CS 341: ALGORITHMS

Lecture 7: finishing greedy

Readings: see website

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LAST TIME: EXCHANGE ARGUMENT FOR INTERVAL SELECTION

ASSUMED: PROFIT / WEIGHT RATIOS

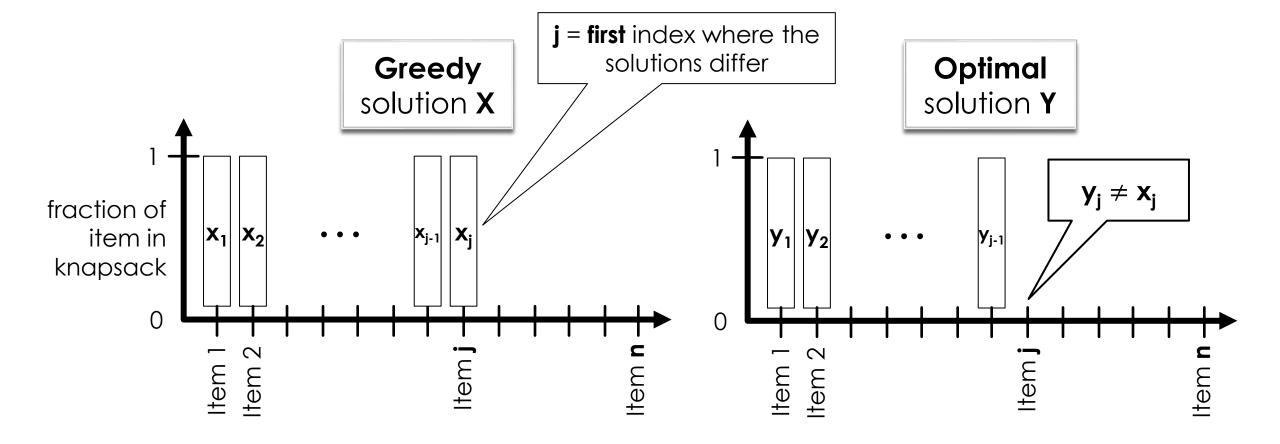
ARE **DISTINCT**

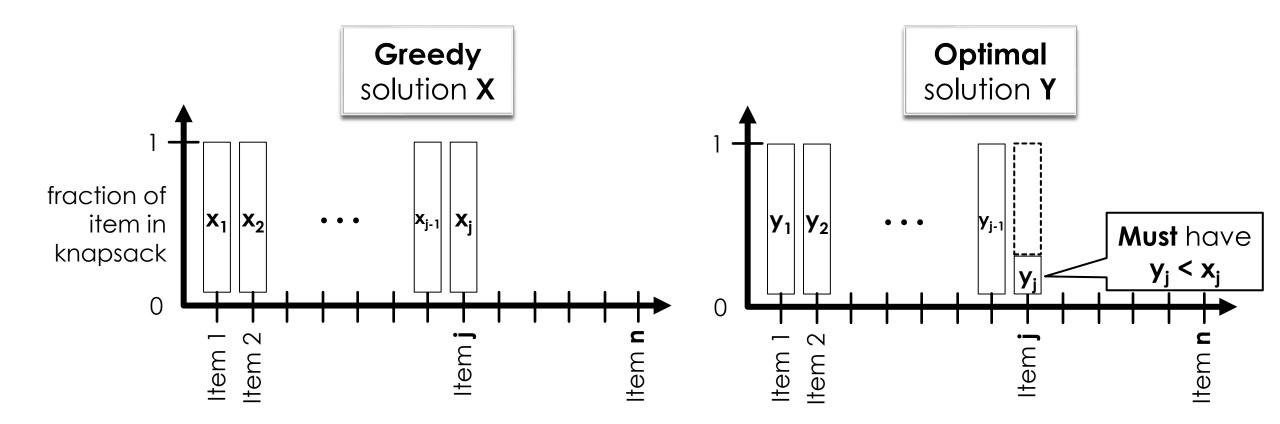
WHAT IF PROFIT/WEIGHT RATIOS ARE **NOT DISTINCT**?

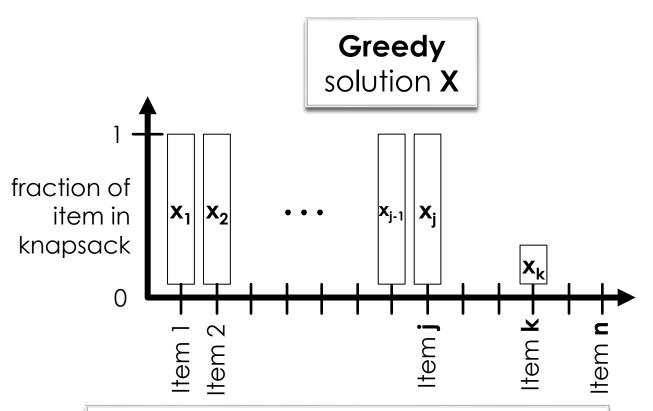
OR, MORE GENERALLY, WHAT IF THERE ARE MANY OPT SOLUTIONS?

WHAT IF THERE ARE **MANY** OPTIMAL SOLUTIONS

- Can't just assume X != Y and obtain a contradiction!
- Key idea: focus on one particular optimal solution
 - Let Y be an optimal solution
 that matches X on a maximal number of indices
 - **Observe**: if X is really optimal, then Y = X
- Suppose X != Y for contra
 - We will modify Y, preserving its optimality,
 but making it match X on one more index (a contradiction!)



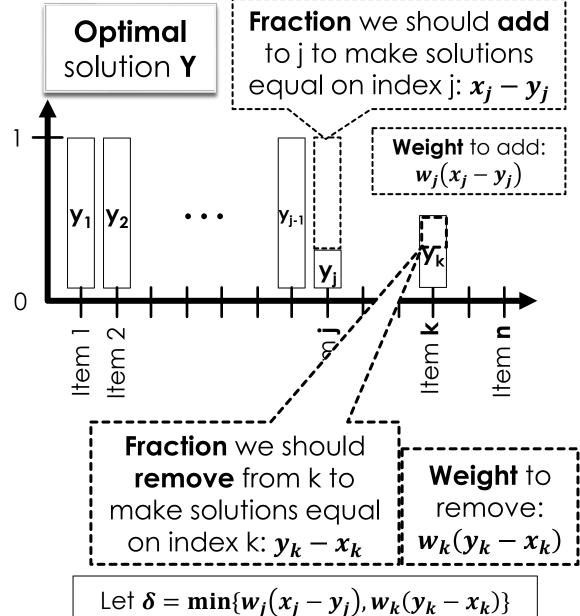




Must exist $\mathbf{k} > \mathbf{j}$ such that $\mathbf{y_k} > \mathbf{x_k}$ because weight of X and Y must be the same

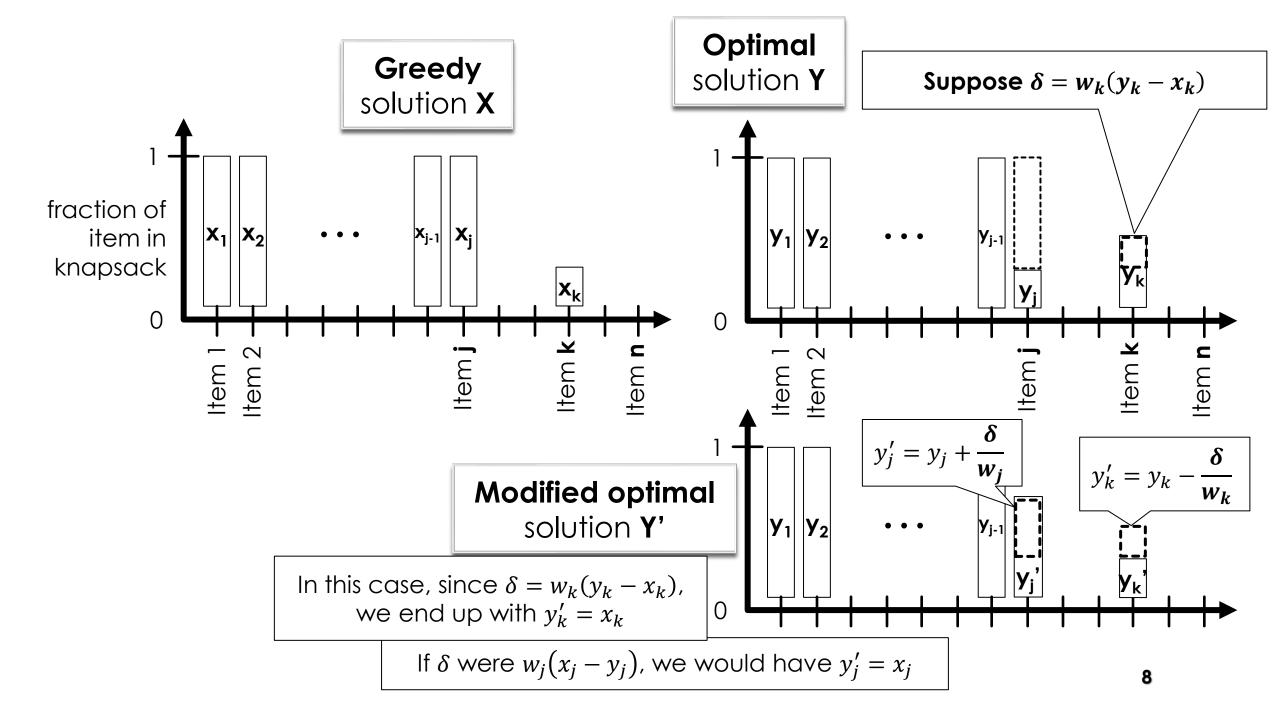
Remove some weight δ of item k and add the same weight of item j

With the goal of making the solutions equal on index k or index j

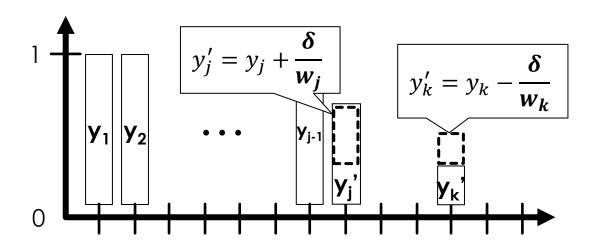


Let
$$\pmb{\delta} = \min\{w_j ig(x_j - y_jig), w_k (y_k - x_k)\}$$

Observe $\delta > 0$







To show Y' is feasible, we show $weight(Y') \leq M$ and $y'_k \geq 0, y'_j \leq 1$

Weight

We move δ weight from item k to item j. This does not change the total weight! So weight(Y') = weight(Y) = M

FEASIBILITY OF Y'

- Showing $y'_k \ge 0$
 - By definition, $y_k' = y_k \frac{\delta}{w_k} \ge 0$ iff $\delta \le y_k w_k$
 - But δ is the **minimum** of $w_j(x_j y_j)$ and $w_k(y_k x_k)$
 - And $w_k(y_k x_k) \le w_k y_k$ so $\delta \le y_k w_k$
- Showing $y_j' \leq 1$

$$y_j' = y_j + \frac{\delta}{w_j} \le 1 \text{ iff } \delta \le w_j (1 - y_j)$$

(rearranging)

$$\delta \leq w_j(x_j-y_j)$$

(definition of δ)

o and
$$w_j(x_j - y_j) \leq w_j(1 - y_j)$$

(by feasibility of X, i.e., $x_j \leq 1$)

PROFIT OF Y'

(Fraction of item j **added**) × (profit for entire item)

$$profit(Y') = profit(Y) + \frac{\delta}{w_j} p_j - \frac{\delta}{w_k} p_k = profit(Y) + \delta \left(\frac{p_j}{w_j} - \frac{p_k}{w_k} \right)$$

- Since j is before k, and we consider items with more profit per unit weight first, we have $\frac{p_j}{w_j} \ge \frac{p_k}{w_k}$.
- Since $\delta > 0$ and $\frac{p_j}{w_j} \ge \frac{p_k}{w_k}$, we have $\delta\left(\frac{p_j}{w_j} \frac{p_k}{w_k}\right) \ge 0$
- Since Y is optimal, this cannot be positive
- So Y' is a new optimal solution
 that matches X on one more index than Y
- Contradiction: Y matched X on a maximal number of indices!

SUMMARIZING EXCHANGE ARGUMENTS

- If there is a unique optimal solution
 - Let O!= G be an optimal solution that beats greedy
 - Show how to change O to obtain a better solution
- If there is more than one optimal solution
 - Let O!= G be an optimal solution that matches greedy on as many choices as possible
 - Show how to change O to obtain an optimal solution
 O' that matches greedy for even more choice(s)

FINISHING UP GREEDY



INTERVAL COLOURING

PROBLEM: INTERVAL COLOURING

Instance: A set $A = \{A_1, \dots, A_n\}$ of intervals.			
For $1 \le i \le n$, $A_i = [s_i, f_i)$, where s_i is the start time of interval A_i and			
f_i is the finish time of A_i .			
Feasible solution: A c-colouring is a mapping $col : A \rightarrow \{1, \dots, c\}$			
that assigns each interval a colour such that two intervals receiving the			
same colour are always disjoint.			
Find: A c -colouring of A with the minimum number of colours.			

Example		7 intervals, 7 colours. Feasible , but not optimal
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MORE EXAMPLES Same color, but **not** disjoint... Example Not feasible! 7 intervals, 6 colours. Example Feasible, but Same color, not optimal but disjoint. OK! 7 intervals, Example 2 colours. **Optimal**

Greedy Strategies for Interval Colouring

As usual, we consider the intervals one at a time.

At a given point in time, suppose we have coloured the first i < n intervals using d colours.

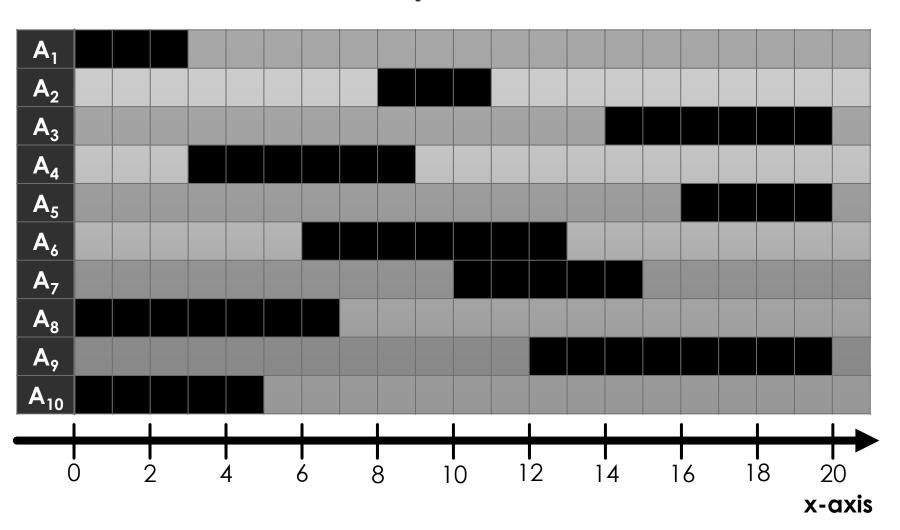
We will colour the (i + 1)st interval with **any permissible colour**. If it cannot be coloured using any of the existing d colours, then we introduce a **new colour** and d is increased by 1.

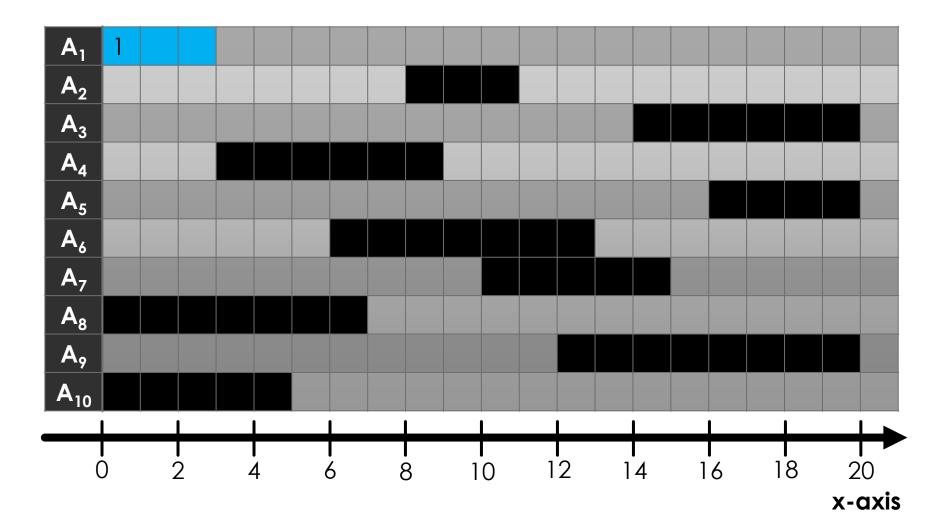
Question: In what order should we consider the intervals?

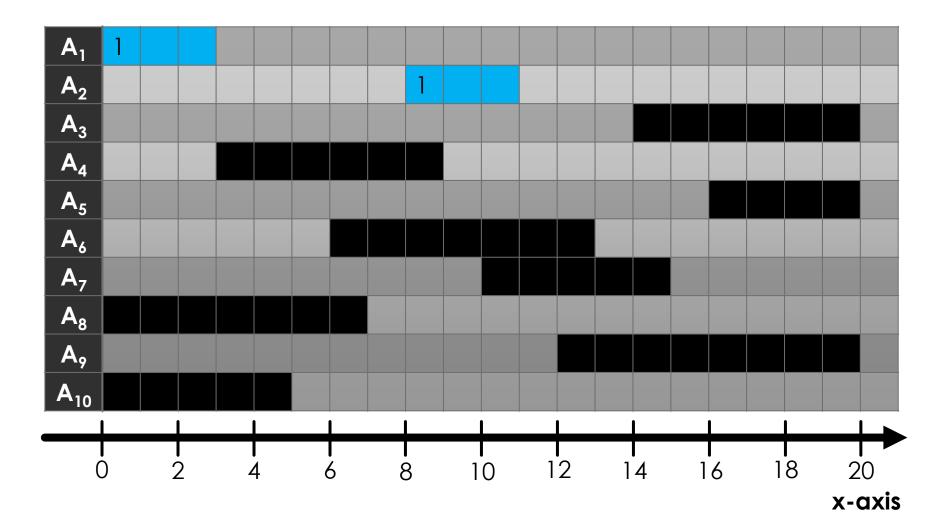
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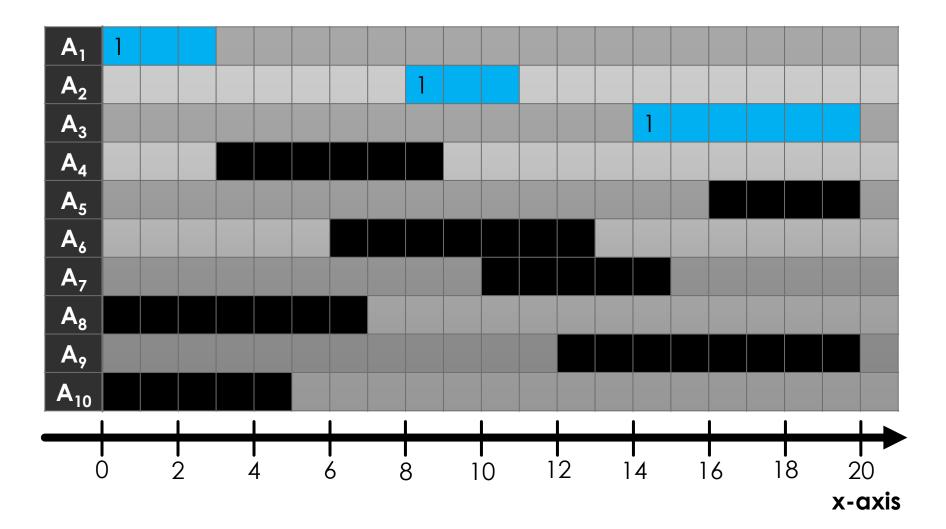
EXAMPLE: ORDER MATTERS!

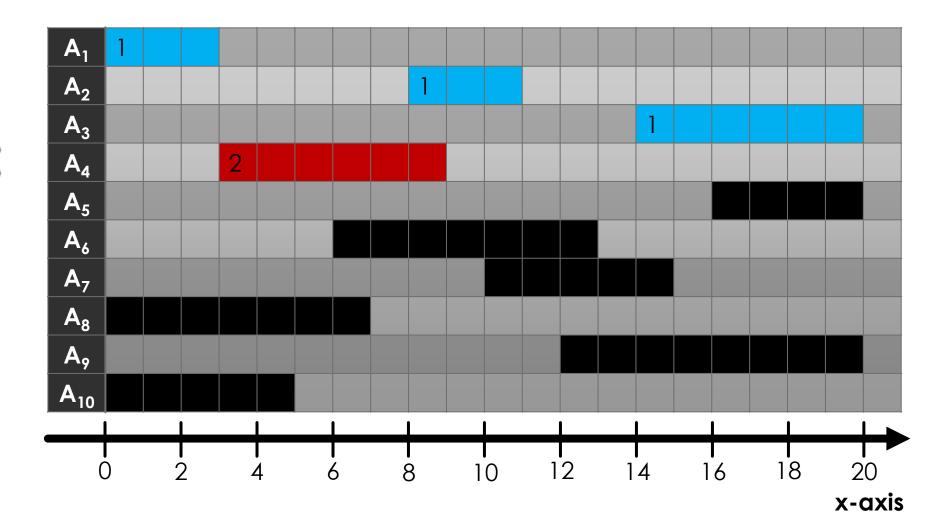
Consider intervals in the order they are given in the input: $A_1 \dots A_{10}$

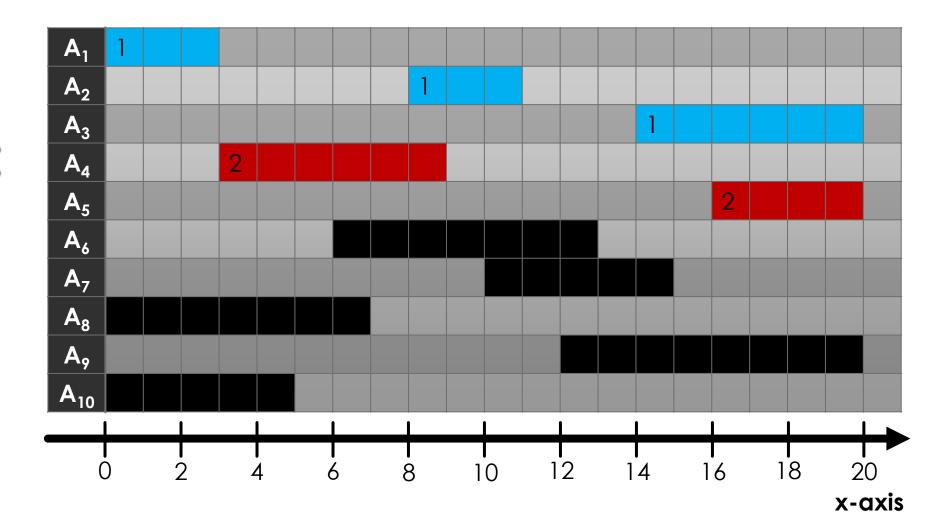


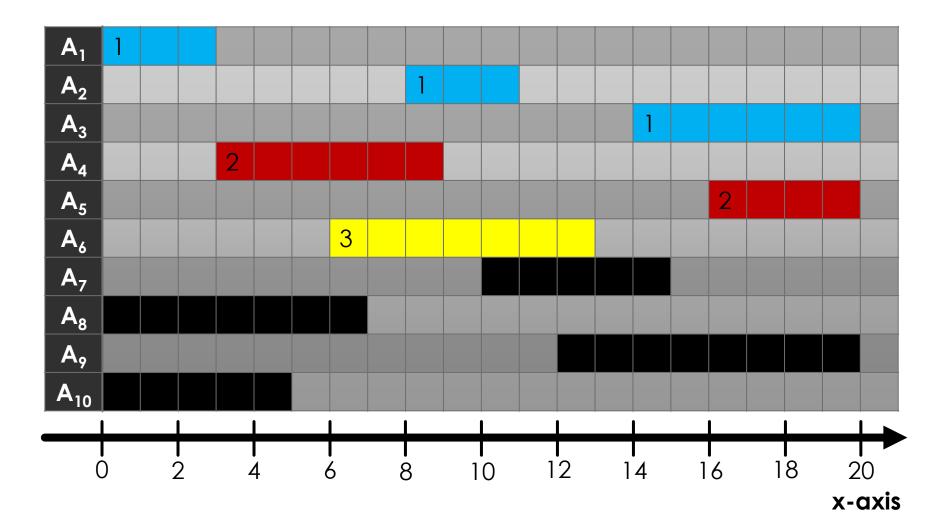


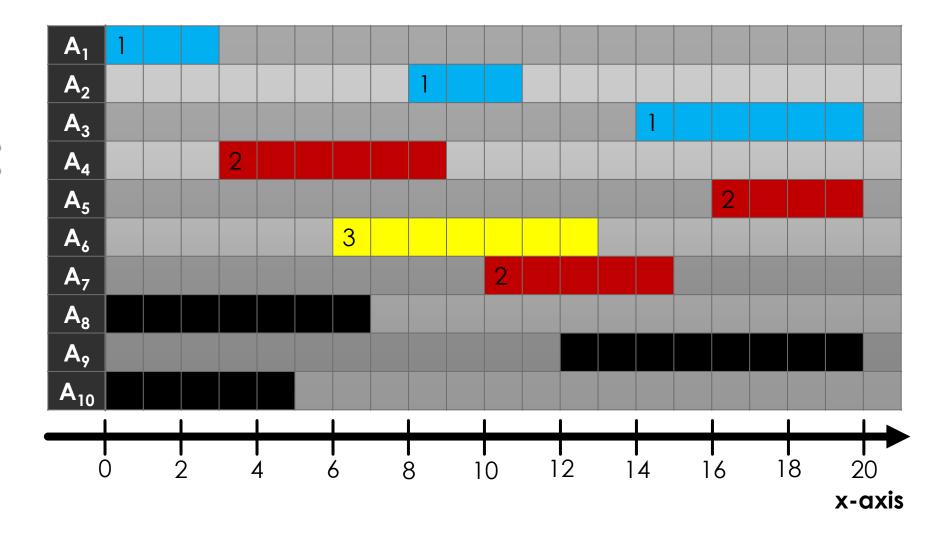


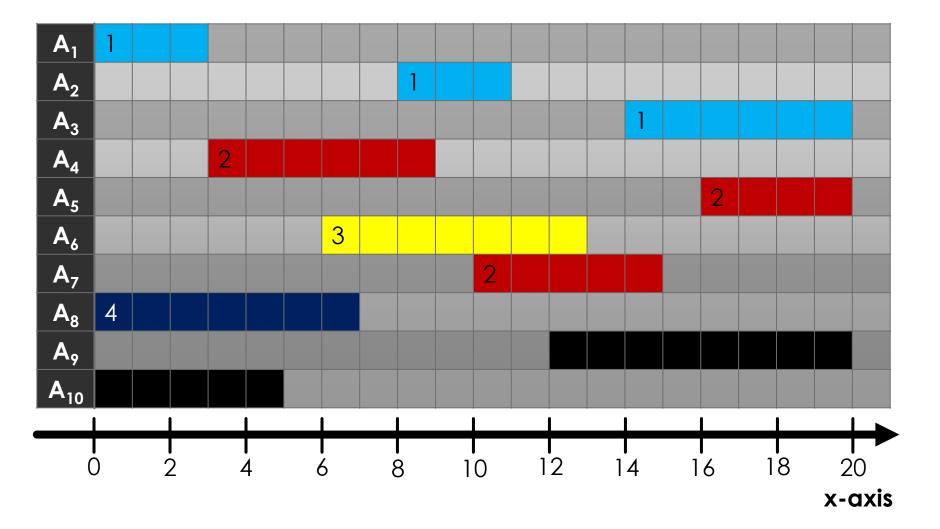


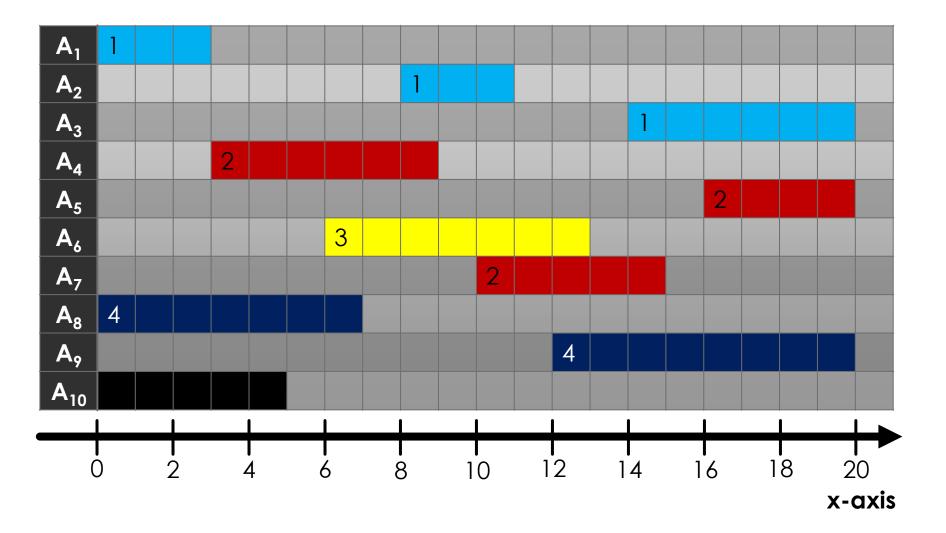






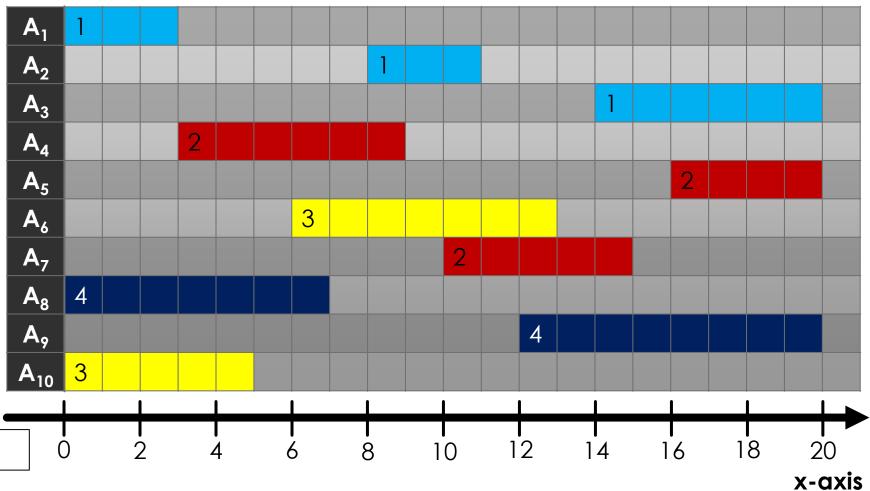




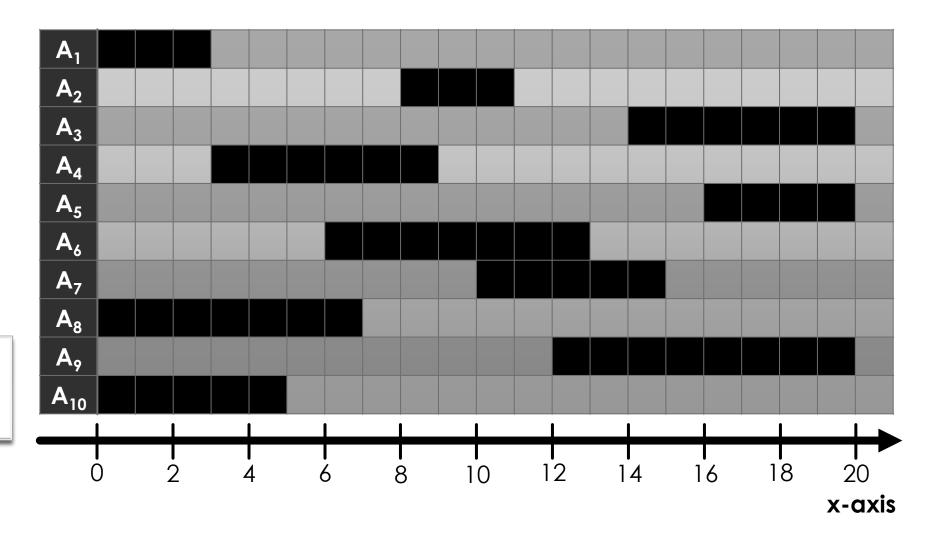




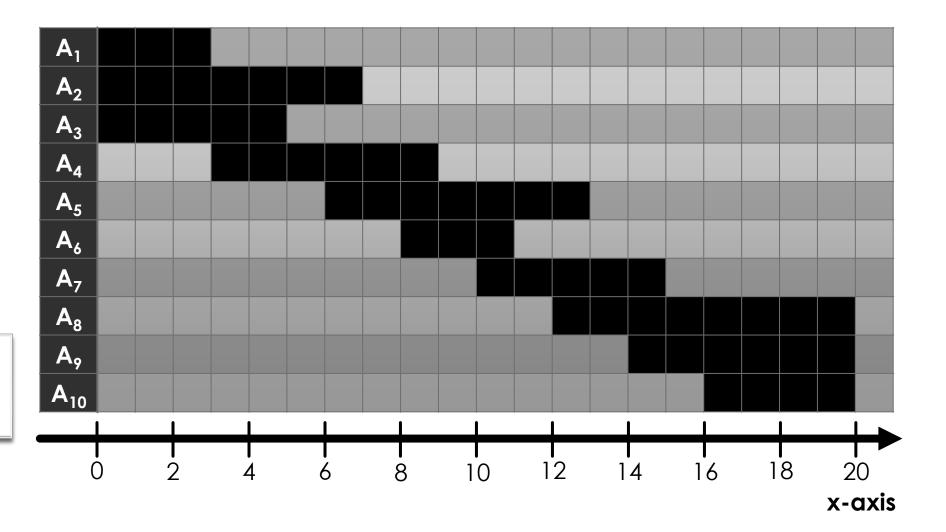


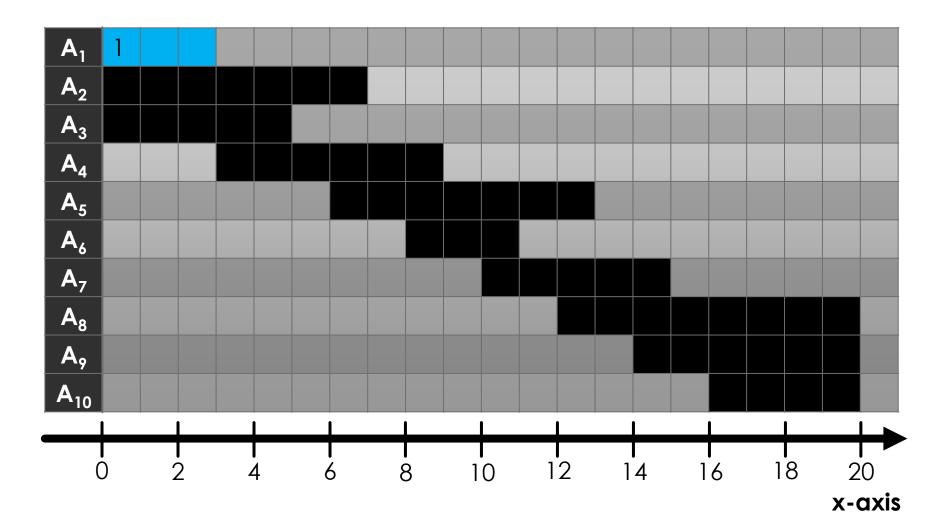


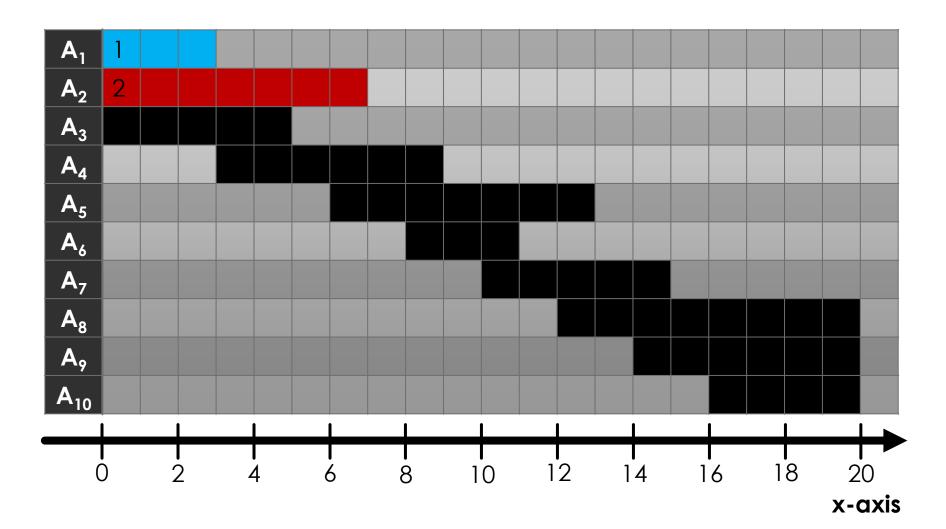
Pre-sort intervals by increasing start time!

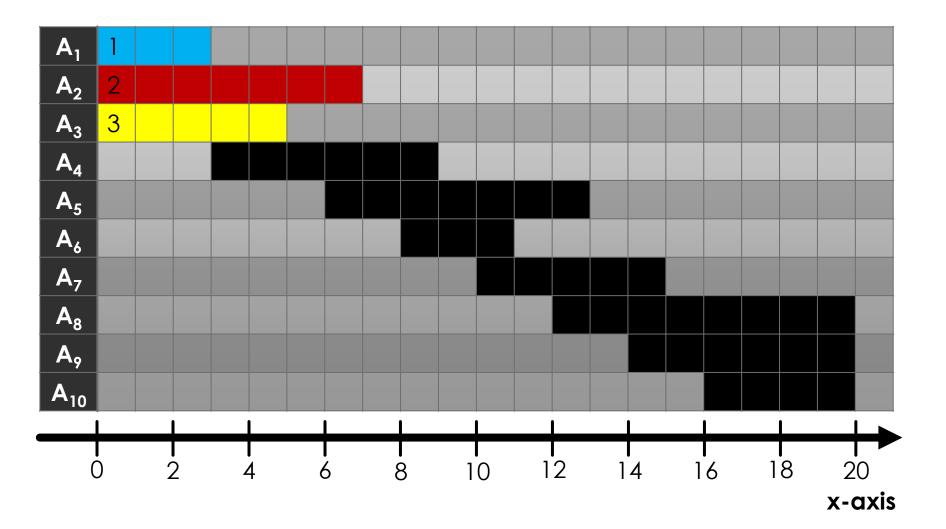


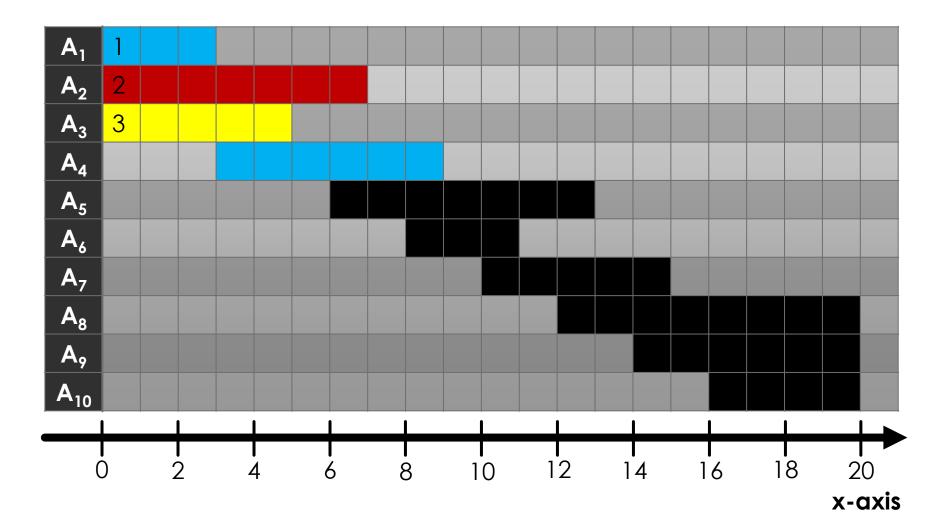
Pre-sort intervals by increasing start time!

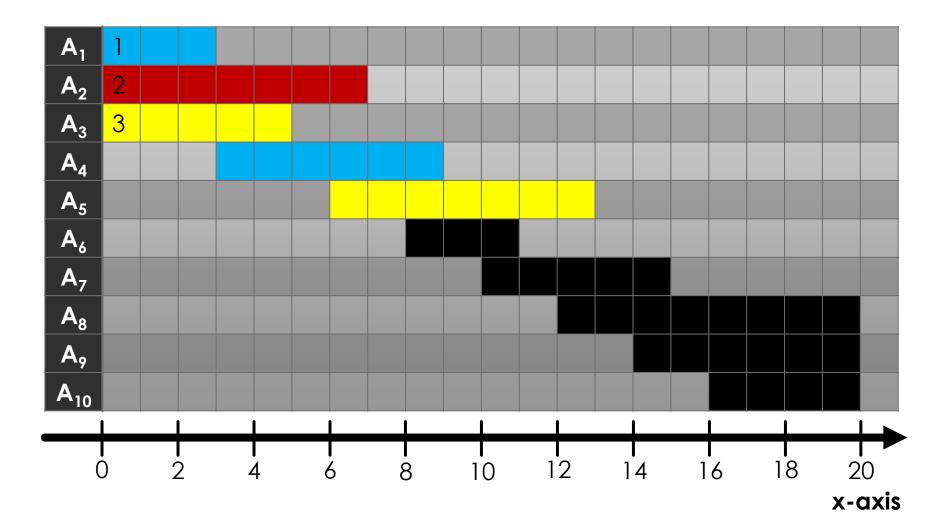


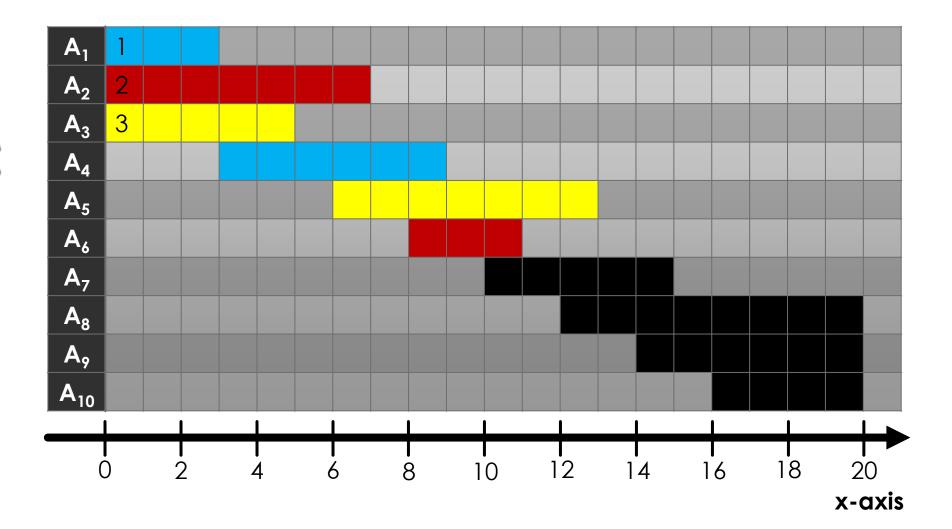


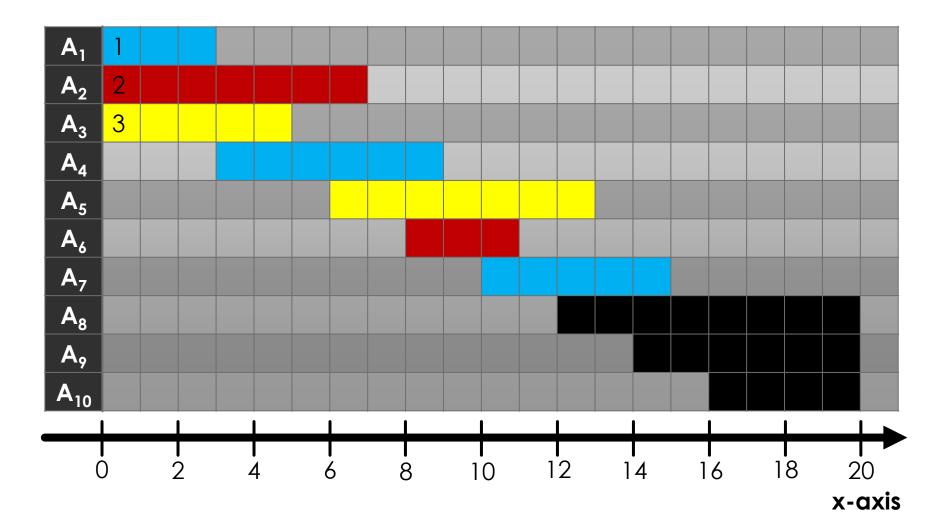


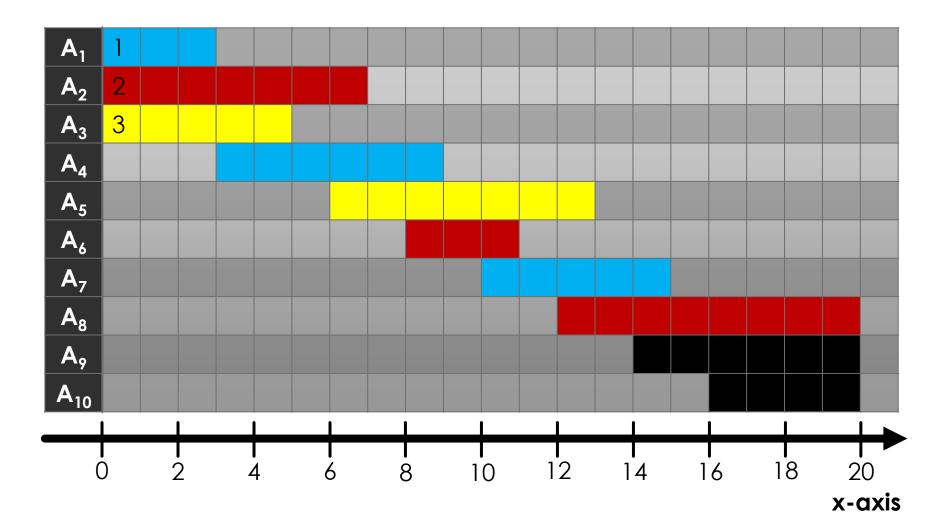


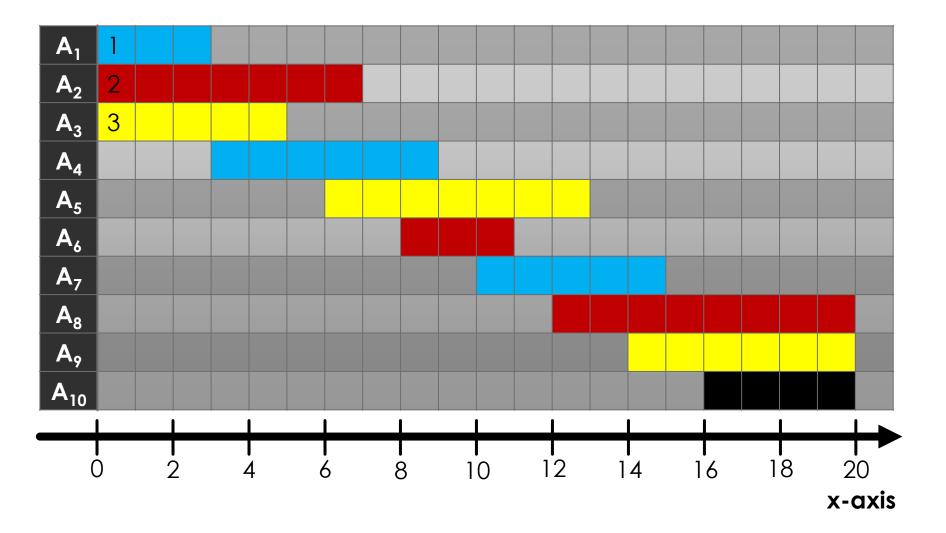




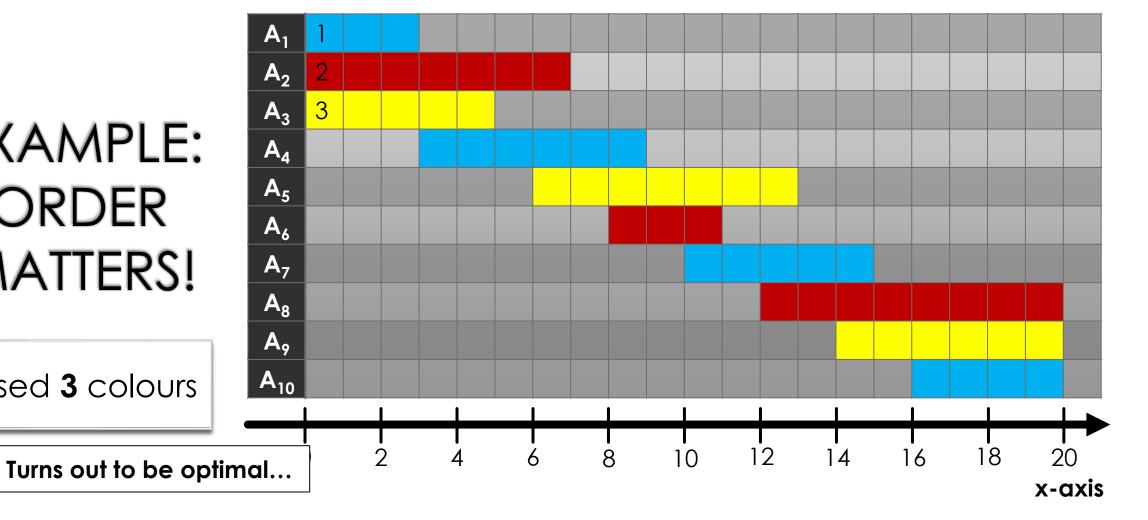








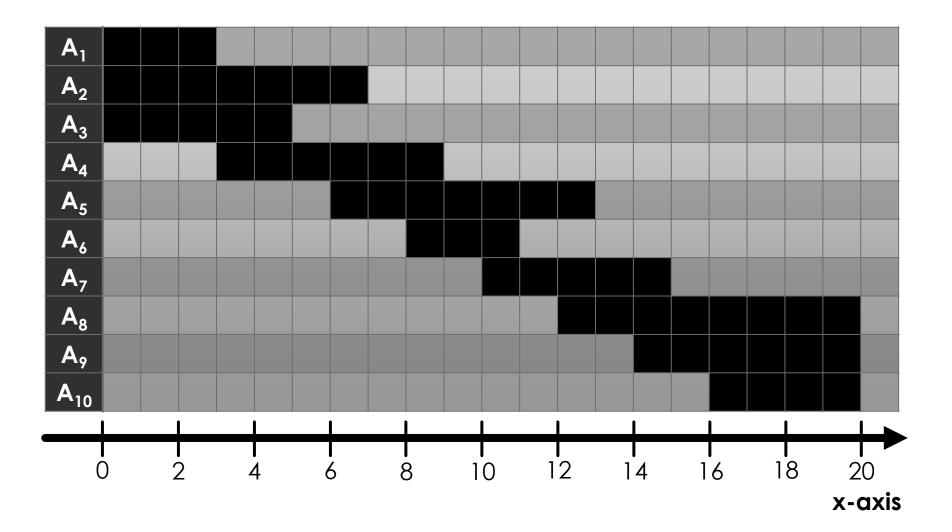
Used 3 colours

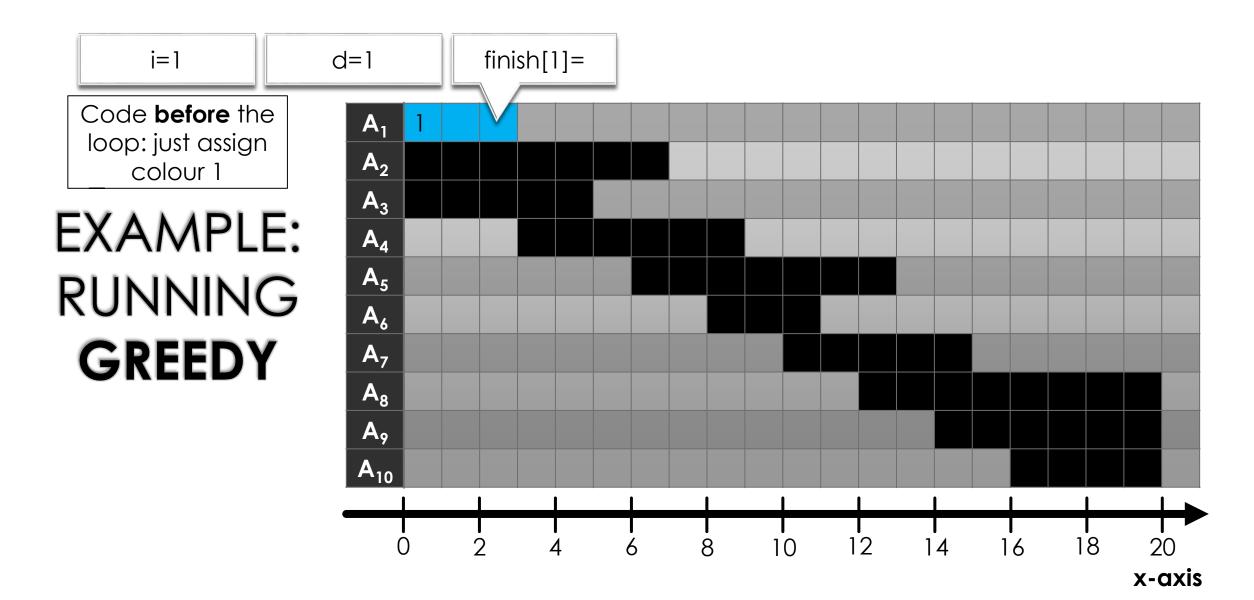


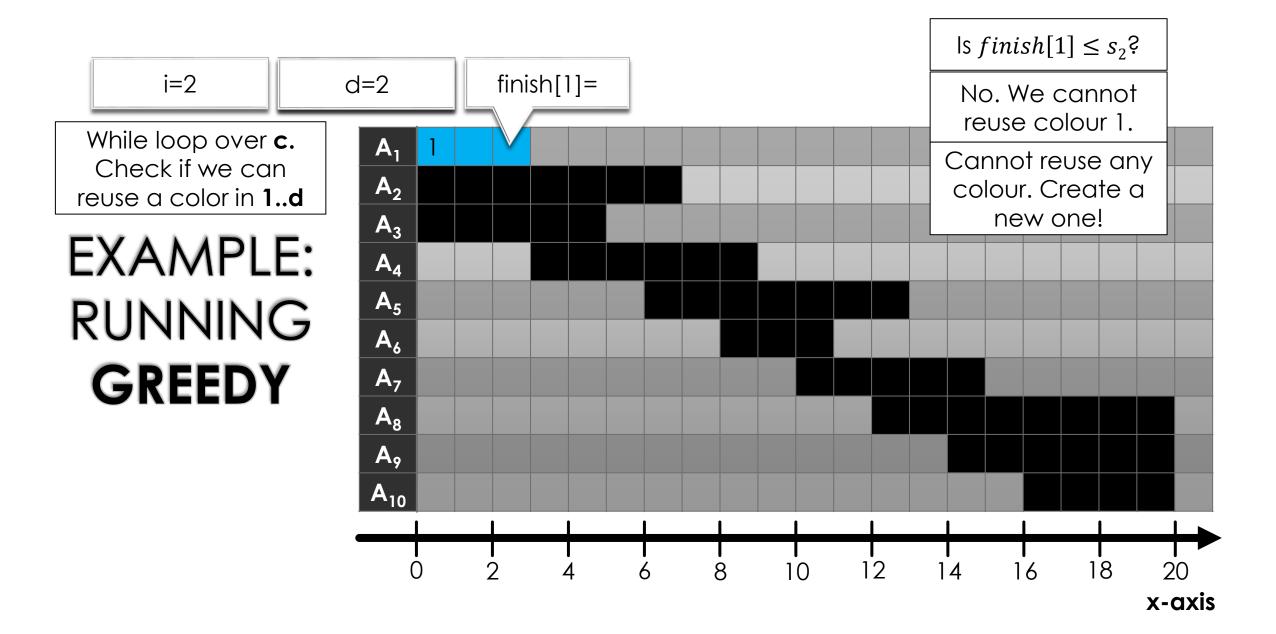
```
Preprocess(A[1..n])
                                                                         finish[c] = finish time of last
d = \# of colours
                         sort A by increasing start time
                         let s[1..n] be the start times in A
  used so far
                                                                          interval to receive colour c
                         let f[1..n] be the finish times in A
                         return GreedyIntervalColouring(s, f)
                     GreedyIntervalColouring(s[1..n], f[1..n])
                         d = 1
                         colour[1] = 1 Interval 1 gets colour 1
                         finish[1] = f[1]
                                                          For each interval A_i,
                         for i = 2..n
                 12
                                                  search for an appropriate colour c
                              reused = false
Check if we can reuse
                             for c = 1..d
                                                                     Consider interval A_i = (s_i, f_i).
                                  if finish[c] <= s[i] then
  any colour c in 1..d
                                                                 If s_i \ge finish[c], then we can give A_i
                                      colour[i] = c
                                      finish[c] = f[i]
                                                                 colour c without breaking feasibility
                                      reused = true
                 18
                                      break
                             if not reused then
                 20
                                                               we reused a colour
                                  d++
                 21
                                  colour[i] = d
                 22
                                  finish[d] = f[i]
                 23
                 24
                         return d
                 25
                                                           If we didn't reuse a colour,
                                                                use a new colour
```

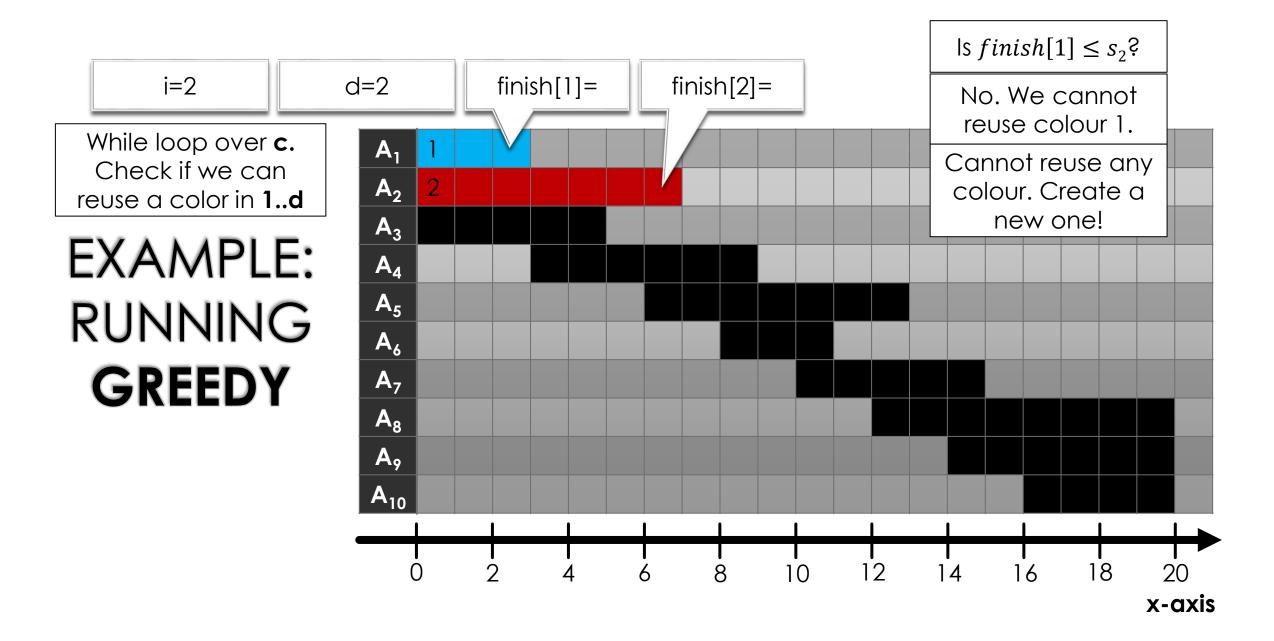
Initial state

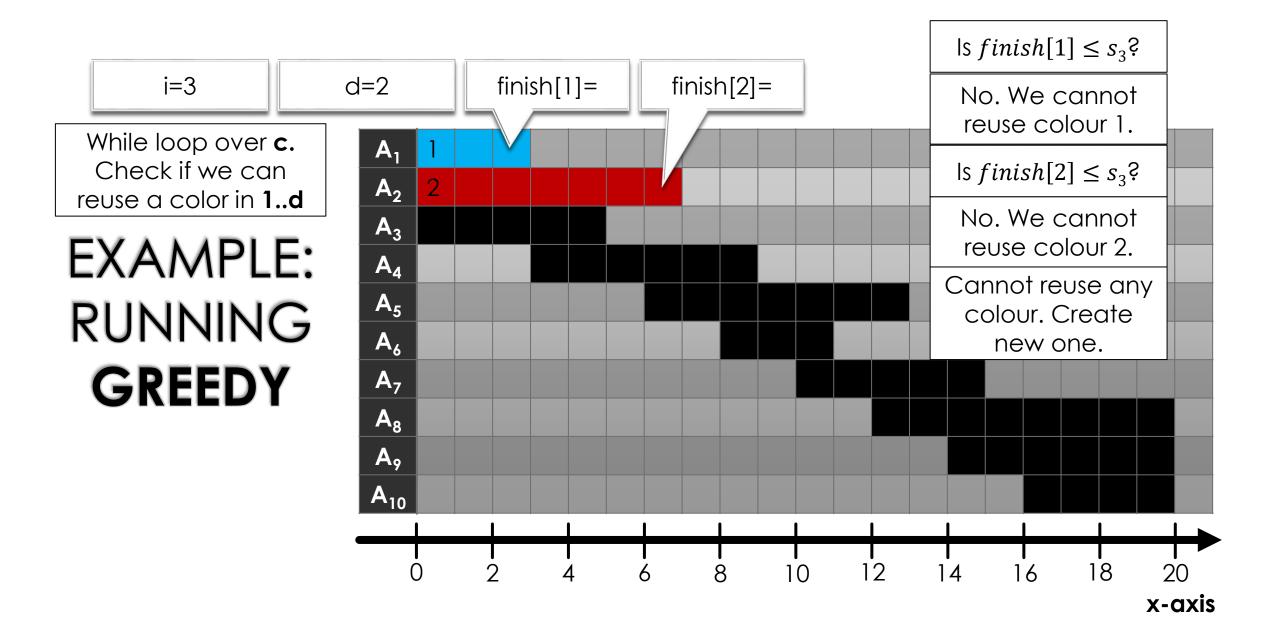
EXAMPLE: RUNNING GREEDY

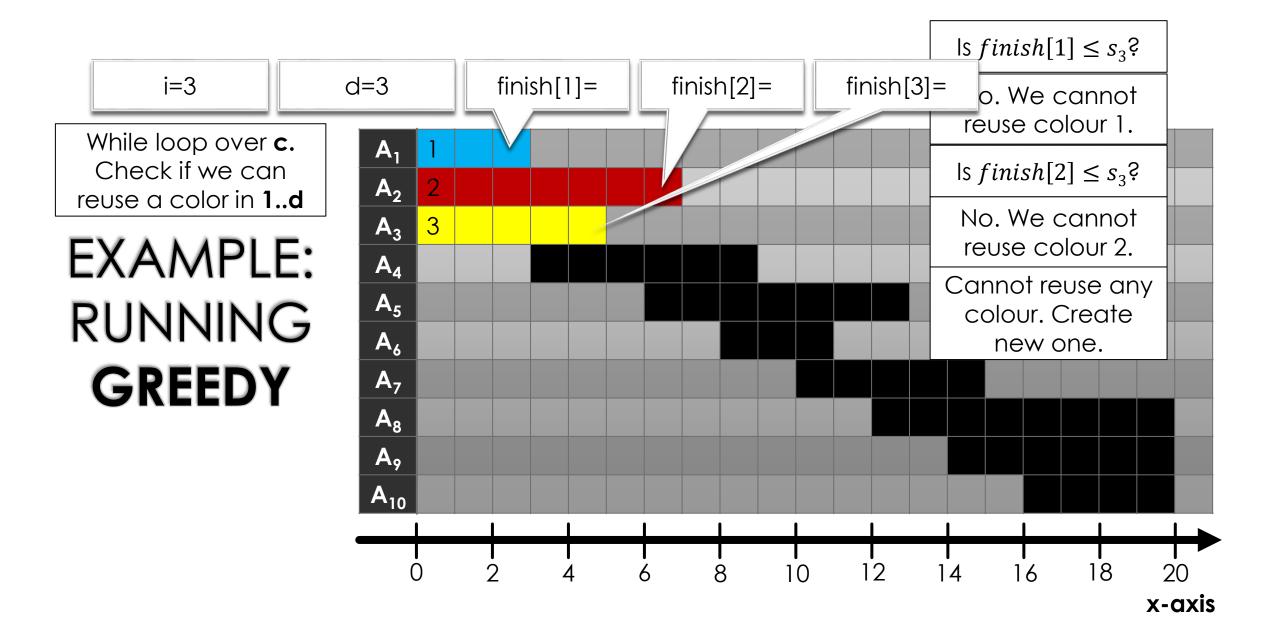


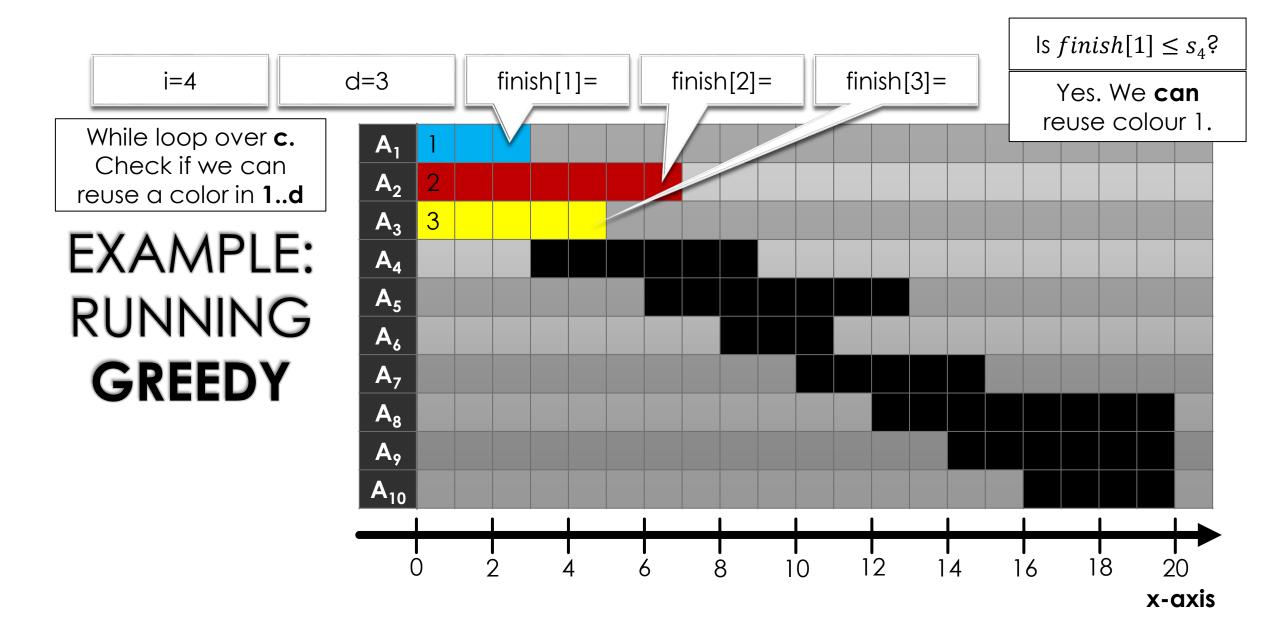


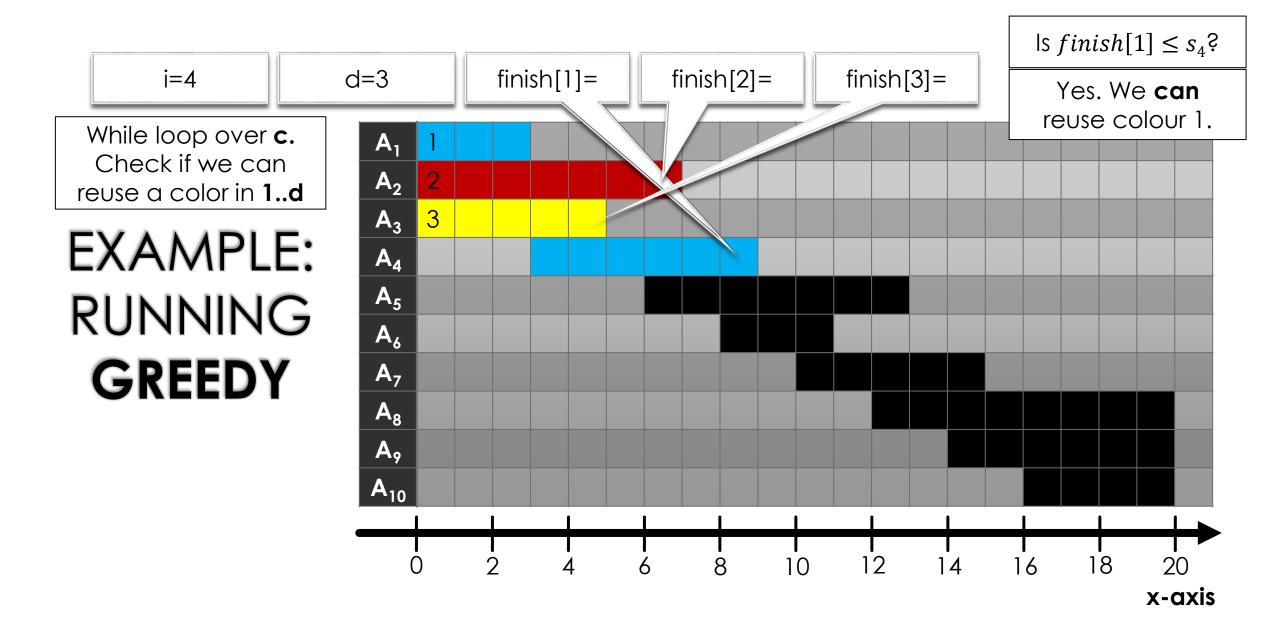


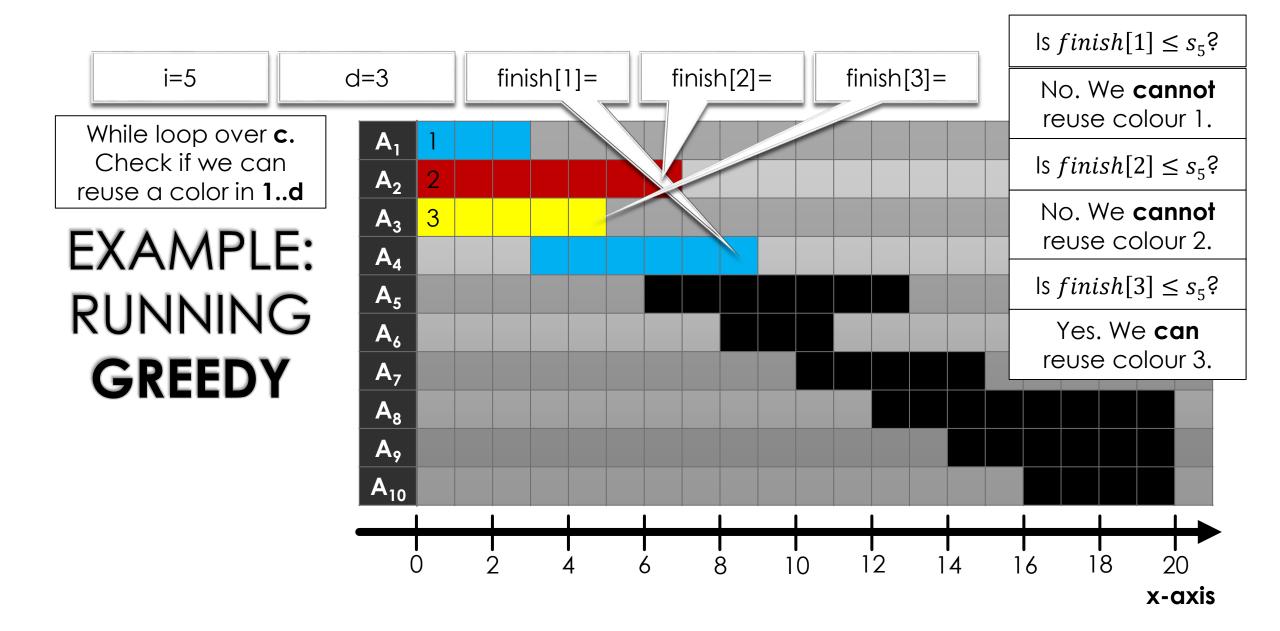


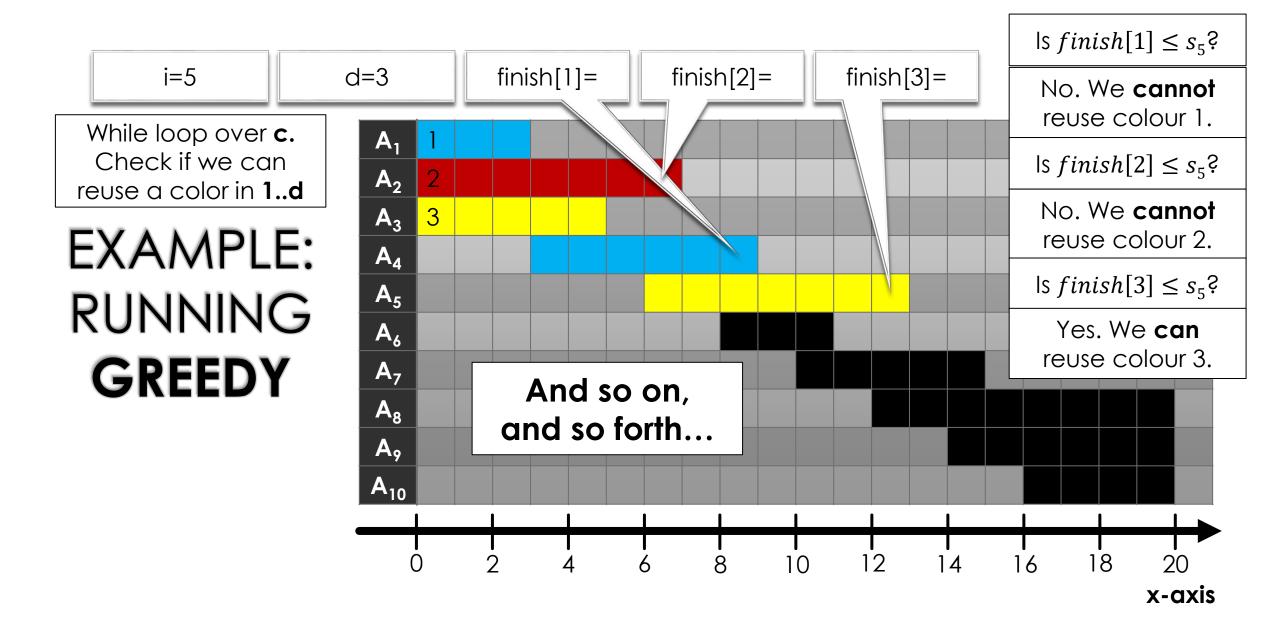








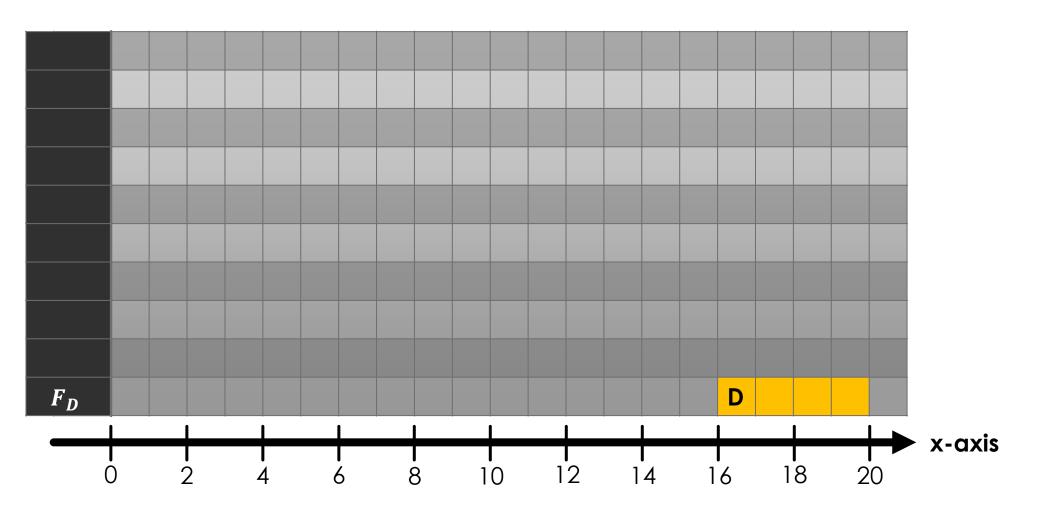




Correctness of the Algorithm

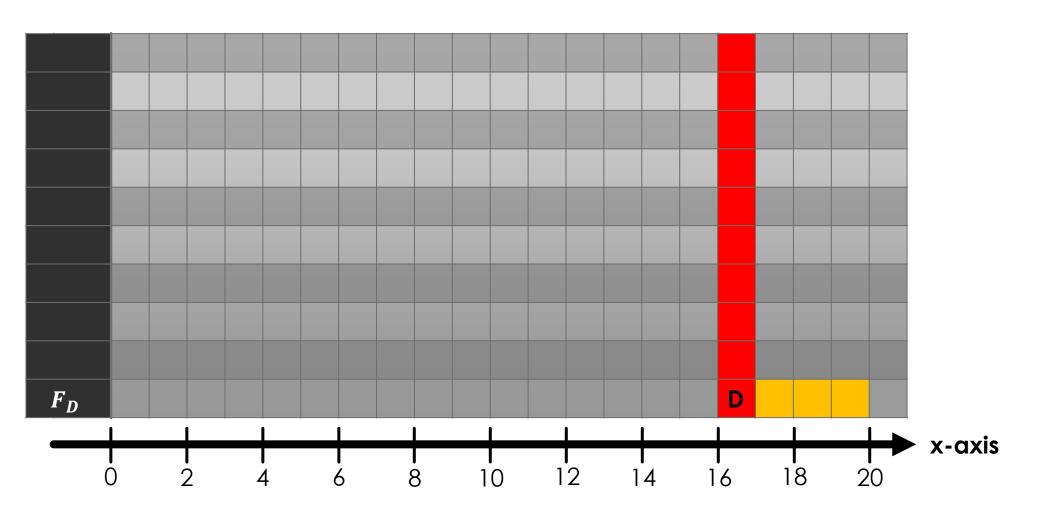
The correctness of this greedy algorithm can be proven inductively as well as by a "slick" method—we give the "slick" proof:

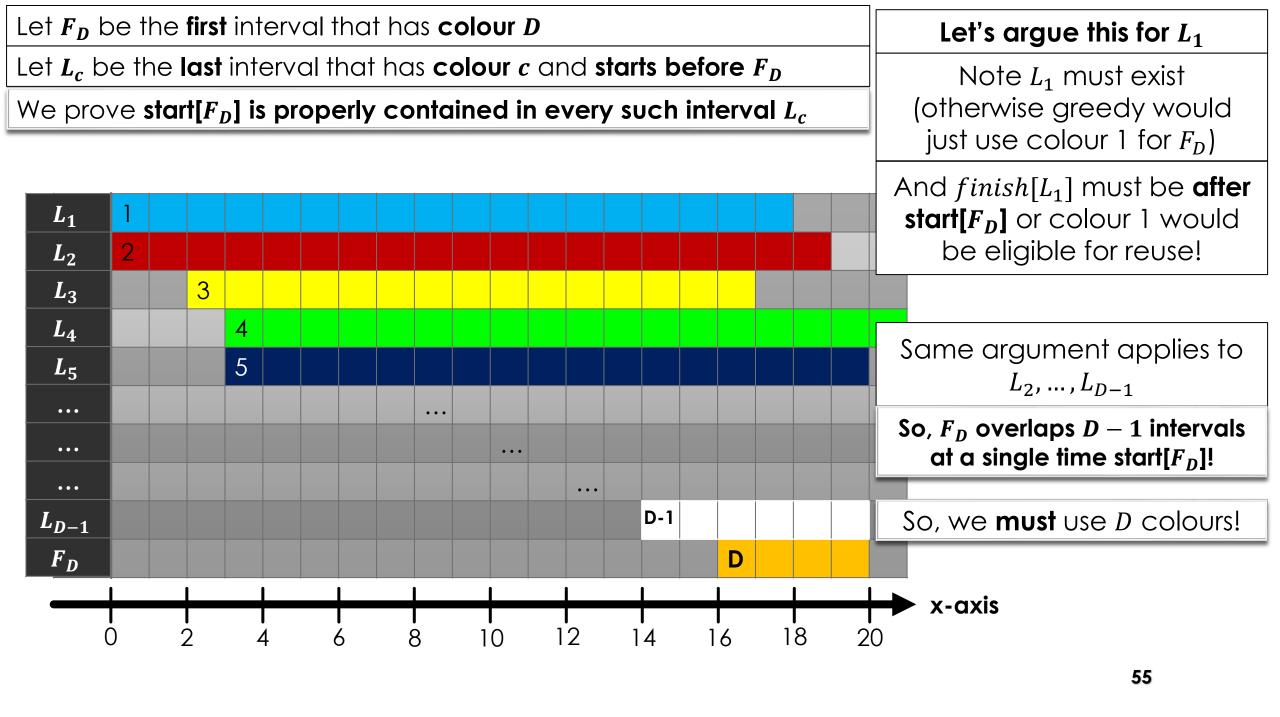
Let D denote the number of colours used by the algorithm.



Let F_D be the first interval that has colour D

We prove F_D overlaps D-1 other intervals at a single point in time





```
Preprocess(A[1..n])
                                                O(n \log n)
        sort A by increasing start time
        let s[1..n] be the start times in A
        let f[1..n] be the finish times in A
        return GreedyIntervalColouring(s, f)
   GreedyIntervalColouring(s[1..n], f[1..n])
        d =
        colour[1] = 1
        finish[1] = f[1]
10
                            O(n) iterations
        for i = 2..n
12
            reused = false
13
                                 O(d) iterations...
            for c = 1...d
14
                if finish[c] <= s[i] then
15
                    colour[i] = c
16
                    finish[c] = f[i]
                    reused = true
18
                    break
            if not reused then
20
                d++
21
                colour[i] = d
22
                finish[d] = f[i]
23
24
25
        return d
```

TIME COMPLEXITY?

Total $O(n \log n + nd)$

Could be $O(n \log n)$ if only a constant number of colours are needed (or even $\log n$ colours!)

Could be $O(n^2)$ if n colours are needed

Most accurate complexity statement is $\Theta(n \log n + nD)$ where D is # colours used

What **inefficiencies** exist in this algorithm? Could we make it faster with clever data structure usage?

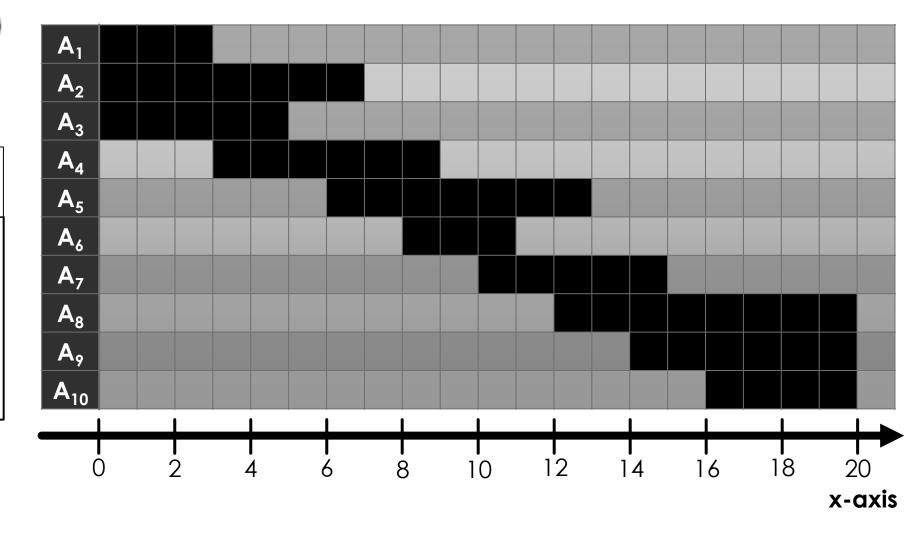
IMPROVING THIS ALGORITHM

- Current greedy algorithm:
 - For each interval A_i , compare its start time s_i with the finish[c] times of <u>all colours</u> introduced so-far
 - \circ Why? Looking for <u>some</u> finish[c] time that is earlier than s_i
- We are doing linear search... Can we do better?
- Use a priority queue to keep track of the earliest finish[c]
 at all times in the algorithm
 - Then we only need to look at minimum element

Min element: NULL

Heap

Initial state



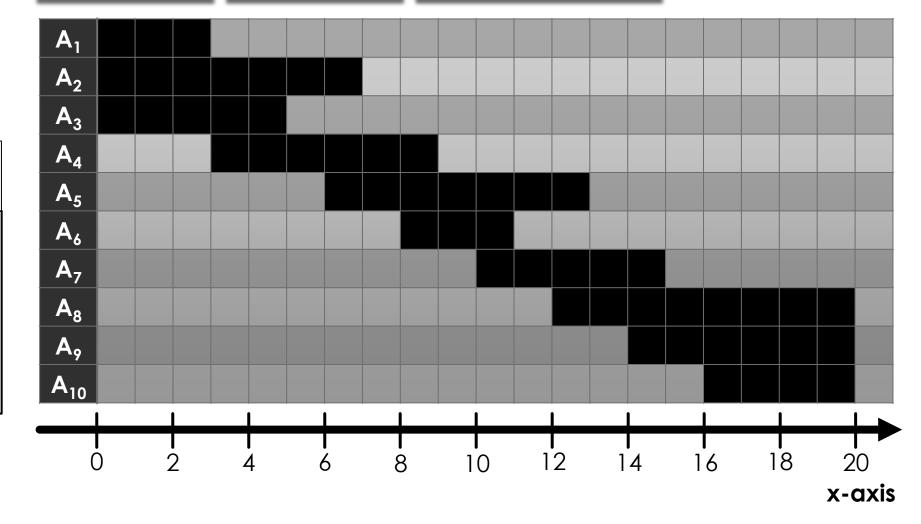
Min element: NULL

Heap

Iteration i=1

Check heap minimum

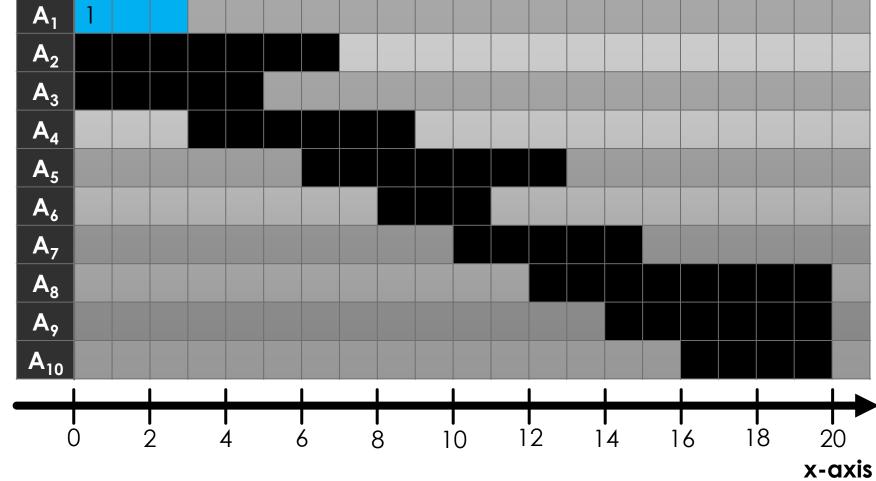
Empty, so a new colour is needed



finish at Min element: time 3 Heap finish at time 3

Check heap Iteration i=1 minimum

Empty, so a new colour is needed



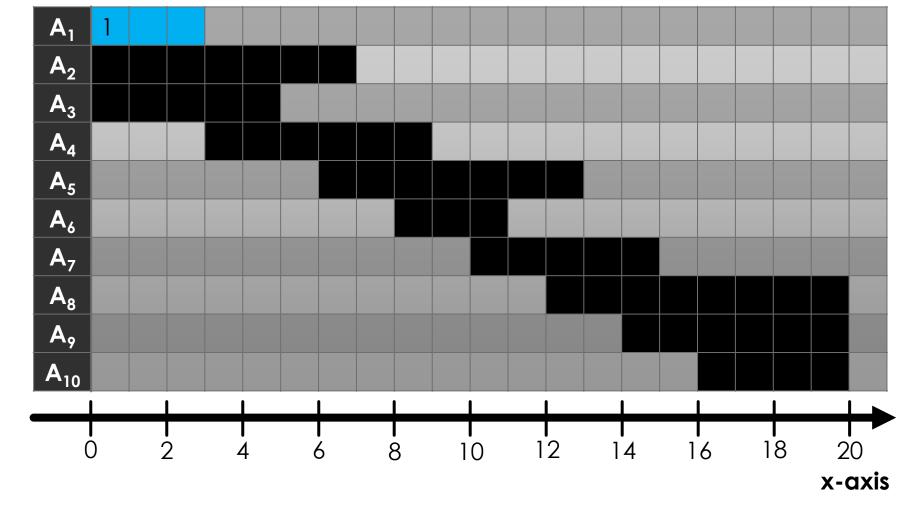
Min element: finish at time 3

Heap finish at time 3

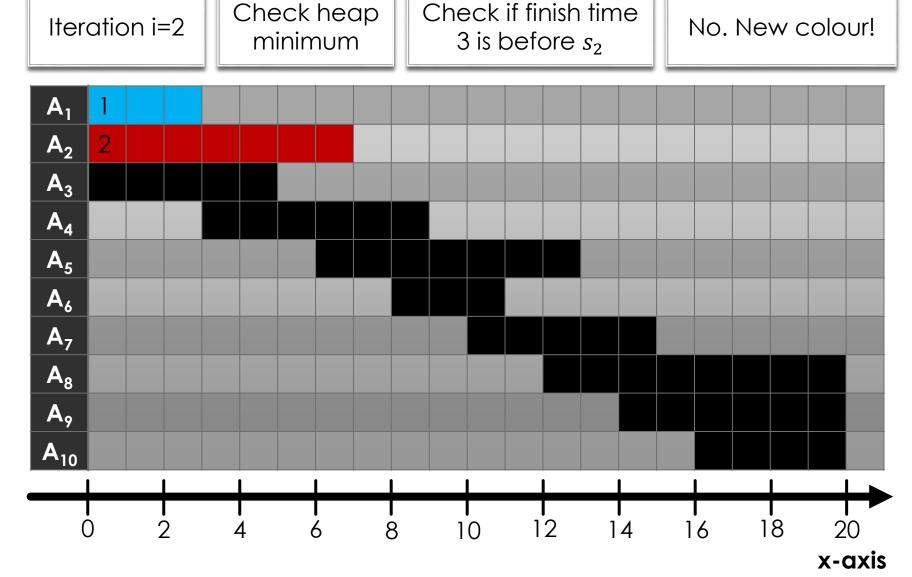
Iteration i=2 Check heap minimum

Check if finish time 3 is before s_2

No. New colour!



finish at Min element: time 3 Heap finish at time 3 finish at time 7

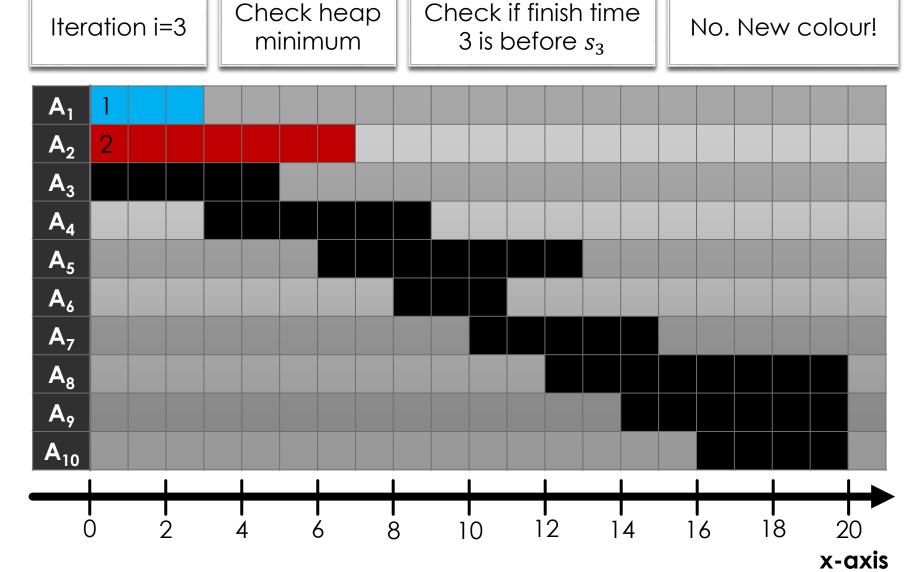


Check if finish time

Min element: finish at time 3

Heap finish at time 3

finish at time 7

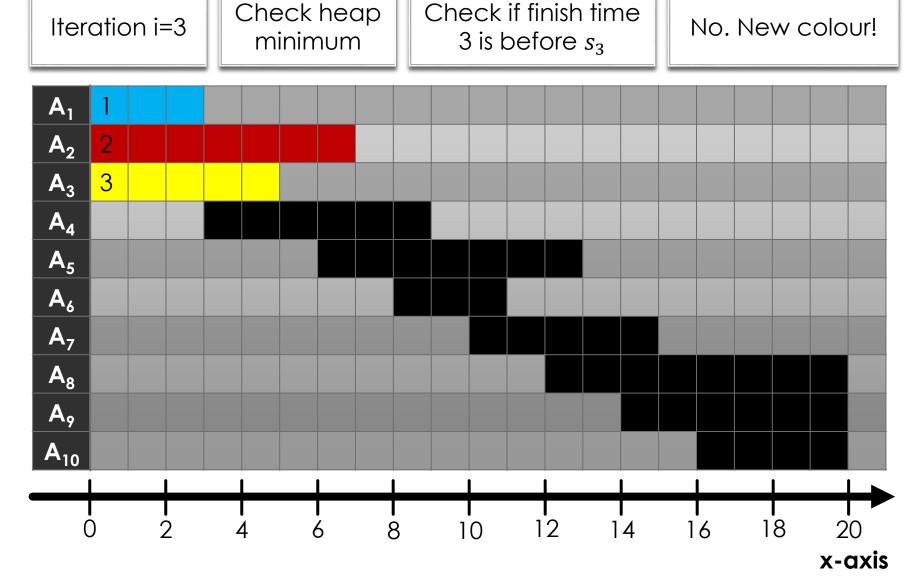


Min element: finish at time 3

Heap finish at time 3

finish at time 3

finish at time 5

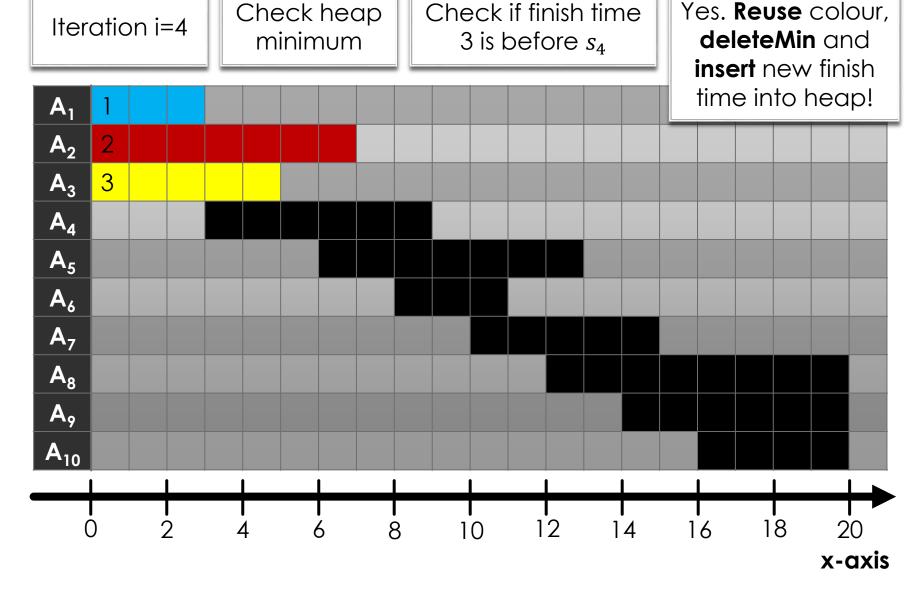


Min element: finish at time 3

Heap finish at time 3

finish at time 3

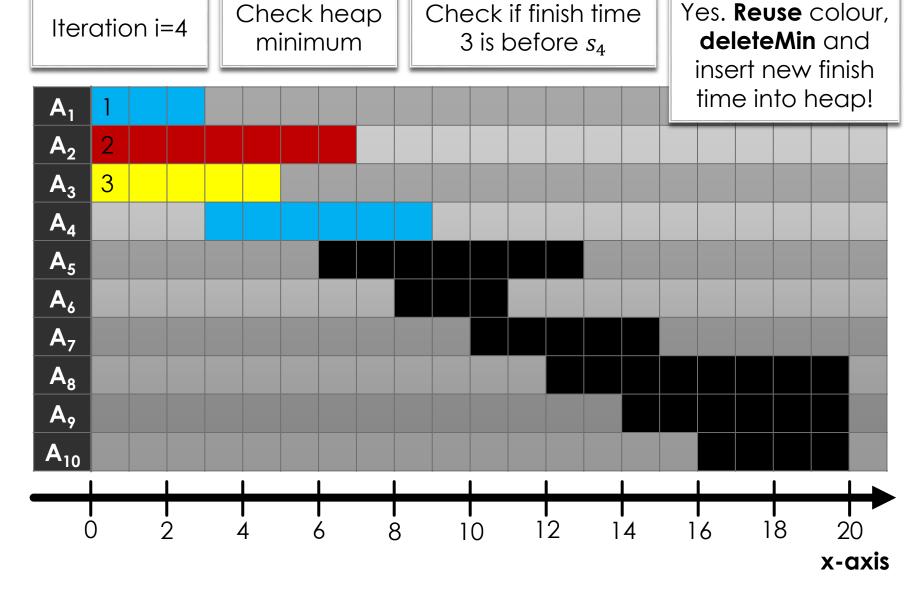
finish at time 5



Min element: finish at time 5

Heap finish at time 5

finish at time 7

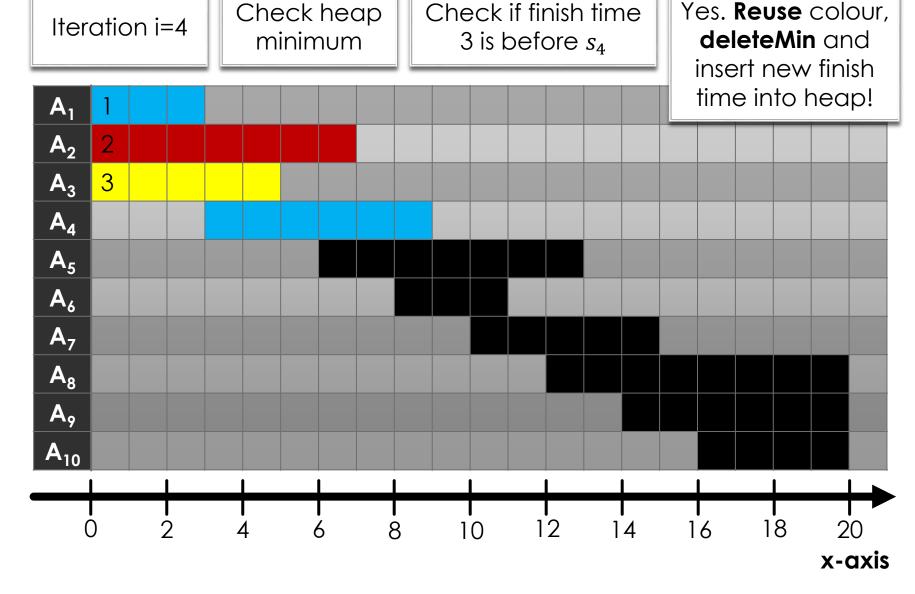


Min element: finish at time 5

Heap finish at time 5

finish at time 5

finish at time 7

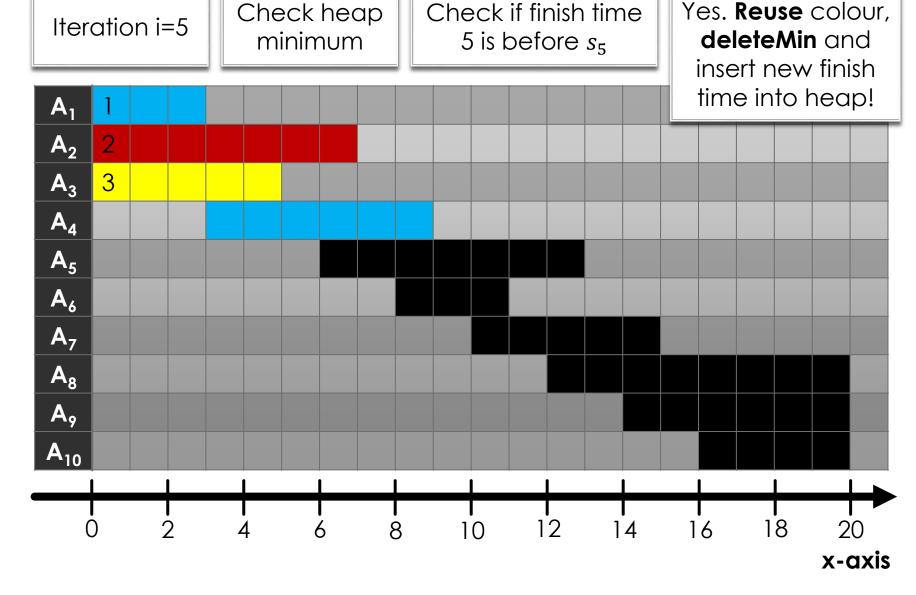


Min element: finish at time 5

Heap finish at time 5

finish at time 5

finish at time 7

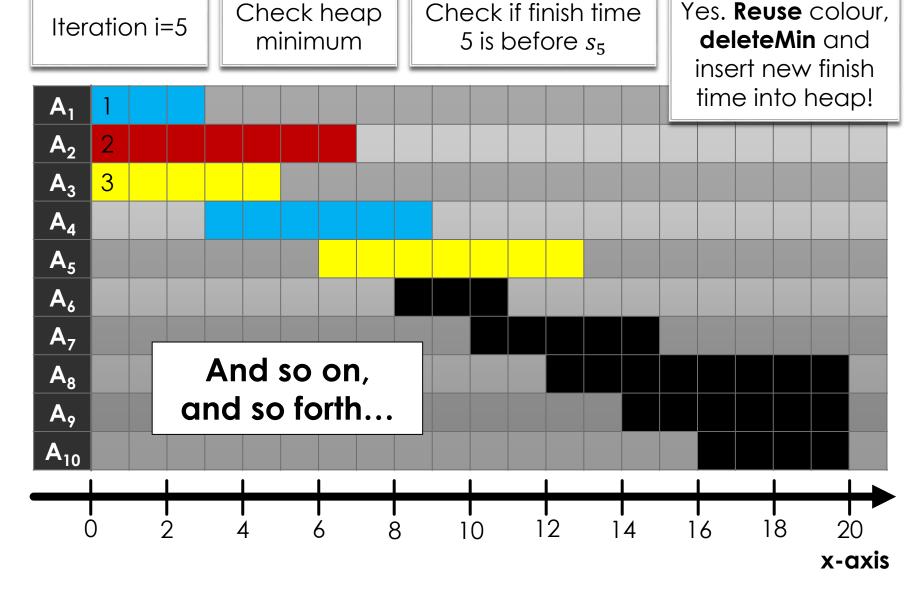


Min element: finish at time 7

Heap finish at time 7

finish at time 7

finish at time 9



```
Preprocess(A[1..n])
        sort A by increasing start time
        let s[1..n] be the start times in A
        let f[1..n] be the finish times in A
4
        return GreedyIntervalColouring(s, f)
5
6
    GreedyIntervalColouring(s[1..n], f[1..n])
        d = 1
8
        colour[1] = 1
                                                   O(\log S) where
        h = new minPQ
10
                                              S = \text{size}(\text{priority queue})
        h.insert([f[1],colour[1]])
12
                                                                   O(1)
                                      O(1)
        for i = 2..n
13
             (fc, c) = h.min()
14
             if fc <= s[i] then
15
                 h.deleteMin()_
16
                                         O(log D)
                 colour[i] = c
17
                                                                         Total \Theta(n \log n) + \Theta(n \log D)
             else
18
                 d++
19
                                                                           Since n \geq D, \Theta(n \log n)
                 colour[i] = d
20
             h.insert([f[i], colour[i]])
21
                                                     O(\log D)
22
        return d
23
```

DYNAMIC PROGRAMMING

What?

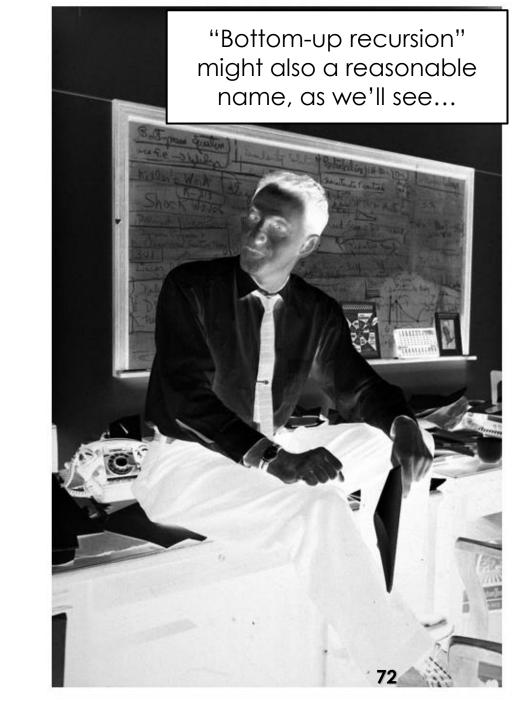
— Richard Bellman, Eye of the Hurricane: An Autobiography (1984, excerpts from page 159)

Where did the name, dynamic programming, come from? The 1950s were not good years for mathematical research.

We had a very interesting gentleman in Washington named Wilson. He was Secretary of Defense, and he actually had a pathological fear and hatred of the word "research"... He would turn red, and he would get violent if people used the term research in his presence. You can imagine how he felt, then, about the term mathematical.

I felt I had to do something to shield Wilson ... from the fact that I was really doing mathematics... What title, what name, could I choose? In the first place I was interested in planning, in decision making, in thinking. But planning, is not a good word for various reasons. I decided therefore to use the word "programming." I wanted to get across the idea that this was "dynamic," this was multistage, this was time-varying. I thought, let's kill two birds with one stone.

I thought dynamic programming was a good name. It was something not even a Congressman could object to.



COMPUTING FIBONACCI NUMBERS **INEFFICIENTLY**

A TOY EXAMPLE TO COMPARE D&C TO DYNAMIC PROGRAMMING

```
BadFib(n)
    if n == 0 or n == 1 then return n
    return BadFib(n-1) + BadFib(n-2)
```

RUNTIME

- In unit cost model
 - (UNREALISTIC!)

```
BadFib(n)
if n == 0 or n == 1 then return n
return BadFib(n-1) + BadFib(n-2)
```

```
T(n) = T(n-1) + T(n-2) + O(1)
This O(1) would change in the bit complexity model
T(n) \ge 2T(n-2) + O(1)
```

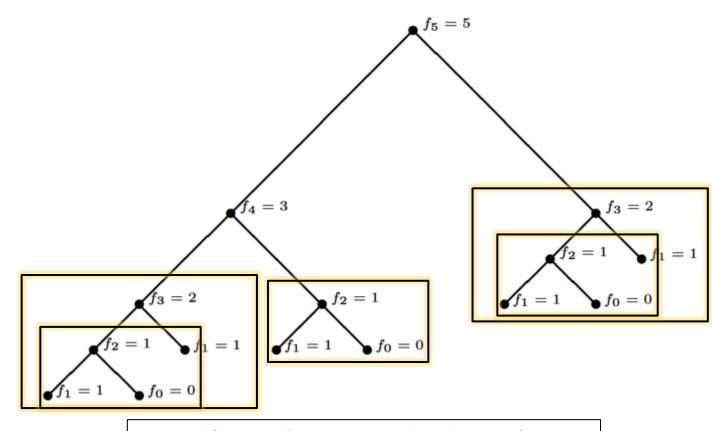
$$T(n) \le 2T(n-1) + O(1)$$

- n/2 levels of recursion for the first expression
- n levels for the second expression
- Work doubles at each level
- T(n) is certainly in $\Omega(2^{n/2})$ and $O(2^n)$

WHY IS THIS SO SLOWS

- Subproblems have LOTS of overlap!
- Every subtree on the right appears on the left
- · ... recursively ...
- Each subtree is computed exponentially often in its depth

The Recursion Tree to Evaluate f_5 :



This **overlap** suggests dynamic programming may be able to help! **75**

Designing Dynamic Programming Algorithms for Optimization Problems

(Optimal) Recursive Structure

Examine the structure of an optimal solution to a problem instance I, and determine if an optimal solution for I can be expressed in terms of optimal solutions to certain **subproblems** of I.

Define Subproblems

Define a set of subproblems $\mathcal{S}(I)$ of the instance I, the solution of which enables the optimal solution of I to be computed. I will be the last or largest instance in the set $\mathcal{S}(I)$.

Designing Dynamic Programming Algorithms (cont.)

Recurrence Relation

Derive a **recurrence relation** on the optimal solutions to the instances in S(I). This recurrence relation should be completely specified in terms of optimal solutions to (smaller) instances in S(I) and/or base cases.

Compute Optimal Solutions

Compute the optimal solutions to all the instances in $\mathcal{S}(I)$. Compute these solutions using the recurrence relation in a **bottom-up** fashion, filling in a table of values containing these optimal solutions. Whenever a particular table entry is filled in using the recurrence relation, the optimal solutions of relevant subproblems can be looked up in the table (they have been computed already). The final table entry is the solution to I.

SOLVING FIB USING DYNAMIC PROGRAMMING

- (Optimal) Recursive Structure
 - Solution to n-th Fibonacci number f(n) can be expressed as the addition of smaller Fibonacci numbers
 - No notion of **optimality** for this particular problem
- Define Subproblems
 - The set subproblems that will be combined to obtain Fib(n)is $\{Fib(n-1), Fib(n-2)\}$
 - $S(I) = \{Fib(0), Fib(1), ..., Fib(n)\}$

Recurrence Relation
$$f(n) = \begin{cases} f(n-1) + f(n-2) : i \ge 2 \\ 1 & : i = 1 \\ 0 & : i = 0 \end{cases}$$

- Computing (Optimal) Solutions
 - Create table f[1..n] and compute its entries "bottom-up"

FILLING THE TABLE "BOTTOM-UP"

- Key idea:
 - When computing a table entry
 - Must have already computed the entries it depends on!
- Dependencies
 - Extract directly from recurrence
 - Entry n depends on n-1 and n-2
- Computing entries in order 1..n guarantees n-1 and n-2 are already computed when we compute n



DP SOLUTION

```
1 FibDP(n)
2    f = new array of size n
3
4    f[0] = 0
5    f[1] = 1
6
7    for i = 2..n
8    f[i] = f[i-1] + f[i-2]
9
10    return f[n]
```

```
represents f[i-2]
    FibDP(n)
                       represents f[i-1]
                                   Save f[i] before
         for i = 2...n
              temp = fi
                                   overwriting it (so
                                   its value can be
              fi = fi1 + fi2
                                    stored in f[i-1]
                                         later)
              fi2 = fi1
10
              fi1 = temp
11
12
         return fi
13
```

- Space saving optimization:
 - We never look at f[i-3] or earlier
 - Can make do with a few variables instead of a table

Contains f[n]

This is still considered to be dynamic programming...
We've just optimized out the table.

CORRECTNESS

Step 1

- Order 0...n means i-1 and i-2 are already computed when we compute i
- Prove that when computing a table entry, dependent entries are already computed
- Step 2 (similar to D&C)
 - Suppose subproblems are solved correctly (optimally)
 - Prove these (optimal) subsolutions are combined into a(n optimal) solution

- Suppose f[i-1] and f[i-2] are the (i-1)th and (i-2)th Fib #s
- Then prove f[i] = the n-th Fib #

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MODEL OF COMPUTATION FOR RUNTIME

- Unit cost model is not very realistic for this problem, because Fibonacci numbers grow quickly
 - F[10]=55
 F[100]=354224848179261915075
 F[300]=222232244629420445529739893461909967206666939096499764990979600
 - Value of F[n] is exponential in n: $f_n \in \Theta(\phi^n)$ where $\phi \cong 1.6$
 - ϕ^n needs $\log(\phi^n)$ bits to store it
 - So F[n] needs $\Theta(n)$ bits to store!

But let's use unit cost anyway for simplicity

RUNNING TIME (UNIT COST)

 $T(n) \in \mathbf{\Theta}(n)$



A BRIEF ASIDE

- Is this linear runtime?
- NO! This is "a linear function of n"
- When we say "linear runtime" we mean "a linear function of the input size"
- What is the input size S?
 - The input is the number n.
 - How many bits does it take to store n?
 O(log n)
 - \circ So $S = \log n$ bits

Express T(n) as a function of the input size S (in bits)

$$T(n) \in \Theta(n)$$

 $2^S = 2^{\log n} = n$
So $T(n) \in \Theta(2^S)$

This algorithm is **exponential** in the input size!

... but still exponentially faster than 2^n

UNLIKELY THAT WE GET HERE

ROD CUTTING

A "REAL" DYNAMIC PROGRAMMING EXAMPLE

- Input:
 - n: length of rod

- n = 4 $\begin{array}{c|ccccc} n = 4 \\ \hline \text{length } i & 1 & 2 & 3 & 4 \\ \hline \text{price } p_i & 1 & 5 & 8 & 9 \\ \hline \end{array}$
- p_1, \dots, p_n : p_i = price of a rod of length i
- Output:
 - Max income possible by cutting the rod of length n into any number of integer pieces (maybe no cuts)

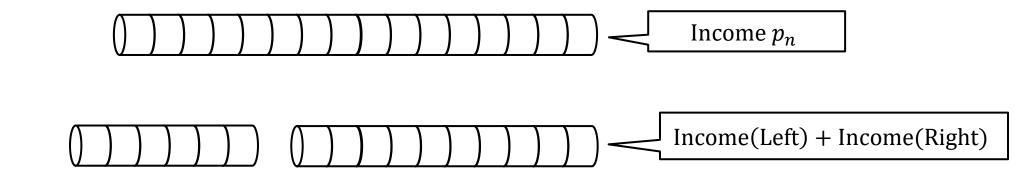
All ways of cutting a rod of length 4

Example output: 10



DYNAMIC PROGRAMMING APPROACH

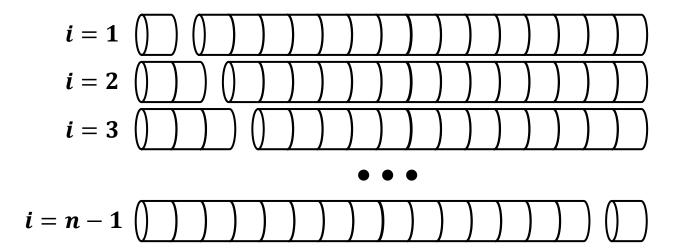
- High level idea (can just think recursively to start)
 - Given a rod of length n
 - Either make no cuts,
 or make a cut and recurse on the remaining parts



Where should we cut?

DYNAMIC PROGRAMMING APPROACH

- Try all ways of making that cut
 - I.e., try a cut at positions 1, 2, ..., n-1
 - In each case, recurse on two rods [0,i] and [i,n]
- \circ Take the max income over **all possibilities** (each i / no cut)

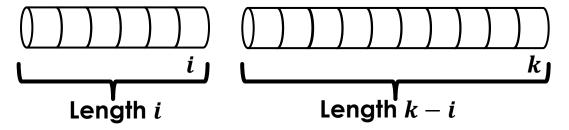


Optimal substructure: Max income from two rods w/sizes i and n - i

... is max income we can get from the rod size i

+ max income we can get from the rod size n-i

- Define M(k) = maximum income for rod of length k
- \circ If we do **not** cut the rod, max income is p_k
- If we do cut a rod at i



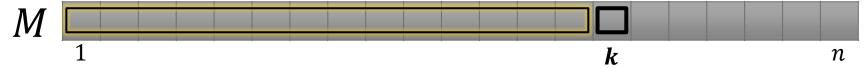
- max income is M(i) + M(k-i)
- Want to maximize this over all i

$$max_{i}\{M(i) + M(k-i)\}$$
 (for $0 < i < k$)

$$M(k) = \max\{p_k, \max_{1 \le i \le k-1}\{M(i) + M(k-i)\}\}$$

COMPUTING SOLUTIONS BOTTOM-UP

- Recurrence: $M(k) = max\{p_k, \max_{1 \le i \le k-1}\{M(i) + M(k-i)\}\}$
- Compute **table** of solutions: M[1..n]



- \circ Dependencies: **entry** k depends on

 - $M[k-i] \rightarrow M[1..(k-1)]$
 - \circ All of these dependencies are < k
- \circ So we can fill in the table entries in order 1.. n

Recall, semantically, M(k) = maximum income for rod of length k

```
Recurrence: M(k) = max\{p_k, \max_{1 \le i \le k-1} \{M(i) + M(k-i)\}\}
```

```
RodCutting(n, p[1..n])
       M = new array[1..n]
       // compute each entry M[k]
       for k = 1...n
           M[k] = p[k] // current best = no cuts
           // try each cut in 1..(k-1)
           for i = 1..(k-1)
                M[k] = \max(M[k], M[i] + M[k-i])
10
       return M[n]
                                                               Time complexity
                                                                                  \Theta(n^2)
                                                                  (unit cost)?
```

Aside: Is this a "quadratic time" algorithm?

Exercise: devise an even simpler DP solution (hint: try "recursing" only once)

MISCELLANEOUS TIPS

- Building a table of results bottom-up is what makes an algorithm DP
- There is a similar concept called memoization
 - But, for the purposes of this course, we want to see bottom-up table filling!
- Base cases are critical
 - They often completely determine the answer
 - Try setting f[0]=f[1]=0 in FibDP...