# CS 341: Algorithms Lecture 1: Course Introduction

### Armin Jamshidpey Collin Roberts

Based on lecture notes by Eric Schost and many previous CS 341 instructors ´

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# **Staff**

#### **Instructors**

- Armin Jampshidpey
- Mark Petrick
- Collin Roberts

### **ISC**

• Sylvie Davies (sldavies)

## **Electronic communication**

#### **Course webpage:**

- Course Outline
- Lecture Slides

### **Piazza**

- Make sure you are signed up using your UWaterloo email address
- <http://piazza.com/uwaterloo.ca/winter2025/cs341>
- posting solutions to assignments is forbidden

#### **email**

• use your uwaterloo address

# **Assignments, exams, project, etc**

- **5 assignments** (20%)
- **2 programming questions** (4%)
- **Midterm** (30%)
	- Monday, Feb 24, 7:00-8:50pm.
- **Final** (46%)
	- TBA

In order to pass the course, you **must**:

- earn half of the written assignment points and
- earn half of the exam points.

If you don't meet the above requirement, your final mark will be the smaller of the normal calculation and a mark of 46.

### **References**

- **Slides**
	- posted before the lecture (expectedly)
- **Textbooks**
	- **Introduction to Algorithms**, Cormen, Leiserson, Rivest, Stein [CLRS]
	- **Algorithm Design**, Kleinberg, Tardos [KT]
	- **Algorithms**, Dasgupta, Papadimitriou, Vazirani [DPV]

### **This course**

### **What you should know**

- CS240-level data strucures and algorithms
- big-O notation
- maybe a bit of math (matrices, for instance)

### **What we will do**

- a lot of algorithms
- pseudo-code
- proofs for correctness and runtime

### **What we will not do**

• read/write code in class

## **Tentative syllabus**

- divide-and-conquer, master theorem
- breadth-first and depth-first search
- greedy algorithms
- dynamic programming
- NP-completeness

# **Cost of algorithms**

### **Inputs**

- parameterized by an integer *n*, called the size
- e.g., length of an array that we want to work with

$$
T(I) = \text{ runtime on input } I
$$
\n
$$
T(n) = \max_{I \text{ of size } n} T(I)
$$
\n
$$
T_{\text{avg}}(n) = \frac{\sum_{I \text{ of size } n} T(I)}{\text{number of inputs of size } I}
$$
\n
$$
T_{\text{avg}}(n) = \frac{\sum_{I \text{ of size } n} T(I)}{\sum_{I \text{ over } n} T(I)}
$$
\n
$$
T_{\text{avg}}(n) = \frac{\sum_{I \text{ over } n} T(I)}{\sum_{I \text{ over } n} T(I)}
$$

**Remark:** we will sometimes use more than one parameter

- numbers of rows and columns in a matrix
- vertices and edges in a graph

Consider two functions  $f(n)$ ,  $g(n)$  with values in  $\mathbb{R}_{>0}$ 

### **big-O.**

**1.** we say that  $f(n) \in O(g(n))$  if there exist  $C > 0$  and  $n_0$ , such that for  $n \ge n_0$ ,  $f(n) \le Cg(n)$ 



Consider two functions  $f(n)$ ,  $g(n)$  with values in  $\mathbb{R}_{>0}$ 

### **big-**Ω**.**

- **1.** we say that  $f(n) \in \Omega(g(n))$  if there exist  $C > 0$  and  $n_0$  such that for  $n \geq n_0$ ,  $f(n) \geq Cg(n)$
- **2.** equivalent to  $q(n) \in O(f(n))$



Consider two functions  $f(n)$ ,  $g(n)$  with values in  $\mathbb{R}_{>0}$ 

### Θ**.**

- **1.** we say that  $f(n) \in \Theta(g(n))$  if there exist  $C, C' > 0$  and  $n_0$  such that for  $n \geq n_0$ ,  $C'g(n) \leq f(n) \leq Cg(n)$
- **2.** equivalent to  $f(n) \in O(g(n))$  and  $f(n) \in \Omega(g(n))$ .
- **3.** in particular true if  $\lim_{n \to \infty} f(n)/g(n) = C$  for some  $0 < C < \infty$



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Consider two functions  $f(n)$ ,  $g(n)$  with values in  $\mathbb{R}_{>0}$ 

#### **little-o.**

- **1.** we say that  $f(n) \in o(g(n))$  if for all  $C > 0$ , there exists  $n_0$  such that for  $n \ge n_0$ ,  $f(n) \le Cg(n)$
- **2.** equivalent to  $\lim_{n\to\infty} f(n)/g(n) = 0$ .



Consider two functions  $f(n)$ ,  $g(n)$  with values in  $\mathbb{R}_{>0}$ 

#### **little-***ω***.**

- **1.** we say that  $f(n) \in \omega(g(n))$  if for all  $C > 0$ , there exists  $n_0$  such that for  $n \geq n_0$ ,  $f(n) > Cg(n)$
- **2.** equivalent to  $\lim_{n\to\infty} f(n)/g(n) = \infty$
- **3.** equivalent to  $g(n) \in o(f(n))$ .



# **Examples**

•  $n^k + c_{k-1}n^{k-1} + \cdots + c_0$  is in  $\Theta(n^k)$ 

) *c<sup>i</sup>* and *k* constant!

- $\bullet$   $n^{O(1)}$  means (at most) polynomial in  $n$
- $n \log(n)$  is in  $O(n^2)$  and  $\Omega(n)$

True/False  $2^{n-1}$  is in  $\Theta(2^n)$ ?

<https://padlet.com/arminjamshidpey/CS341>

True/False

 $(n − 1)!$  ∈  $\Theta(n!)$ ?

<https://padlet.com/arminjamshidpey/CS341>

## **Definitions for several parameters**



## **Definitions for several parameters**

Consider two functions  $f(n, m)$ ,  $g(n, m)$  with values in  $\mathbb{R}_{>0}$ 

*f*(*n, m*) is in  $O(g(n, m))$  if there exist  $C, n_0, m_0$  such that  $f(n, m) \leq Cg(n, m)$  for  $n > n_0$  or  $m > m_0$ 

#### **Remark:**

- less strict definition: there exist  $C, n_0, m_0$  such that  $f(n, m) \leq C g(n, m)$  for  $n \geq n_0$ **and**  $m > m_0$
- will not matter too much which one we choose

### **Rough definition:**

- memory locations contain integer words of *b* **bits** each
- assume  $b > log(n)$  for input size *n*
- Random Access Memory: can access any memory location at unit cost
- basic operations on words have unit costs

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**Sum**(*A*[1*..n*]) 1.  $s \leftarrow 0$ 2. **for**  $i = 1, ..., n$ 3.  $s \leftarrow s + A[i]$ 

### Padlet

If all entries of *A* fit in a word, the cost is ...

<https://padlet.com/arminjamshidpey/CS341>

**Product**(*A*[1*..n*]) 1.  $s \leftarrow 1$ 2. **for**  $i = 1, ..., n$ 3.  $s \leftarrow s \times A[i]$ 

### Padlet

All entries of *A* fit in a word. Does it have the same runtime as the Sum algorithm (on the previous slide)?

<https://padlet.com/arminjamshidpey/CS341>

**Product**(*A*[1*..n*]) 1.  $s \leftarrow 1$ 2. **for**  $i = 1, ..., n$ 3.  $s \leftarrow s \times A[i]$ 

### Padlet

All entries of *A* fit in a word. Does it have the same runtime as the Sum algorithm (on the previous slide)? <https://padlet.com/arminjamshidpey/CS341>

#### **More examples**

- matrix multiplication algorithms (with word-size inputs) are OK
- other matrix algorithms (Gaussian elimination) need more care
- (weighted) graph algorithms (weights fit in a word) are usually OK

# **Practical relevance?**

- **1. big-O is only an upper bound**
	- typical example: 1 is in  $O(n^2)$  and *n* is in  $O(n)$ , but ...
	- try to give  $\Theta$ 's if possible
- **2. big-anything hides constants**
	- this is by design
	- a  $\Theta(n^2)$  will beat a  $\Theta(n^3)$  algorithm **eventually**
	- **galactic algorithms**: become practically relevant for astronomical input sizes (fast matrix or integer multiplication)
- **3. we use a simplified model**
	- artificial computational model
	- focus on "operations", forget memory requirements, data locality, . . .

# **Case study: maximum subarray**

#### **Task**

Given an array  $A[1..n]$ , find a contiguous subarray  $A[i..j]$  that maximizes the sum  $A[i] + \cdots + \overline{A[j]}$ .

**Example.** Given

$$
A = [10, -5, 4, 3, -5, 6, -1, -1]
$$

the subarray

$$
A[1..6] = [10, -5, 4, 3, -5, 6]
$$

has sum  $10 + \cdots + 6 = 13$ . It is the best we can do.

**Convention.** We can take  $j = i - 1$ , so  $A[i..j]$  is empty, and the sum is zero.

**Note:** To make things simpler, here we just try to find the maximum sum and not the array.

## **Brute force algorithm**

```
Test2(A)
1. \max \leftarrow 0<br>2. for i \leftarrow 12. for i \leftarrow 1 to n do<br>3. for i \leftarrow i to n
              for j \leftarrow i to n do
4. \text{sum} \leftarrow 0<br>5. \text{for } k \leftarrow ifor k \leftarrow i to j do
6. \text{sum} \leftarrow A[k]7. return max
```
# **Brute force algorithm**

**BruteForce**(*A*) 1.  $opt \leftarrow 0$ 2. **for**  $i \leftarrow 1$  **to** *n* **do** 3. **for**  $j \leftarrow i$  **to** *n* **do** 4.  $\text{sum} \leftarrow 0$ 5. **for**  $k \leftarrow i$  **to** *j* **do** 6.  $\text{sum} \leftarrow \text{sum} + A[k]$ 7. **if** sum  $>$  opt 8. opt  $\leftarrow$  sum 9. **return** opt

# **Brute force algorithm**

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**Runtime**: Θ(*n* 3 )

## **Improved brute force algorithm**

**Idea:** we recompute the same sum many times in the *j* loop.

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**Idea:** we recompute the same sum many times in the *j* loop.

```
BetterBruteForce(A)
1. opt \leftarrow 02. for i \leftarrow 1 to n do<br>3. sum \leftarrow 0sum \leftarrow 04. for j \leftarrow i to n do
5. \text{sum} \leftarrow \text{sum} + A[j]6. if sum > opt
7. opt \leftarrow sum8. return opt
```
**Runtime**: Θ(*n* 2 )

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- **1.** is completely in the left half *A*[1*..n/*2]
- **2.** or is completely in the right half  $A[n/2+1..n]$
- **3.** or contains **both**  $A[n/2]$  **and**  $A[n/2+1]$

(cases mutually exclusive.)

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To find the optimal subarray in case **3**, write

 $A[i] + \cdots + A[j] = A[i] + \cdots + A[n/2] + A[n/2 + 1] + \cdots + A[j]$ 

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 $A[i] + \cdots + A[i] = A[i] + \cdots + A[n/2] + A[n/2 + 1] + \cdots + A[i]$ 

more abstractly:  $F(i, j) = f(i) + g(j)$ , for *i* in  $1, \ldots, n/2$  and *j* in  $n/2 + 1, \ldots, n$ 

To maximize  $F(i, j)$ , maximize  $f(i)$  and  $g(j)$  independently.

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# **Maximizing half-sums**

**MaximizeLowerHalf**(*A*) 1. opt  $\leftarrow$  *A*[*n*/2] 2.  $\text{sum} \leftarrow A[n/2]$ 3. **for**  $i = n/2 - 1, ..., 1$  **do** 4.  $\text{sum} \leftarrow \text{sum} + A[i]$ 5. **if** sum *>* opt 6. opt  $\leftarrow$  sum 7. **return** opt

**Runtime**: Θ(*n*)

# **Maximizing half-sums**

**MaximizeLowerHalf**(*A*) 1.  $\qquad \text{opt} \leftarrow A[n/2]$ 2.  $\text{sum} \leftarrow A[n/2]$ 3. **for**  $i = n/2 - 1, ..., 1$  **do** 4.  $\text{sum} \leftarrow \text{sum} + A[i]$ 5. **if** sum  $>$  opt 6. opt  $\leftarrow$  sum 7. **return** opt

**Runtime**: Θ(*n*)

```
MaximizeUpperHalf(A)
1. . . .
```
**Runtime**: Θ(*n*)

## **Main algorithm**

### **DivideAndConquer**(*A*[1*..n*])

- 1. **if**  $n = 1$  **return**  $max(A[1], 0)$
- 2. opt<sub>lo</sub> ← DivideAndConquer( $A[1..n/2]$ )<br>3. opt<sub>bi</sub> ← DivideAndConquer( $A[n/2+1]$
- 3. opt<sub>hi</sub> ← DivideAndConquer $(A[n/2+1..n])$ <br>4. opt<sub>middle</sub> ← MaximizeLowerHalf $(A)$  + Max
- 4. opt<sub>middle</sub> ← MaximizeLowerHalf(*A*) + MaximizeUpperHalf(*A*) <br>5. return max(opt<sub>ra</sub>, opt<sub>middle</sub>)
- $\textbf{return } \max(\text{opt}_{\text{lo}}, \text{opt}_{\text{hi}}, \text{opt}_{\text{middle}})$

## **Main algorithm**

**DivideAndConquer**(*A*[1*..n*]) if  $n = 1$  return  $max(A[1], 0)$ 2. opt<sub>lo</sub> ← DivideAndConquer $(A[1..n/2])$ 3.  $\qquad \text{opt}_{\text{hi}} \leftarrow \text{DivideAndConquer}(A[n/2+1..n])$  $4.$  opt<sub>middle</sub> ← MaximizeLowerHalf $(A)$  + MaximizeUpperHalf $(A)$  $\textbf{return } \max(\text{opt}_{\text{lo}}, \text{opt}_{\text{hi}}, \text{opt}_{\text{middle}})$ 

**Runtime:**  $T(n) = 2T(n/2) + \Theta(n)$  so  $T(n) \in \Theta(n \log(n))$ 

**Proof:** same as MergeSort. Details in next module.

**Idea:** solve the problem in subarrays  $A[1..j]$  of sizes  $1, \ldots, n$ .

**Idea:** solve the problem in subarrays  $A[1,j]$  of sizes  $1, \ldots, n$ . The optimal subarray

- 1. is either a subarray of  $A[1..n-1]$ ,
- **2.** or contains  $A[n]$
- (cases mutually exclusive!)

**Idea:** solve the problem in subarrays  $A[1,j]$  of sizes  $1, \ldots, n$ . The optimal subarray

- 1. is either a subarray of  $A[1..n-1]$ ,
- **2.** or contains *A*[*n*]

(cases mutually exclusive!)

**Translation:** write  $M(j) = \max$  sum for subarrays of  $A[1..j]$ . Then

$$
M(n) = \max(M(n-1), \overline{M}(n))
$$

with  $\overline{M}(j) = \text{max}$  sum for subarrays of  $A[1..j]$ , that include j.

How can we compute  $\overline{M}(1), \ldots, \overline{M}(n)$ ?

**Idea.** As before: the optimal subarray that contains *A*[*n*]

- 1. is of the form  $A[i..n-1,n]$ , for some  $i \leq n-1$
- **2.** or is exactly  $[A[n]]$

(cases mutually exclusive)

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**Idea.** As before: the optimal subarray that contains *A*[*n*]

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- **2.** or is exactly  $[A[n]]$

(cases mutually exclusive)

**Translation:** 
$$
\overline{M}(n) = \max(\overline{M}(n-1) + A[n], A[n]) = A[n] + \max(\overline{M}(n-1), 0)
$$

Can eliminate recursive calls, and write as a loop.



## **Main algorithm**

**DynamicProgramming**(*A*) 1.  $\overline{M} \leftarrow A[1]$ 2.  $M \leftarrow \max(\overline{M}, 0)$ 3. **for**  $i = 2, \ldots, n$  **do** 4.  $M \leftarrow A[i] + \max(M, 0)$ 5.  $M \leftarrow \max(M, M)$ 6. **return** *M*

**Runtime:** Θ(*n*)