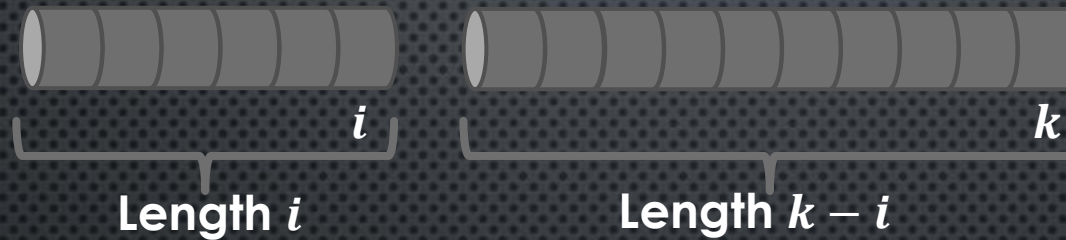
+ max income we can  
get from the rod size  $n - i$



# RECURRENCE RELATION

Critical step! Must define what  $M(k)$  means, semantically!

- Define  $M(k)$  = maximum income for rod of length  $k$
- If we do **not** cut the rod, max income is  $p_k$
- If we **do** cut a rod **at**  $i$



- max income is  $M(i) + M(k - i)$
- Want to maximize this **over all**  $i$ 
  - $\max_i \{M(i) + M(k - i)\}$  (for  $0 < i < k$ )
- $M(k) = \max\{p_k, \max_{1 \leq i \leq k-1} \{M(i) + M(k - i)\}\}$

# COMPUTING SOLUTIONS BOTTOM-UP

- Recurrence:  $M(k) = \max\{p_k, \max_{1 \leq i \leq k-1}\{M(i) + M(k-i)\}\}$
- Compute **table** of solutions:  $M[1..n]$



- Dependencies: **entry  $k$**  depends on
  - $M[i] \rightarrow M[1..(k-1)]$
  - $M[k-i] \rightarrow M[1..(k-1)]$
- All of these dependencies are  $< k$
- So we can fill in the table entries in order  $1..n$

Recall, semantically,  $M(k)$  = maximum income for rod of length  $k$

Recurrence:  $M(k) = \max\{p_k, \max_{1 \leq i \leq k-1}\{M(i) + M(k-i)\}\}$

```
1 RodCutting(n, p[1..n])
2   M = new array[1..n]
3
4   // compute each entry M[k]
5   for k = 1..n
6     M[k] = p[k] // current best = no cuts
7
8     // try each cut in 1..(k-1)
9     for i = 1..(k-1)
10      M[k] = max(M[k], M[i] + M[k-i])
11
12   return M[n]
```

Time complexity (unit cost)?  $\Theta(n^2)$

Aside: Is this a “quadratic time” algorithm?

Exercise: devise an even simpler DP solution (hint: try “recursing” only once)

# MISCELLANEOUS TIPS

- Building a table of results bottom-up is what makes an algorithm DP
- There is a similar concept called **memoization**
  - But, for the purposes of this course, we want to see bottom-up table filling!
- Base cases are **critical**
  - They often completely determine the answer
  - Try setting  $f[0]=f[1]=0$  in FibDP...