

# Lecture 6: Greedy II

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# Overview

- Knapsack Problems
- Scheduling to minimize lateness
- Acknowledgements

## 0-1 Knapsack problem

- **Input:**  $n$  items, each with a prescribed value and weight, given by  $(v_1, w_1), \dots, (v_n, w_n)$ , as well as a maximum load  $L$
- **Output:** a subset of the items  $S \subseteq [n]$  such that:
  - 1  $\sum_{k \in S} w_i \leq L$  (respect max load)
  - 2  $\sum_{k \in S} v_i \geq \sum_{i \in T} v_i$  for any other set  $T$  that respects max load

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- **Model:** Word RAM
- **Situation:** Thief is robbing a store with  $n$  items and a bag with load  $L$ . The  $i^{\text{th}}$  item worth  $v_i$  moneyz and weighs  $w_i$  kgs. Thief wants to take most value possible with these constraints.



## 0-1 Knapsack problem

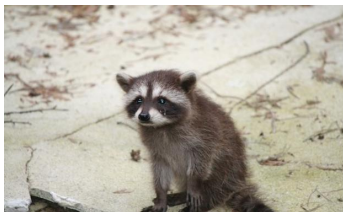
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- Can greedy work here?
- Unfortunately doesn't seem to be the case (*NP-hard*) (we will see this problem again and again later in the course...)



# Fractional Knapsack

- **Input:**  $n$  items, each with a prescribed value and weight, given by  $(v_1, w_1), \dots, (v_n, w_n)$ , as well as a maximum load  $L$
- **Output:** a list of fractions  $(x_1, \dots, x_n) \in [0, 1]^n$  such that:
  - ①  $\sum_{k \in [n]} x_i w_i \leq L$  (respect max load)
  - ②  $\sum_{k \in [n]} x_i v_i \geq \sum_{k \in [n]} y_i v_i$  for any list  $(y_1, \dots, y_n)$  respecting max load
- **Model:** Word RAM
- **Situation:** now thief can take fractions of each item.



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Maximize *value per weight*:  $v_i/w_i$
- Algorithm
  - ① Sort items by decreasing order of value per weight
  - ② Take as much as possible of item with highest value per weight
  - ③ Recurse until load is full or no more items

## Proof of correctness (fractional case)

- Assuming items are ordered by  $v_i/w_i$  in decreasing order
- In fractional case, can assume  $\sum_{i \in [n]} x_i w_i = L$   
If  $\sum_i w_i \leq L$  then problem is trivial.

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- Thus, if  $(x_1, \dots, x_n) \succeq (y_1, \dots, y_n)$ , we have

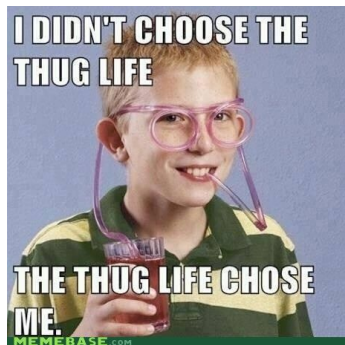
$$\sum_{i \in [n]} (x_i - y_i) v_i = \sum_{k \in [n]} v_k \cdot \left( \sum_{i \leq k} (x_i - y_i) \right) \geq 0$$

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- Thug life works!



## Why doesn't it work for 0-1 Knapsack?

- Forced to pick entire item, which may prevent you from picking other items
- Counterexample: items  $(60, 10)$ ,  $(20, 100)$ ,  $(30, 120)$  and load 50

- Knapsack Problems
- Scheduling to minimize lateness
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## Scheduling problem strikes back

- **Input:**  $n$  tasks with deadlines  $(s_1, t_1, d_1), \dots, (s_n, t_n, d_n)$   
 $i^{\text{th}}$  task has to be scheduled *on or after* starting time  $s_i$ , takes  $t_i$  time to complete.

If  $i^{\text{th}}$  task scheduled at time  $T$ , then *lateness* of  $i^{\text{th}}$  task defined as  
$$\ell_i = \max\{0, T + t_i - d_i\}$$

- **Output:** assignment  $S$  of all tasks that minimizes maximum lateness

$$L(S) := \max_{i \in [n]} \ell_i$$

so that

$$L(S) \leq L(S')$$

for any  $S' \neq S$

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- Without assumptions on starting times, then problem is *NP-hard*...

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- what if we assumed all starting times are the same (say  $s_i = 0$ )?

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Ignoring deadlines.

Counterexample:  $(1, 100), (10, 10)$

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Can delay too much easy tasks.  
Counterexample:  $(1, 2), (10, 10)$

## Greedy approaches (same starting time)

- 3 Sort tasks by increasing order of deadlines, so we can assume  $d_1 \leq d_2 \leq \dots \leq d_n$  and schedule tasks accordingly (i.e.  $[n]$ ). Break ties by scheduling easier task first.

Seems like we are ignoring the times of the tasks...  
Should this work?



## Earliest Deadline First analysis

- We are assuming that  $d_1 \leq d_2 \leq \dots \leq d_n$
- let  $f_0 := 0$  and  $f_i := f_{i-1} + t_i$  for  $i \in [n]$  (finishing times of greedy)

Easy to see that optimal strategy has no *idle time*.

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- $i_k > i_{k+1} \Rightarrow d_{i_k} \geq d_{i_{k+1}}$  so after swapping/exchanging (say solution becomes  $\Pi'$ ):

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where  $g_k := \sum_{j=1}^k t_{i_j}$ .

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- Since

$$g_{k+1} - d_{i_{k+1}} \geq g_{k-1} + t_{i_{k+1}} - d_{i_{k+1}}$$

and

$$g_{k+1} - d_{i_{k+1}} \geq g_{k+1} - d_{i_k}$$

we are done

# Acknowledgement

- Knapsack based on [CLRS 2009, Chapter 16]
- Scheduling problem based on [Kleinberg Tardos 2006, Chapter 4.2]

# References I



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