

CS 240 – Data Structures and Data Management

Module 7: Dictionaries via Hashing

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Spring 2026

Outline

7 Dictionaries via Hashing

- Hashing Introduction
- Hashing with Chaining
- Probe Sequences
- Cuckoo hashing
- Hash Function Strategies

Outline

7 Dictionaries via Hashing

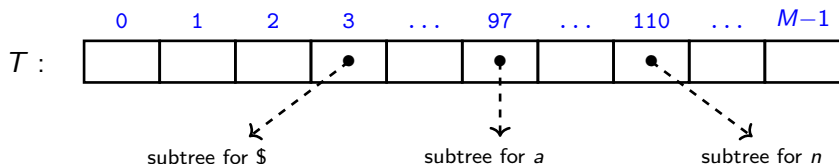
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Direct addressing

Assume: For some $M \in \mathbb{N}$, every key k is an integer with $0 \leq k < M$.

- **Example:** To store child-links in multiway tries, the keys are characters in $\text{ASCII} = \{0, \dots, 127\}$.

We can then implement a dictionary easily: Use an array T of size M that stores (k, v) via $T[k] \leftarrow v$.



Need $\Theta(M)$ space, but each operation takes $\Theta(1)$ time.

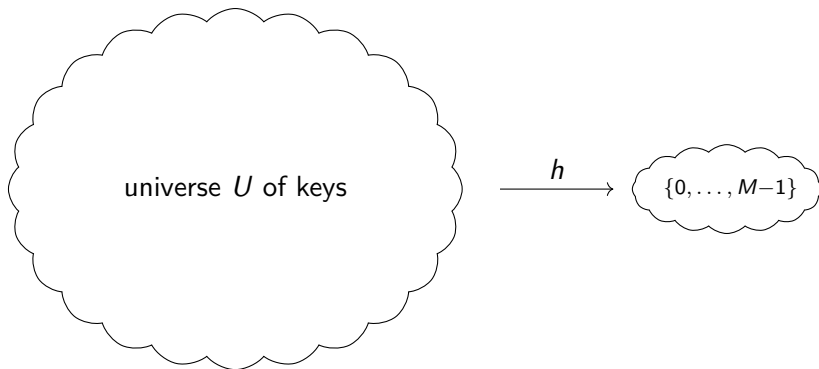
- *search*(k): Check whether $T[k]$ is NULL
- *insert*(k, v): $T[k] \leftarrow v$
- *delete*(k): $T[k] \leftarrow \text{NULL}$

Hashing: Idea

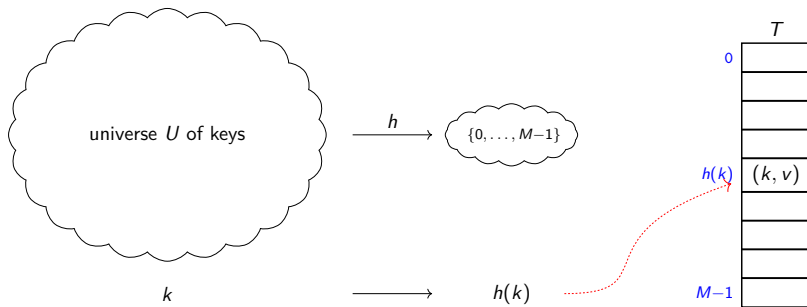
Two disadvantages of direct addressing:

- It cannot be used if the keys are not integers.
- It needs $\Theta(M)$ space: wasteful if M is unknown or $n \ll M$.

Hashing idea: Map (arbitrary) keys to integers in range $\{0, \dots, M-1\}$ (for an integer M of our choice), then use direct addressing.



Hashing: Idea



- **Assumption:** We know that all keys come from some **universe** U . (Typically $U =$ non-negative integers, may assume U finite.)
- We pick a **table-size** M .
- We pick a **hash function** $h : U \rightarrow \{0, 1, \dots, M-1\}$. (Commonly used: $h(k) = k \bmod M$. We will see other choices later.)
- Store dictionary in **hash table**, i.e., an array T of size M .
- An item with key k wants to be stored in **slot** $h(k)$, i.e., at $T[h(k)]$.

Hashing: Example

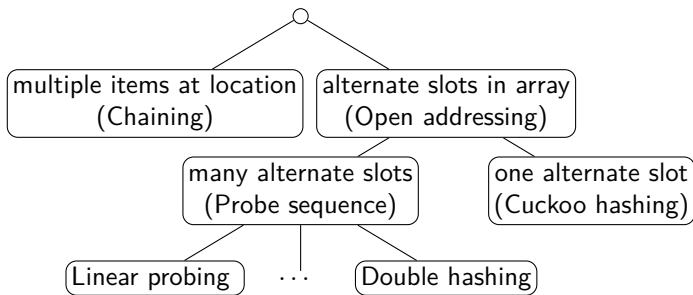
$U = \mathbb{N}$, $M = 11$, $h(k) = k \bmod 11$.

The hash table stores keys 7, 13, 43, 45, 49, 92. (Values are not shown).

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	
9	
10	43

Collisions

- Generally hash function h is not injective, so many keys can map to the same integer.
 - ▶ For example, $h(46) = 2 = h(13)$ if $h(k) = k \bmod 11$.
- We get **collisions**: we want to insert (k, v) into the table, but $T[h(k)]$ is already occupied.
- There are many strategies to resolve collisions:



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Hashing with chaining

Simplest collision-resolution strategy: Each slot stores a **bucket** containing 0 or more KVPs.

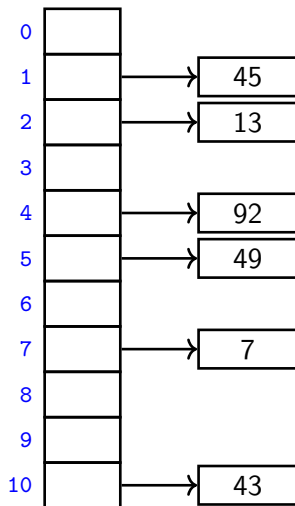
- A bucket could be implemented by any dictionary realization (even another hash table!).
- The simplest approach is to use unsorted lists with MTF for buckets. This is called collision resolution by **chaining**.
- *insert*(k, v): Add (k, v) to the front of the list at $T[h(k)]$.
- *search*(k): Look for key k in the list at $T[h(k)]$.
Apply MTF-heuristic
- *delete*(k): Perform a search, then delete from the linked list.

insert takes time $O(1)$.

search and *delete* have run-time $O(1 + \text{length of list at } T(h(k)))$.

Hashing with chaining: Example

$$M = 11, \quad h(k) = k \bmod 11$$

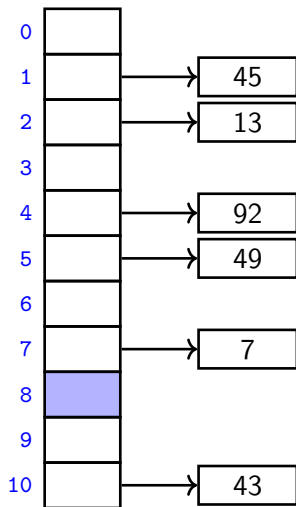


Hashing with chaining: Example

$$M = 11, \quad h(k) = k \bmod 11$$

insert(41)

$$h(41) = 8$$

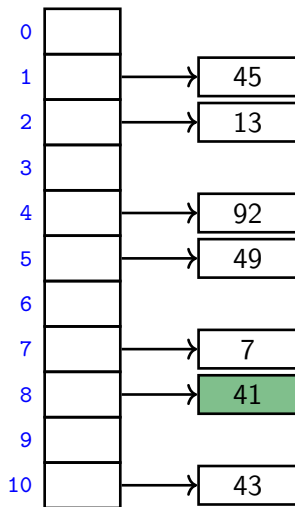


Hashing with chaining: Example

$$M = 11, \quad h(k) = k \bmod 11$$

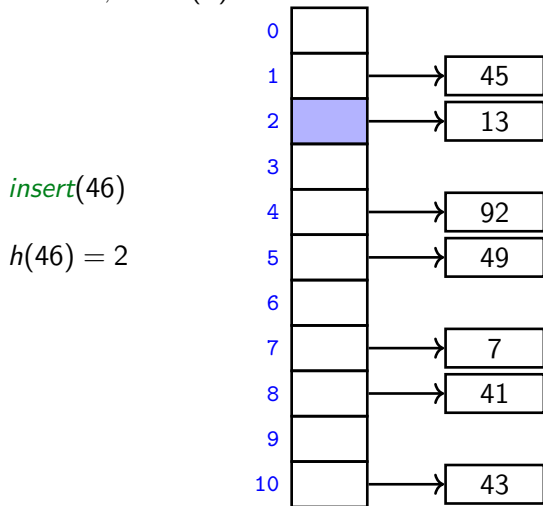
insert(41)

$$h(41) = 8$$



Hashing with chaining: Example

$$M = 11, \quad h(k) = k \bmod 11$$

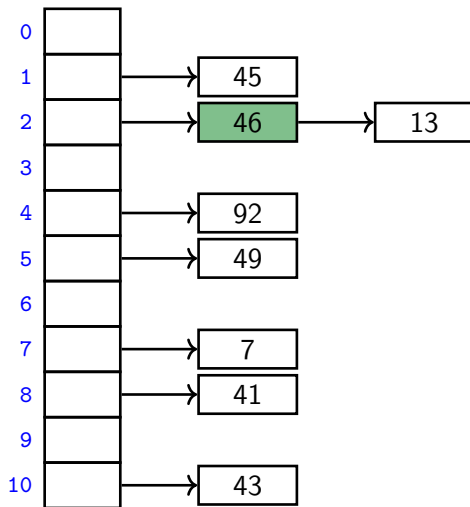


Hashing with chaining: Example

$$M = 11, \quad h(k) = k \bmod 11$$

insert(46)

$$h(46) = 2$$

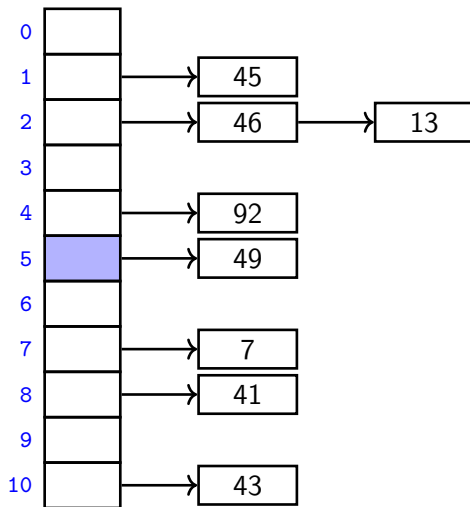


Hashing with chaining: Example

$$M = 11, \quad h(k) = k \bmod 11$$

insert(16)

$$h(16) = 5$$

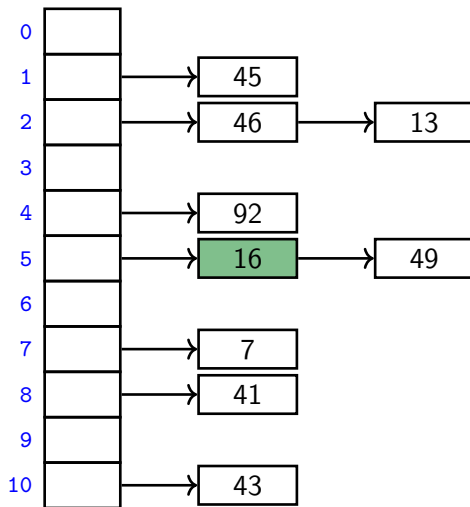


Hashing with chaining: Example

$$M = 11, \quad h(k) = k \bmod 11$$

insert(16)

$$h(16) = 5$$

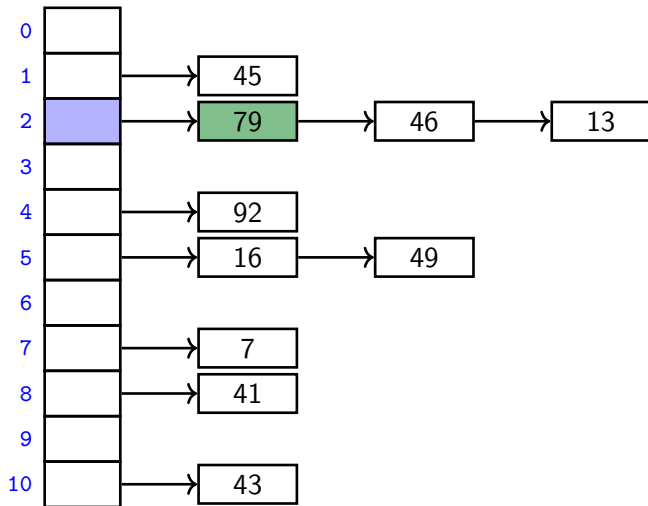


Hashing with chaining: Example

$$M = 11, \quad h(k) = k \bmod 11$$

insert(79)

$$h(79) = 2$$



Hashing with chaining: Analysis

Run-times: *insert* takes time $\Theta(1)$.

search and *delete* have run-time $\Theta(1 + \text{size of bucket } T[h(k)])$.

- The *average bucket-size* is $\alpha = \frac{n}{M}$.
(α is also called the **load factor**.)
- However, this does not imply that the *average-case* cost of *search* and *delete* is $\Theta(1 + \alpha)$.
 - ▶ Consider the case where all keys hash to the same slot
 - ▶ The average bucket-size is still α
 - ▶ But the operations take $\Theta(n)$ time on average
- To get meaningful average-case bounds, we need some assumptions on the hash-functions or the keys

The uniform hashing assumption (UHA)

- To analyze what happens ‘on average’, switch to *randomized* hashing.
- How can we randomize?
Assume that the *hash-function* is chosen randomly.
 - ▶ We will later see examples how to do this.
- **Uniform Hashing Assumption**: the hash-function is chosen uniformly at random among all possible functions
 $U \rightarrow \{0, \dots, M - 1\}$
- This is not at all realistic, but the assumption makes analysis possible

Hashing with chaining: Analysis

UHA implies that the distribution of keys is unimportant.

- **Claim:** Hash-values are uniform.

Formally: $Pr(h(k) = i) = \frac{1}{M}$ for any key k and slot i .

- **Similar:** For $k \neq k'$, $Pr(h(k) = h(k')) = 1/M$

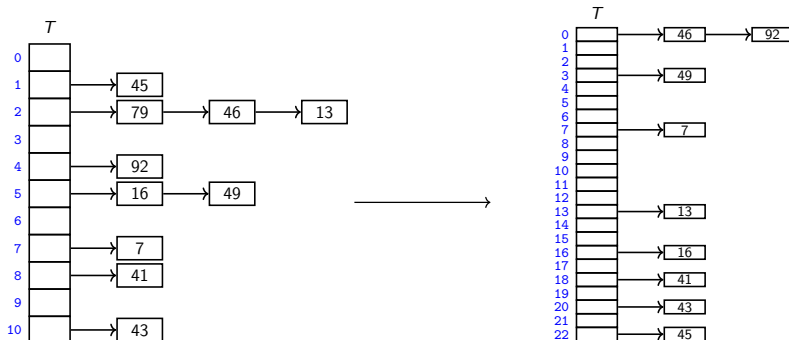
Back to analysis of chaining: search time for k at most equal to

$$1 + |\{k' \in D \mid h(k) = h(k')\}| = 1 + \sum_{k' \in D} 1_{h(k)=h(k')}$$

- If key k is *not* in dictionary, $E(\sum_{k'} \dots) = n/M = \alpha$
- If key k *is* in dictionary, $E(\sum_{k'} \dots) = 1 + (n-1)/M \leq 1 + \alpha$
- Expected cost of *search* and *delete* is hence $O(1 + \alpha)$

Load factor and re-hashing

- For hashing with chaining (and also other collision resolution strategies), the run-time bound depends on α
- We keep the load factor small by **rehashing** when needed:



- Keep track of n and M throughout operations
- If α gets too large, create new (roughly twice as big) hash-table, new hash-function(s) and re-insert all items in the new table.

Hashing with chaining: Summary

- For hashing with chaining: Rehash so that $\alpha \in O(1)$ throughout
- Rehashing costs $\Theta(M + n)$ time (plus the time to find a new hash function).
- Rehashing happens rarely enough that we can ignore this term when amortizing over all operations (as in module 2)
- If space is critical: also re-hash when α gets too small, so that $\alpha \in \Theta(1)$ throughout, and the space is always $\Theta(n)$.

Summary: The (amortized) expected cost for hashing with chaining is $\Theta(1)$ and the space is $\Theta(n)$
(assuming uniform hashing and $\alpha \in \Theta(1)$ throughout)

Theoretically perfect, but somewhat slow in practice (because of linked lists)

Outline

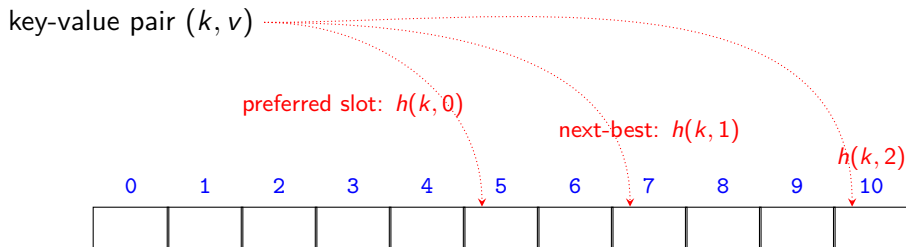
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Open addressing

Main idea: Avoid the links needed for chaining by permitting only one item per slot, but allowing a key k to be in one of multiple slots.

search and *insert* follow a **probe sequence** of possible locations for key k : $\langle h(k, 0), h(k, 1), h(k, 2), \dots, h(k, M-1) \rangle$ until an empty spot is found.



Simplest method for open addressing: *linear probing*
 $h(k, j) = (h(k) + j) \bmod M$, for some hash function h .

Hashing with linear probing: Example

$M = 11$, $h(k) = k \bmod 11$, $h(k, j) = (h(k) + j) \bmod 11$.

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	
9	
10	43

Note: we are creating clusters of filled slots.

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

insert(41)

$$h(41, 0) = 8$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	
10	43

Note: we are creating clusters of filled slots.

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

insert(84)

$$h(84, 0) = 7$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	
10	43

Note: we are creating clusters of filled slots.

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

insert(84)

$$h(84, 1) = 8$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	
10	43

Note: we are creating clusters of filled slots.

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

insert(84)

$$h(84, 2) = 9$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	43

Note: we are creating clusters of filled slots.

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

insert(20)

$$h(20, 0) = 9$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	43

Note: we are creating clusters of filled slots.

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

insert(20)

$$h(20, 1) = 10$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	43

Note: we are creating clusters of filled slots.

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

insert(20)

$$h(20, 2) = 0$$

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	43

Note: we are creating clusters of filled slots.

Hashing with probe sequences: Operations

delete becomes problematic:

- Cannot leave an empty spot behind; the next search might otherwise not go far enough.
- We could try to move later items in probe sequence forward. (But it is non-trivial to find one that can be moved.)
- Better idea: **lazy deletion**:
 - ▶ Mark spot as *deleted* (rather than NULL)
 - ▶ Search continues past deleted spots.
 - ▶ Insertion reuses deleted spots.

Keep track of how many items are 'deleted' and re-hash (to keep space at $\Theta(n)$) if there are too many.

Hashing with linear probing: Example

$M = 11$, $h(k) = k \bmod 11$, $h(k, j) = (h(k) + j) \bmod 11$.

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	43

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

delete(43)

$$h(43, 0) = 10$$

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	<i>deleted</i>

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

search(63)
 $h(63, 0) = 8$

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	<i>deleted</i>

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

search(63)
 $h(63, 1) = 9$

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	<i>deleted</i>

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

search(63)

$$h(63, 2) = 10$$

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	<i>deleted</i>

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

search(63)
 $h(63, 3) = 0$

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	<i>deleted</i>

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

search(63)
 $h(63, 4) = 1$

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	<i>deleted</i>

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

search(63)
 $h(63, 5) = 2$

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	<i>deleted</i>

Hashing with linear probing: Example

$$M = 11, \quad h(k) = k \bmod 11, \quad h(k, j) = (h(k) + j) \bmod 11.$$

search(63)
 $h(63, 6) = 3$
not found

0	20
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	84
10	<i>deleted</i>

Hashing with probe sequences: Operations

probe-sequence::insert($T, (k, v)$)

1. **for** ($j = 0; j < M; j++$)
2. **if** $T[h(k, j)]$ is NULL or “deleted”
3. $T[h(k, j)] = (k, v)$
4. **return** “success”
5. **return** “failure to insert” // need to re-hash

probe-sequence-search(T, k)

1. **for** ($j = 0; j < M; j++$)
2. **if** $T[h(k, j)]$ is NULL **return** “item not found”
3. **if** $T[h(k, j)]$ has key k **return** $T[h(k, j)]$
4. // key is incorrect or “deleted”
5. // try next probe, i.e., continue for-loop
6. **return** “item not found”

Independent hash functions

- Some hashing methods require *two* hash functions h_0, h_1 .
- These hash functions should be *independent* in the sense that the random variables $Pr(h_0(k) = i)$ and $Pr(h_1(k) = j)$ are independent.
- In practice, using two modular hash functions often leads to dependencies.
- Better idea: Use *multiplication method* for second hash function:
 - ▶ Fix some floating-point number A with $0 < A < 1$

$$h(k) = \left\lfloor M \cdot \underbrace{\left(\underbrace{A \cdot k}_{\text{multiply}} - \underbrace{\lfloor A \cdot k \rfloor}_{\text{integral part}} \right)}_{\text{fractional part, in } [0, 1)} \right\rfloor.$$

integer in $[0, M)$

- ▶ Our examples use $\varphi = \frac{\sqrt{5}-1}{2} \approx 0.618033988749\dots$ as A .

Double hashing

Double hashing idea: open addressing with probe sequence

$$h(k, j) = (h_0(k) + j \cdot h_1(k)) \bmod M$$

where we have two hash independent functions h_0, h_1 .

- *search, insert, delete* work just like for linear probing, but with this different probe sequence.

We require (for all keys k):

- $h_1(k) \neq 0$
- $h_1(k)$ is relative prime with the table-size M , so choose M prime.
- Modify standard hash-functions to ensure $h_1(k) \neq 0$
E.g. modified multiplication method: $h(k) = 1 + \lfloor (M-1)(kA - \lfloor kA \rfloor) \rfloor$

Double hashing: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 10(\varphi k - \lfloor \varphi k \rfloor) \rfloor + 1$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	
9	
10	43

Double hashing: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 10(\varphi k - \lfloor \varphi k \rfloor) \rfloor + 1$$

insert(41)

$$h_0(41) = 8$$

$$h(41, 0) = 8$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	
10	43

Double hashing: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 10(\varphi k - \lfloor \varphi k \rfloor) \rfloor + 1$$

insert(194)

$$h_0(194) = 7$$

$$h(194, 0) = 7$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	
10	43

Double hashing: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 10(\varphi k - \lfloor \varphi k \rfloor) \rfloor + 1$$

insert(194)

$$h_0(194) = 7$$

$$h(194, 0) = 7$$

$$h_1(194) = 9$$

$$h(194, 1) = 5$$

0	
1	45
2	13
3	
4	92
5	49
6	
7	7
8	41
9	
10	43

Double hashing: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 10(\varphi k - \lfloor \varphi k \rfloor) \rfloor + 1$$

insert(194)

$$h_0(194) = 7$$

$$h(194, 0) = 7$$

$$h_1(194) = 9$$

$$h(194, 1) = 5$$

$$h(194, 2) = 3$$

0	
1	45
2	13
3	194
4	92
5	49
6	
7	7
8	41
9	
10	43

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Cuckoo hashing

We use two independent hash functions h_0, h_1 and two tables T_0, T_1 .

Main idea: An item with key k can *only* be at $T_0[h_0(k)]$ or $T_1[h_1(k)]$.

search and *delete* then *always* take constant time.

T_0		T_1	
0	44	0	
1		1	
2		2	
3		3	
4	59	4	
5		5	
6		6	
7	51	7	
8		8	
9		9	92
10		10	

Cuckoo hashing: Insertion

insert *always* initially puts the new item into $T_0[h_0(k)]$

- Evict item that may have been there already.
- If so, evicted item inserted at alternate position
- This may lead to a loop of evictions.
- **Can show:** If insertion is possible, then there are at most $2n$ evictions, so abort after too many attempts.

```
cuckoo::insert( $k, v$ )
```

1. $(k_{insert}, v_{insert}) \leftarrow$ new key-value pair with (k, v)
2. $i \leftarrow 0$
3. **do** at most $2n$ times:
4. $(k_{evict}, v_{evict}) \leftarrow T_i[h_i(k_{insert})]$ // save old KVP
5. $T_i[h_i(k_{insert})] \leftarrow (k_{insert}, v_{insert})$ // put in new KVP
6. **if** (k_{evict}, v_{evict}) is NULL **return** “success”
7. **else** // repeat in other table
8. $(k_{insert}, v_{insert}) \leftarrow (k_{evict}, v_{evict}); i \leftarrow 1 - i$
9. **return** “failure to insert” // need to re-hash

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

T_0

0	44
1	
2	
3	
4	59
5	
6	
7	
8	
9	
10	

T_1

0	
1	
2	
3	
4	
5	
6	
7	
8	
9	92
10	

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

insert(51)

$$i = 0$$

$$k = 51$$

$$h_0(k) = 7$$

$$h_1(k) = 5$$

T_0	
0	44
1	
2	
3	
4	59
5	
6	
7	
8	
9	
10	

T_1	
0	
1	
2	
3	
4	
5	
6	
7	
8	
9	92
10	

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

insert(51)

$$i = 0$$

$$k = 51$$

$$h_0(k) = 7$$

$$h_1(k) = 5$$

T_0	
0	44
1	
2	
3	
4	59
5	
6	
7	51
8	
9	
10	

T_1	
0	
1	
2	
3	
4	
5	
6	
7	
8	
9	92
10	

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

insert(95)

$$i = 0$$

$$k = 95$$

$$h_0(k) = 7$$

$$h_1(k) = 7$$

T_0	
0	44
1	
2	
3	
4	59
5	
6	
7	51
8	
9	
10	

T_1	
0	
1	
2	
3	
4	
5	
6	
7	
8	
9	92
10	

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

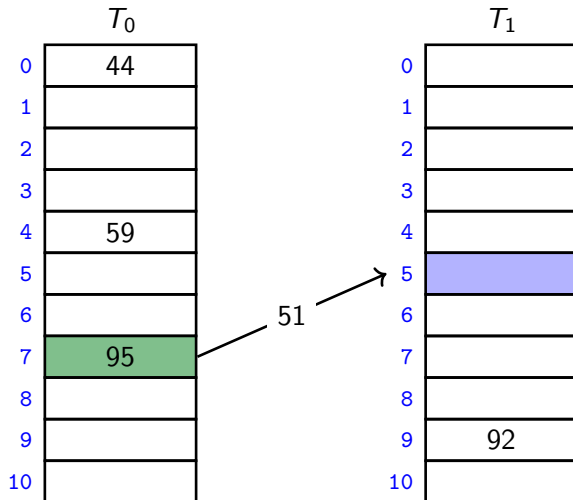
insert(95)

$$i = 1$$

$$k = 51$$

$$h_0(k) = 7$$

$$h_1(k) = 5$$



Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

insert(95)

$$\begin{aligned} i &= 1 \\ k &= 51 \\ h_0(k) &= 7 \\ h_1(k) &= 5 \end{aligned}$$

T_0	
0	44
1	
2	
3	
4	59
5	
6	
7	95
8	
9	
10	

T_1	
0	
1	
2	
3	
4	
5	51
6	
7	
8	
9	92
10	

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

insert(26)

$$i = 0$$

$$k = 26$$

$$h_0(k) = 4$$

$$h_1(k) = 0$$

T_0	
0	44
1	
2	
3	
4	59
5	
6	
7	95
8	
9	
10	

T_1	
0	
1	
2	
3	
4	
5	51
6	
7	
8	
9	92
10	

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

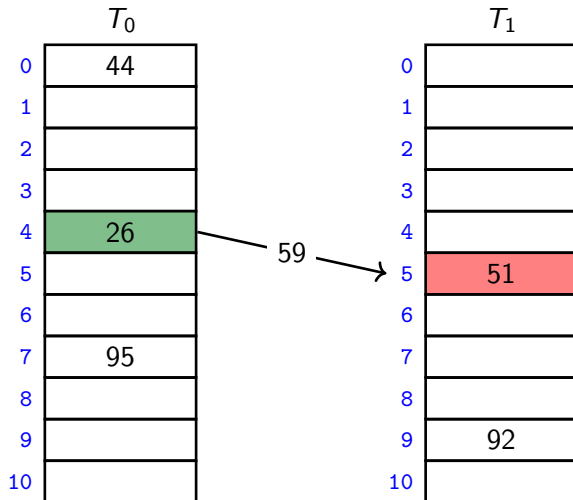
insert(26)

$$i = 1$$

$$k = 59$$

$$h_0(k) = 4$$

$$h_1(k) = 5$$



Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

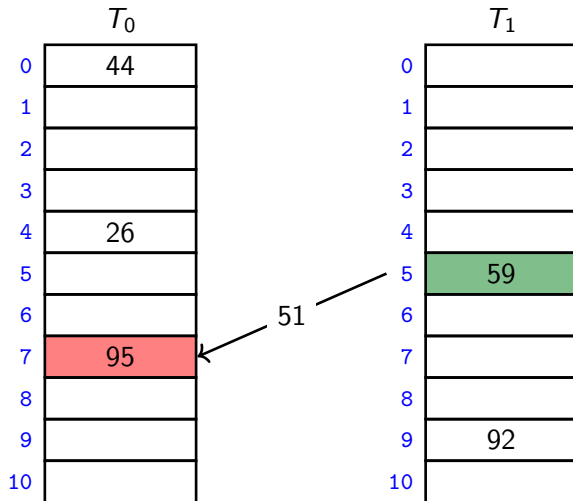
insert(26)

$$i = 0$$

$$k = 51$$

$$h_0(k) = 7$$

$$h_1(k) = 5$$



Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

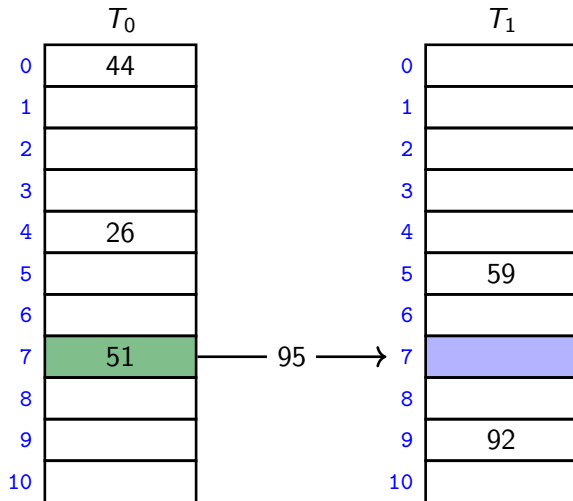
insert(26)

$$i = 1$$

$$k = 95$$

$$h_0(k) = 4$$

$$h_1(k) = 7$$



Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

insert(26)

$$\begin{aligned} i &= 1 \\ k &= 95 \\ h_0(k) &= 4 \\ h_1(k) &= 7 \end{aligned}$$

T_0	
0	44
1	
2	
3	
4	26
5	
6	
7	51
8	
9	
10	

T_1	
0	
1	
2	
3	
4	
5	59
6	
7	95
8	
9	92
10	

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

search(59)

$$h_0(59) = 4$$

$$h_1(59) = 5$$

T_0	
0	44
1	
2	
3	
7	26
5	
6	
7	51
8	
9	
10	

T_1	
0	
1	
2	
3	
4	
5	59
6	
7	95
8	
9	92
10	

Cuckoo hashing insertion: Example

$$M = 11, \quad h_0(k) = k \bmod 11, \quad h_1(k) = \lfloor 11(\varphi k - \lfloor \varphi k \rfloor) \rfloor$$

delete(59)

$$h_0(59) = 4$$

$$h_1(59) = 5$$

T_0	
0	44
1	
2	
3	
4	
5	26
6	
7	51
8	
9	
10	

T_1	
0	
1	
2	
3	
4	
5	
6	
7	95
8	
9	92
10	

Cuckoo hashing: Discussion

- **Can show:** expected number of evictions during *insert* is $O(1)$.
 - ▶ So in practice, stop evictions much earlier than $2n$ rounds.
- This requires load factor $\alpha < \frac{1}{2}$.
 - ▶ Here $\alpha = n / (\text{size of } T_0 + \text{size of } T_1)$
- So cuckoo hashing is somewhat wasteful on space.

There are many possible variations:

- The two hash-tables could be combined into one.
- Be more flexible when inserting: Always consider both possible positions.
- Use $k > 2$ allowed locations (i.e., k hash-functions).

Hashing with open addressing: Summary

For any open addressing scheme, we *must* have $\alpha \leq 1$.

For the analysis, we require $0 < \alpha < 1$.

Cuckoo hashing requires $0 < \alpha < 1/2$.

Under these restrictions (and the universal hashing assumption):

- All strategies have $O(1)$ expected time for *search*, *insert*, *delete*.
- Cuckoo Hashing has $O(1)$ worst-case time for *search*, *delete*.
- Probe sequences use $O(n)$ worst-case space, Cuckoo Hashing uses $O(n)$ expected space.

But for any hash-function the worst-case run-time is $\Theta(n)$ for *insert*.

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- Probe sequences use $O(n)$ worst-case space, Cuckoo Hashing uses $O(n)$ expected space.

But for any hash-function the worst-case run-time is $\Theta(n)$ for *insert*.

In practice, double hashing seems the most popular, or cuckoo hashing if there are many more searches than insertions.

Outline

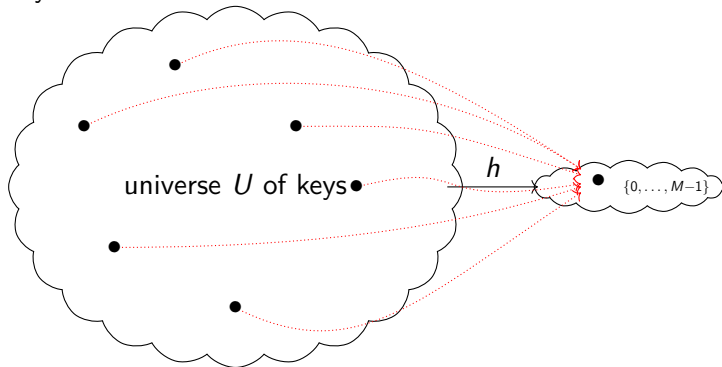
7 Dictionaries via Hashing

- Hashing Introduction
- Hashing with Chaining
- Probe Sequences
- Cuckoo hashing
- Hash Function Strategies

Hash functions

Every hash function *must* do badly for some inputs:

- If the universe is big enough ($|U| \geq M(n-1) + 1$), then there are n keys that all hash to the same value.



- If we insert this set of keys, then we have $\Theta(n)$ run-time.

Choosing a good hash function

- Analysis works only under **uniform hashing assumption**: Hash function is randomly chosen among all possible hash-functions.
- Satisfying this is impossible: There are too many hash functions; we would not know how to compute $h(k)$ efficiently.

Two ways to compromise:

- 1 Deterministic: hope for good performance by choosing a hash-function that is unrelated to any possible patterns in the data
- 2 Randomized: Choose randomly among a limited set of functions.
 - ▶ But aim for $Pr(\text{two keys collide}) = \frac{1}{M}$
 - ▶ This is enough to prove the expected run-time bounds for chaining

Deterministic hash functions

We saw two basic methods for integer keys:

- **Modular method:** $h(k) = k \bmod M$.
 - ▶ We should choose M to be a prime.
 - ▶ This means finding a suitable prime quickly when re-hashing.
 - ▶ This can be done in $O(M \log \log M)$ time (no details).
- **Multiplication method:** $h(k) = \lfloor M(Ak - \lfloor Ak \rfloor) \rfloor$,
for some floating-point number A with $0 < A < 1$.
 - ▶ Multiplying with A is used to scramble the keys.
So A should be irrational to avoid patterns in the keys.
 - ▶ Experiments show that good scrambling is achieved when A is the golden ratio $\varphi = \frac{\sqrt{5}-1}{2} \approx 0.618033988749\dots$

Carter-Wegman's universal hashing

Better idea: Choose hash-function randomly!

- Requires: all keys are in $\{0, \dots, p-1\}$ for some (big) prime p .
- At initialization, and whenever we re-hash:
 - ▶ Choose $M < p$ arbitrarily, power of 2 is ok.
 - ▶ Choose (and store) two *random* numbers a, b
 - ★ $b = \text{random}(p)$
 - ★ $a = 1 + \text{random}(p-1)$ (so $a \neq 0$)
 - ▶ Use as hash-function
$$h_{a,b}(k) = ((ak + b) \bmod p) \bmod M$$
- hash-value can be computed in constant time.

Analysis of these **Carter-Wegman hash functions** (no details):

- Choosing h in this way does not satisfy uniform hashing assumption
- But can show: two keys collide with probability at most $\frac{1}{M}$.
- This suffices to prove the run-time bounds for hashing with chaining.

Multi-dimensional data

What if the keys occupy more than one word in memory, e.g. strings?

Standard approach is to *flatten* string w to integer $f(w) \in \mathbb{N}$, e.g.

$$\begin{aligned} A \cdot P \cdot P \cdot L \cdot E &\rightarrow (65, 80, 80, 76, 69) \quad (\text{ASCII}) \\ &\rightarrow 65R^4 + 80R^3 + 80R^2 + 76R^1 + 69R^0 \\ &\quad (\text{for some radix } R, \text{ e.g. } R = 255) \end{aligned}$$

We combine this with a modular hash function: $h(w) = f(w) \bmod M$

To compute this, can use Horner's rule and apply mod early. For example, $h(APPLE)$ is

$$\left(\left(\left(\left(\left((65R + 80) \bmod M \right) R + 80 \right) \bmod M \right) R + 76 \right) \bmod M \right) R + 69 \right) \bmod M$$