

FK Clarification

- R1(ABC), R2(EFG). There is a FK: R1.A references R2.G.
- The value of R1.A must be
 - NULL, or
 - A unique value in R2
- That is, R2.G must be unique or NULL.
- **R2.G might or might not be a PK!**
 - **R2.G could be NULL. while the value of PK can never be NULL.**



Exercise – FK (Why it is wrong)

STUDENT(SID, Name, Major, Bdate)

COURSE(Course#, Cname, Dept)

ENROLL(SID, Course#, Quarter, Grade)

BOOK_ADOPTION(Course#, Quarter, Book_ISBN)

TEXT(Book_ISBN, Book_Title, Publisher, Author)

~~FK: BOOK_ADOPTION.(Course#, Quarter)
references ENROLL.(Course#, Quarter)~~

ENROLL

| SID | Course# | Quarter | Grade |
|-----|---------|---------|-------|
| 12 | CS 338 | W21 | |
| 23 | CS 338 | W21 | |
| 34 | CS 136 | W21 | |

BOOK_ADOPTION

| Course# | Quarter | Book_ISBN |
|---------|---------|-----------|
| CS 338 | W21 | B22 |
| CS 330 | W21 | B35 |
| CS 136 | W21 | B99 |



Exercise – FK (Why it is right)

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COURSE(Course#, Cname, Dept)

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ENROLL

| SID | Course# | Quarter | Grade |
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| 12 | CS 338 | W21 | |
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BOOK_ADOPTION

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|---------|---------|-----------|
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Review of Last Lecture

| Operation | Purpose | Notation |
|--------------|--|--|
| SELECT | Selects all tuples that satisfy the selection condition from a relation R . | $\sigma_{\langle \text{SELECTION CONDITION} \rangle}(R)$ |
| PROJECT | Produces a new relation with only some of the attributes | $\pi_{\langle \text{ATTRIBUTE LIST} \rangle}(R)$ |
| UNION | Produces a relation that includes all the tuples in R_1 or R_2 or both R_1 and R_2 ; R_1 and R_2 must be union compatible. | $R_1 \cup R_2$ |
| INTERSECTION | Produces a relation that includes all the tuples in both R_1 and R_2 ; R_1 and R_2 must be union compatible. | $R_1 \cap R_2$ |
| DIFFERENCE | Produces a relation that includes all the tuples in R_1 that are not in R_2 ; R_1 and R_2 must be union compatible. | $R_1 - R_2$ |



Today's Plan

- More advanced RA operators
 - Rename (ρ)
 - Cross Product (X)
 - Join (\bowtie)
 - EquiJoin
 - Natural Join (*)
- Exercises



Rename Operation (ρ)

- The rename operator is ρ
- $\rho_S(R)$ is a renamed relation S based on R
- $\rho_{S(B_1, B_2, \dots, B_n)}(R)$ is a renamed relation S based on R with column names B_1, B_1, \dots, B_n .
- $\rho_{(B_1, B_2, \dots, B_n)}(R)$ is a renamed relation with column names B_1, B_1, \dots, B_n which does not specify a new relation name.



Be Aware!

- Rename is in fact a copy!
 - It returns a new relation with the same schema and content of the original, just different name (for the relation, attributes or both)
 - The original relation is unchanged!
- For convenience, when the assignment operator is used, we can do rename like this:

```
DEP5_EMPS          ←  $\sigma_{DNO=5}(\text{EMPLOYEE})$   
RESULT(FN, LN, S) ←  $\pi_{FNAME, LNAME, SALARY}(\text{DEP5\_EMPS})$ 
```



In-class Exercise #2

1. Retrieve the names of the department managers.
2. Find all the employees (SSN) who do not work on any project.
3. Retrieve the SSN of employees who are not a supervisor nor a manager.



How would you do this manually?

1. Retrieve the names of the department managers.

EMPLOYEE

| Fname | Minit | Lname | Ssn | Bdate | Address | Sex | Salary | Super_ssn | Dno |
|----------|-------|---------|-----------|------------|--------------------------|-----|--------|-----------|-----|
| John | B | Smith | 123456789 | 1965-01-09 | 731 Fondren, Houston, TX | M | 30000 | 333445555 | 5 |
| Franklin | T | Wong | 333445555 | 1955-12-08 | 638 Voss, Houston, TX | M | 40000 | 888665555 | 5 |
| Alicia | J | Zelaya | 999887777 | 1968-01-19 | 3321 Castle, Spring, TX | F | 25000 | 987654321 | 4 |
| Jennifer | S | Wallace | 987654321 | 1941-06-20 | 291 Berry, Bellaire, TX | F | 43000 | 888665555 | 4 |
| Ramesh | K | Narayan | 666884444 | 1962-09-15 | 975 Fire Oak, Humble, TX | M | 38000 | 333445555 | 5 |
| Joyce | A | English | 453453453 | 1972-07-31 | 5631 Rice, Houston, TX | F | 25000 | 333445555 | 5 |
| Ahmad | V | Jabbar | 987987987 | 1969-03-29 | 980 Dallas, Houston, TX | M | 25000 | 987654321 | 4 |
| James | E | Borg | 888665555 | 1937-11-10 | 450 Stone, Houston, TX | M | 55000 | NULL | 1 |

DEPARTMENT

| Dname | Dnumber | Mgr_ssn | Mgr_start_date |
|----------------|---------|-----------|----------------|
| Research | 5 | 333445555 | 1988-05-22 |
| Administration | 4 | 987654321 | 1995-01-01 |
| Headquarters | 1 | 888665555 | 1981-06-19 |

DEPT_LOCATIONS

| Dnumber | Dlocation |
|---------|-----------|
| 1 | Houston |
| 4 | Stafford |
| 5 | Bellaire |
| 5 | Sugarland |
| 5 | Houston |



Cartesian (cross product) Operation

- $R1 \times R2$
- Each row of R1 is paired with each row of R2.
- *Result schema* has one field per field of R1 and R2, with field names 'inherited' if possible.
- *What about $R1 \times R1$?*

Teacher X Teacher t-num t-name t-num t-name
Conflict!

- Solution: use Rename operation

Rename



Cross Product: Example

X cross product

| Teacher | t-num | t-name |
|---------|-------|--------|
| | 101 | Smith |
| | 105 | Jones |
| | 110 | Fong |

Teacher X Course

| Course | c-num | c-name |
|--------|-------|-------------|
| | 514 | Intro to DB |
| | 513 | Intro to OS |

| | t-num | t-name | c-num | c-name |
|--|-------|--------|-------|-------------|
| | 101 | Smith | 514 | Intro to DB |
| | 105 | Jones | 514 | Intro to DB |
| | 110 | Fong | 514 | Intro to DB |
| | 101 | Smith | 513 | Intro to OS |
| | 105 | Jones | 513 | Intro to OS |
| | 110 | Fong | 513 | Intro to OS |

Cross product: combine information from 2 tables

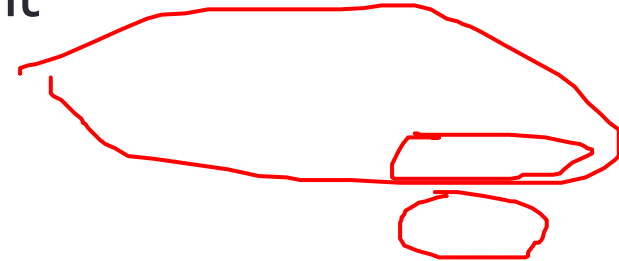
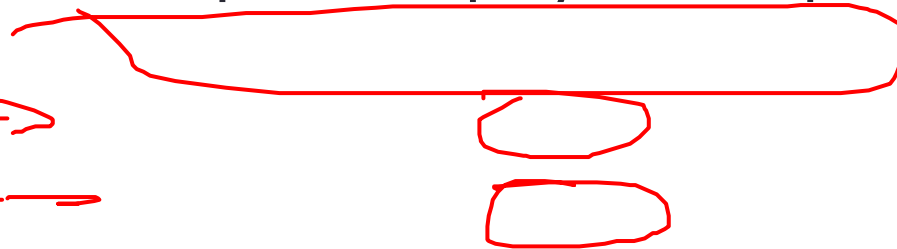
• produces:
every possible combination of a teacher and a course



In-class Exercise #2

- Retrieve the names of the department managers.

$E_Dept \leftarrow \text{Employee X Department}$



| Fname | Lname | ... | SSN | ... | DNO | ... | DName | DNumber | MGRSSN | ... |
|----------|---------|-----|-----------|-----|-----|-----|----------------|---------|-----------|-----|
| Franklin | Wong | ... | 333445555 | ... | | ... | Research | 5 | 333445555 | ... |
| Jennifer | Wallace | ... | 987654321 | ... | | ... | Administration | 4 | 987654321 | ... |
| James | Borg | ... | 888665555 | ... | | ... | Headquarters | 1 | 888665555 | ... |

$\text{Dept_MGR} \leftarrow \sigma_{\text{MGRSSN} = \text{SSN}} (\text{E_Dept})$

$\text{Result} \leftarrow \pi_{\text{Fname}, \text{Lname}} (\text{Dept_MGR})$



Join: Motivation



Example: Suppose that we want to retrieve the name of the manager of each department. To get the manager's name, we need to combine each DEPARTMENT tuple with the EMPLOYEE tuple whose SSN value matches the MGRSSN value in the department tuple. We can do this by using the join \bowtie operation.

$E_Dept \leftarrow \text{Employee} \times \text{Department}$
 $\text{Dept_MGR} \leftarrow \sigma_{SSN = MGRSSN} (E_Dept)$

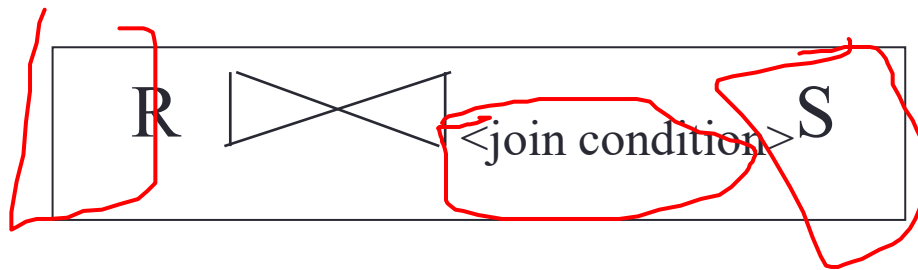
$\text{DEPT_MGR} \leftarrow \text{Employee} \bowtie_{MGRSSN=SSN} \text{Department}$

| Fname | Lname | ... | SSN | ... | DNO | ... | DName | DNumber | MGRSSN | ... |
|----------|---------|-----|-----------|-----|-----|-----|----------------|---------|-----------|-----|
| Franklin | Wong | ... | 333445555 | ... | | ... | Research | 5 | 333445555 | ... |
| Jennifer | Wallace | ... | 987654321 | ... | | ... | Administration | 4 | 987654321 | ... |
| James | Borg | ... | 888665555 | ... | | ... | Headquarters | 1 | 888665555 | ... |



Join Operation: General

- The sequence of Cartesian product followed by select is used quite commonly to identify and select related tuples from two relations, a special operation, called **JOIN**.



where R and S can be any relations that result from general relational algebra expressions



Basic Join Operation

- Condition Join: $R \bowtie_c S = \sigma_c (R \times S)$
- *Result schema* same as that of cross-product
- Fewer tuples than cross-product, might be able to compute more efficiently
- Sometimes called a *theta-join*.



Join: Example

Account ⋈_{Number=Account} Deposit

| Account | Number | Owner | Balance | Type |
|---------|--------|-----------|-----------|----------|
| | 101 | J. Smith | 1000.00 | checking |
| | 102 | W. Wei | 2000.00 | checking |
| | 103 | J. Smith | 5000.00 | savings |
| | 104 | M. Jones | 1000.00 | checking |
| | 105 | H. Martin | 10,000.00 | checking |

| Deposit | Account | Transaction-id | Date | Amount |
|---------|---------|----------------|----------|-----------|
| | 102 | 1 | 10/22/00 | 500.00 |
| | 102 | 2 | 10/29/00 | 200.00 |
| | 104 | 3 | 10/29/00 | 1000.00 |
| | 105 | 4 | 11/2/00 | 10,000.00 |

| Number | Owner | Balance | Type | Account | Transaction-id | Date | Amount |
|--------|-----------|-----------|----------|---------|----------------|----------|----------|
| 102 | W. Wei | 2000.00 | checking | 102 | 1 | 10/22/00 | 500.00 |
| 102 | W. Wei | 2000.00 | checking | 102 | 2 | 10/29/00 | 200.00 |
| 104 | M. Jones | 1000.00 | checking | 104 | 3 | 10/29/00 | 1000.00 |
| 105 | H. Martin | 10,000.00 | checking | 105 | 4 | 11/2/00 | 10000.00 |

In-class Exercise #3

- The following query does not produce meaningful information. It is created to test your understanding of the join operator.
- What is its result?

$\pi_{\text{Number}} (\text{Account} \bowtie_{\text{Number} > \text{Account}} \text{Deposit})$

| Account | Number | Owner | Balance | Type |
|---------|--------|-----------|-----------|----------|
| | 101 | J. Smith | 1000.00 | checking |
| | 102 | W. Wei | 2000.00 | checking |
| | 103 | J. Smith | 5000.00 | savings |
| | 104 | M. Jones | 1000.00 | checking |
| | 105 | H. Martin | 10,000.00 | checking |

| Deposit | Account | Transaction-id | Date | Amount |
|---------|---------|----------------|----------|-----------|
| | 102 | 1 | 10/22/00 | 500.00 |
| | 102 | 2 | 10/29/00 | 200.00 |
| | 104 | 3 | 10/29/00 | 1000.00 |
| | 105 | 4 | 11/2/00 | 10,000.00 |



In-class Exercise #4

- The following query does not produce meaningful information. It is created to test your understanding of the join operator.
- What is its result?

\bowtie = join $\pi_{\text{Number}}(\text{Account} \bowtie_{\text{Balance=Amount}} \text{Deposit})$

| Account | Number | Owner | Balance | Type |
|---------|--------|-----------|-----------|----------|
| | 101 | J. Smith | 1000.00 | checking |
| | 102 | W. Wei | 2000.00 | checking |
| | 103 | J. Smith | 5000.00 | savings |
| | 104 | M. Jones | 1000.00 | checking |
| | 105 | H. Martin | 10.000.00 | checking |

| Deposit | Account | Transaction-id | Date | Amount |
|---------|---------|----------------|----------|-----------|
| | 102 | 1 | 10/22/00 | 500.00 |
| | 102 | 2 | 10/29/00 | 200.00 |
| | 104 | 3 | 10/29/00 | 1000.00 |
| | 105 | 4 | 11/2/00 | 10.000.00 |



EquiJoin Problem: Example

- Problem: join with equal
 - Some call it EquiJoin

Account  Number=Account Deposit

Note that when the join is based on equality, then we have two identical attributes (columns) in the answer.

| Number | Owner | Balance | Type | Account | Trans-id | Date | Amount |
|--------|-----------|-----------|----------|---------|----------|----------|----------|
| 102 | W. Wei | 2000.00 | checking | 102 | 1 | 10/22/00 | 500.00 |
| 102 | W. Wei | 2000.00 | checking | 102 | 2 | 10/29/00 | 200.00 |
| 104 | M. Jones | 1000.00 | checking | 104 | 3 | 10/29/00 | 1000.00 |
| 105 | H. Martin | 10,000.00 | checking | 105 | 4 | 11/2/00 | 10000.00 |

Natural Join Operation

- Definition: join on the equality of the common attribute(s), only one copy of the common attribute(s) survives
 - “Common”: attribute(s) with same name(s)
 - Denoted by “*”
- Assume R(ABC), S(AD)

Result $\leftarrow R * S$

$R1 \leftarrow R \times S$

$R2 \leftarrow \sigma_{R.A=S.A}(R1)$

Result: one copy of column A removed

Result(ABCD)



Natural Join: Example

Example: To apply a natural join on the DNUMBER attributes of DEPARTMENT and DEPT_LOCATIONS, it is sufficient to write:

DEPT_LOCS ← DEPARTMENT * DEPT_LOCATIONS

EMPLOYEE

| Fname | Minit | Lname | <u>Ssn</u> | Bdate | Address | Sex | Salary | Super_ssn | Dno |
|----------|-------|---------|------------|------------|--------------------------|-----|--------|-----------|-----|
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DEPARTMENT

| Dname | <u>Dnumber</u> | Mgr_ssn | Mgr_start_date |
|----------------|----------------|-----------|----------------|
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DEPT_LOCATIONS

| <u>Dnumber</u> | <u>Dlocation</u> |
|----------------|------------------|
| 1 | Houston |
| 4 | Stafford |
| 5 | Bellaire |
| 5 | Sugarland |
| 5 | Houston |



In-Class Exercises #5

- What is the result?

1. $AB \bowtie_{A=a_1} CD1$
2. $AB \bowtie_{A=a_1} CD2$
3. AB^*CD1
4. AB^*CD2
5. AB^*CD3
6. AB^*CD4
7. AB^*CD5

| AB | A | B |
|----|----|----|
| | a1 | b1 |
| | a2 | b2 |

| CD1 | C | D | X |
|-----|----|----|----|
| | c1 | d1 | x1 |
| | d2 | d2 | x2 |

| CD4 | AA1 | BB1 | X |
|-----|-----|-----|----|
| | a1 | b1 | x1 |
| | a2 | b2 | x2 |

| CD2 | A | D | X |
|-----|----|----|----|
| | a2 | d2 | x1 |
| | a1 | d1 | x2 |

| CD5 | A | B | X |
|-----|----|----|----|
| | a1 | d1 | x1 |
| | a2 | d2 | x2 |

| CD3 | A | B | X |
|-----|----|----|----|
| | a1 | b1 | x1 |
| | a2 | b2 | x2 |



Summary of Today's Lecture

- Advanced RA operations:
 - Rename (ρ)
 - Cross Product (X)
 - Join (\bowtie)
 - EquiJoin
 - Natural Join (*)
- Exercises

