

# CS 348 Lecture 3-1

## Relational Model Part 2

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**WATERLOO**

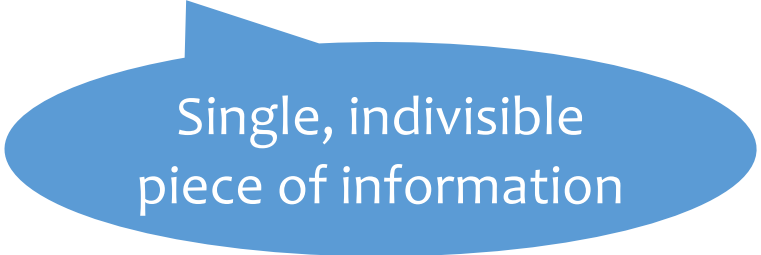


# Outline

- More examples of relational algebra
- Monotone operators
- Relational calculus
- SQL (second half of lecture)

# (Recap) Relational data model

- A database is a collection of **relations** (or **tables**)
- Each relation has a set of **attributes** (or **columns**)
- Each attribute has a unique name and a **domain** (or **type**)
  - The domains are required to be **atomic**



Single, indivisible  
piece of information

- Each relation contains a set of **tuples** (or **rows**)
  - Each tuple has a value for each attribute of the relation
  - **Duplicate tuples are not allowed**
    - Two tuples are duplicates if they agree on all attributes

👉 Simplicity is a virtue!

# (Recap) Integrity constraints

- Candidate key
  - Set of  $K$  attributes that uniquely identify a row and has only the necessary attributed (i.e., minimal)
- Primary key
- Foreign key

# (Recap) RA operators

## Core Operators

1. Selection:  $\sigma_p R$
2. Projection:  $\pi_L R$
3. Cross product:  $R \times S$
4. Union:  $R \cup S$
5. Difference:  $R - S$
6. Renaming:  $\rho_{S(A_1 \rightarrow A'_1, A_2 \rightarrow A'_2, \dots)} R$

## Derived Operators

1. Join:  $R \bowtie_p S$
2. Natural join:  $R \bowtie S$
3. Intersection:  $R \cap S$

# More example

*User* (uid int, name string, age int, pop float)  
*Group* (gid string, name string)  
*Member* (uid int, gid string)

- All groups (ids) that Lisa belongs to

# More example

*User* (uid int, name string, age int, pop float)  
*Group* (gid string, name string)  
*Member* (uid int, gid string)

- All groups (ids) that Lisa belongs to

*Writing a query bottom-up:*

uid	name	age	pop
857	Lisa	8	0.7

Who's Lisa?  $\sigma_{name="Lisa"}$   
 |  
*User*

uid	name	age	pop
123	Milhouse	10	0.2
857	Lisa	8	0.7
...	...	...	...

*Member*

uid	gid
123	gov
857	abc
857	gov
...	...

# More example

*User* (uid int, name string, age int, pop float)  
*Group* (gid string, name string)  
*Member* (uid int, gid string)

- All groups (ids) that Lisa belongs to

*Writing a query bottom-up:*

uid	name	age	pop	gid
857	Lisa	8	0.7	abc
857	Lisa	8	0.7	gov

$\bowtie$  User.uid=Member.uid

uid	name	age	pop
857	Lisa	8	0.7

*Member*

uid	gid
123	gov
857	abc
857	gov
...	...

Who's Lisa?  $\sigma_{name="Lisa"}$   
 |  
*User*

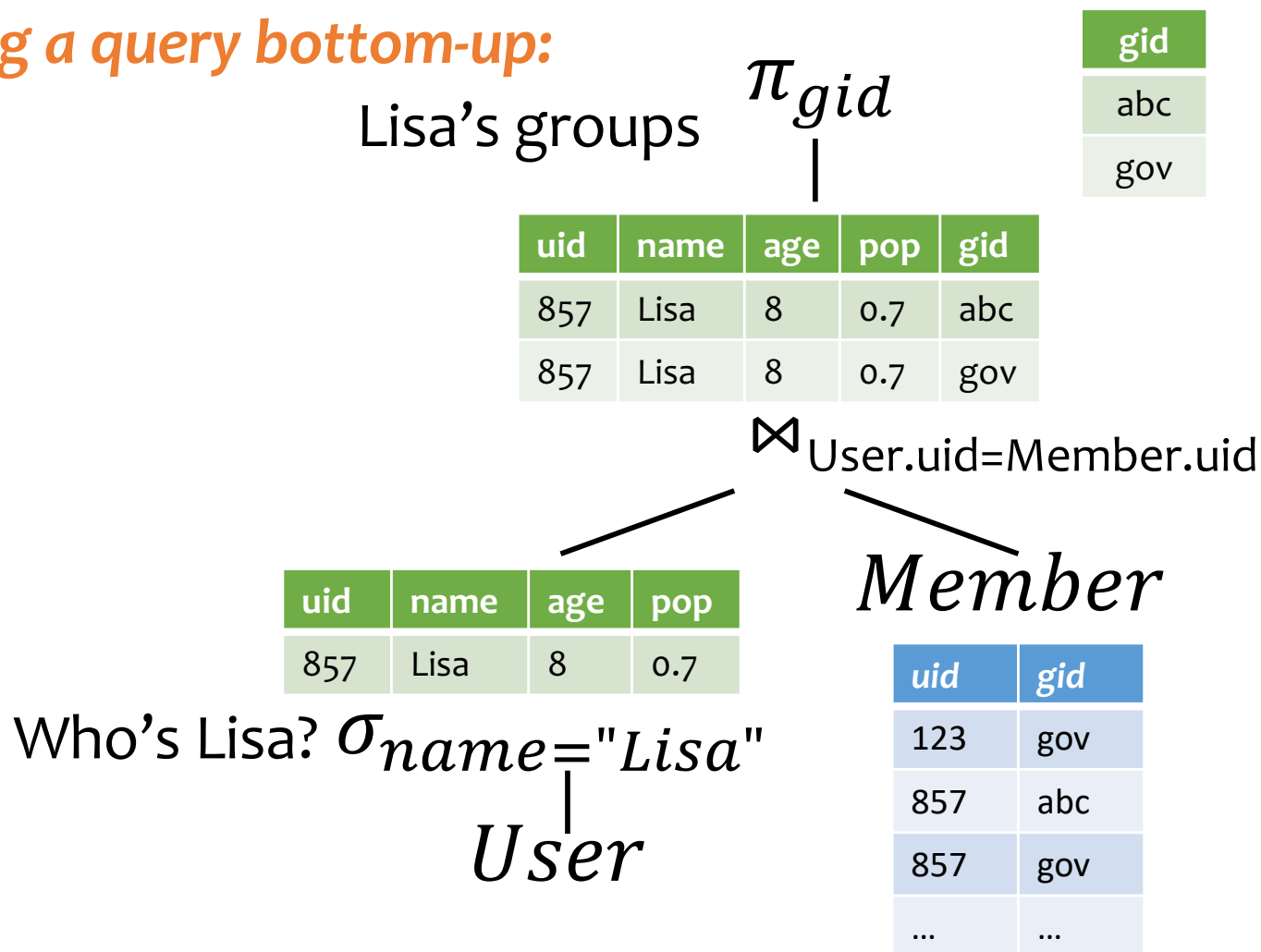


# More example

User (uid int, name string, age int, pop float)  
 Group (gid string, name string)  
 Member (uid int, gid string)

- All groups (ids) that Lisa belongs to

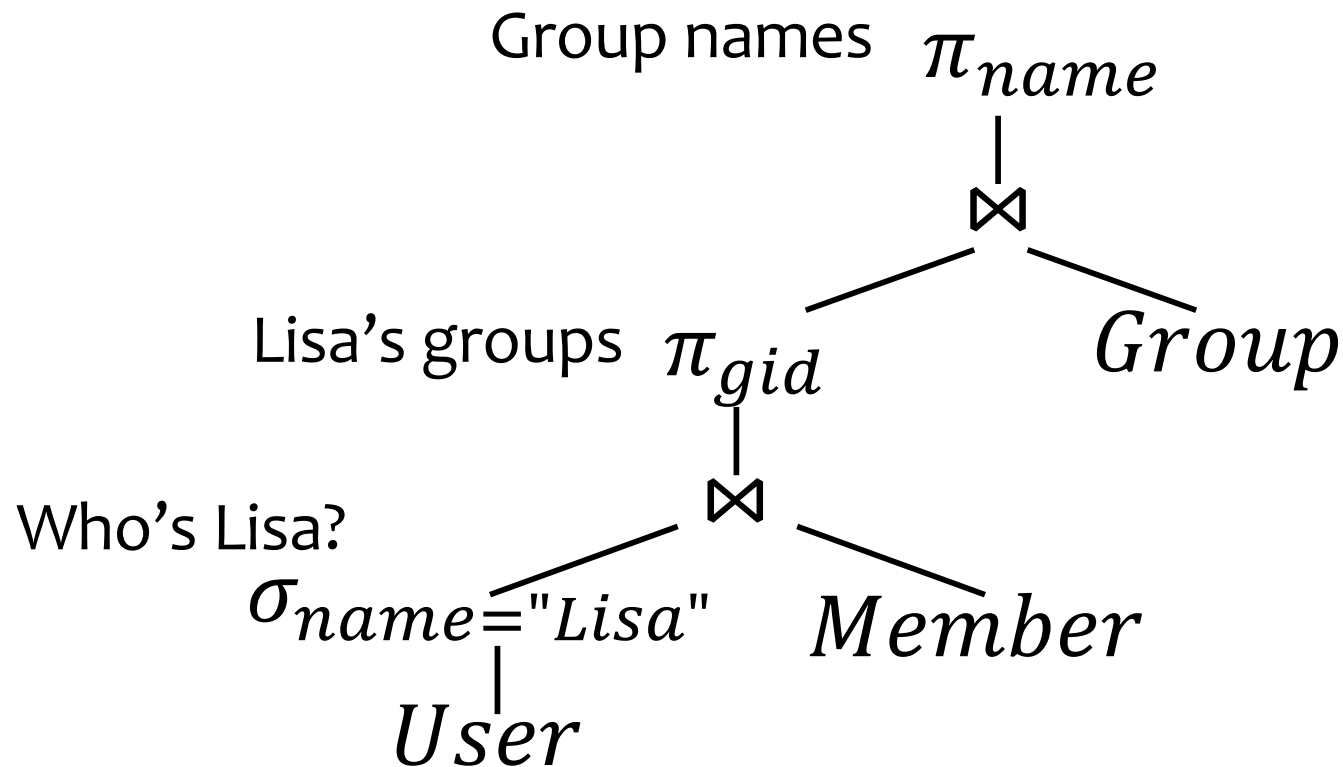
Writing a query bottom-up:



# Take home ex.

User (uid int, name string, age int, pop float)  
 Group (gid string, name string)  
 Member (uid int, gid string)

- All groups (~~ids~~) that Lisa belongs to  
names?



# More example

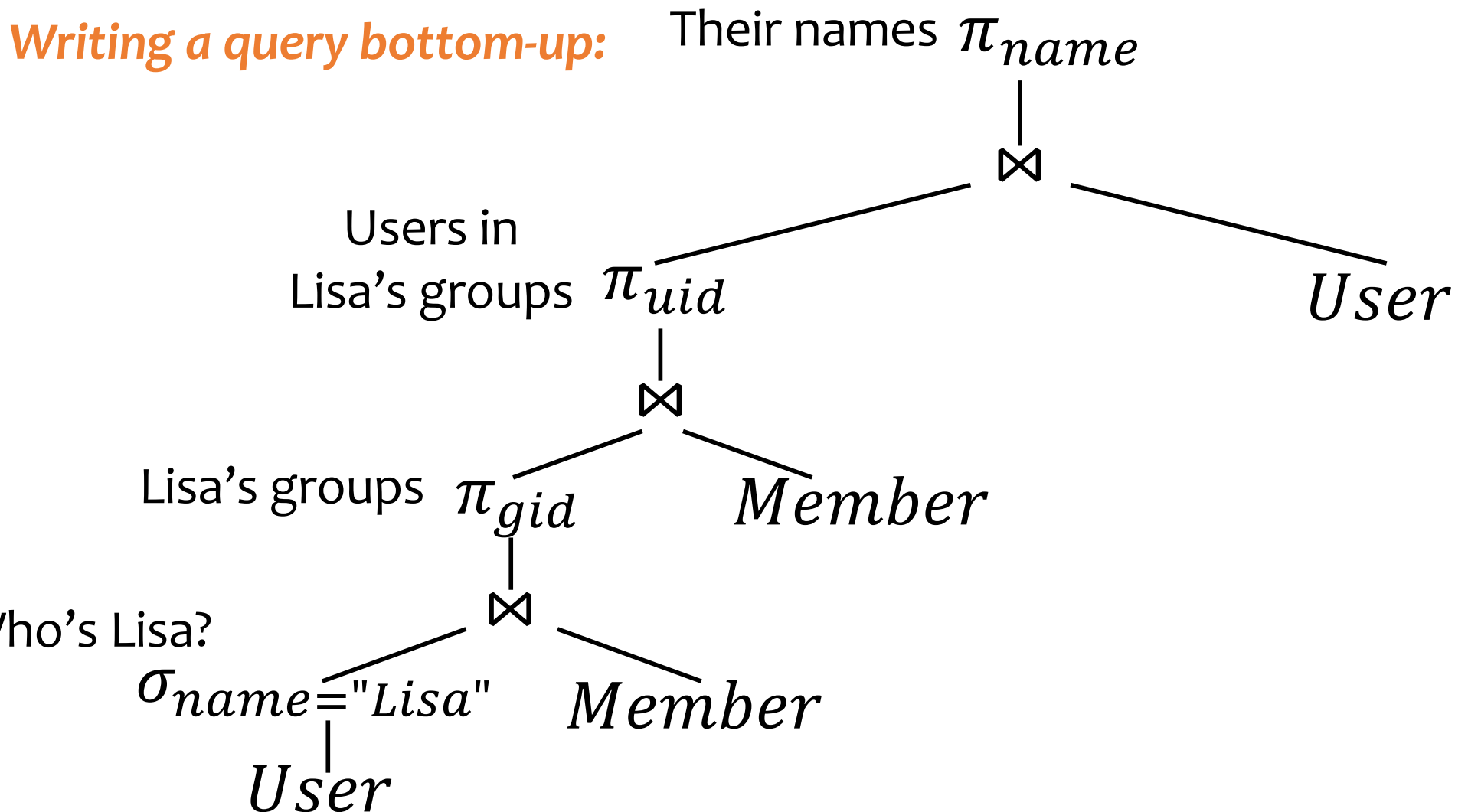
*User* (uid int, name string, age int, pop float)  
*Group* (gid string, name string)  
*Member* (uid int, gid string)

- Names of users in Lisa's groups

# More example

User (uid int, name string, age int, pop float)  
 Group (gid string, name string)  
 Member (uid int, gid string)

- Names of users in Lisa's groups



# More example

```
User (uid int, name string, age int, pop float)
Group (gid string, name string)
Member (uid int, gid string)
```

- IDs of groups that Lisa doesn't belong to

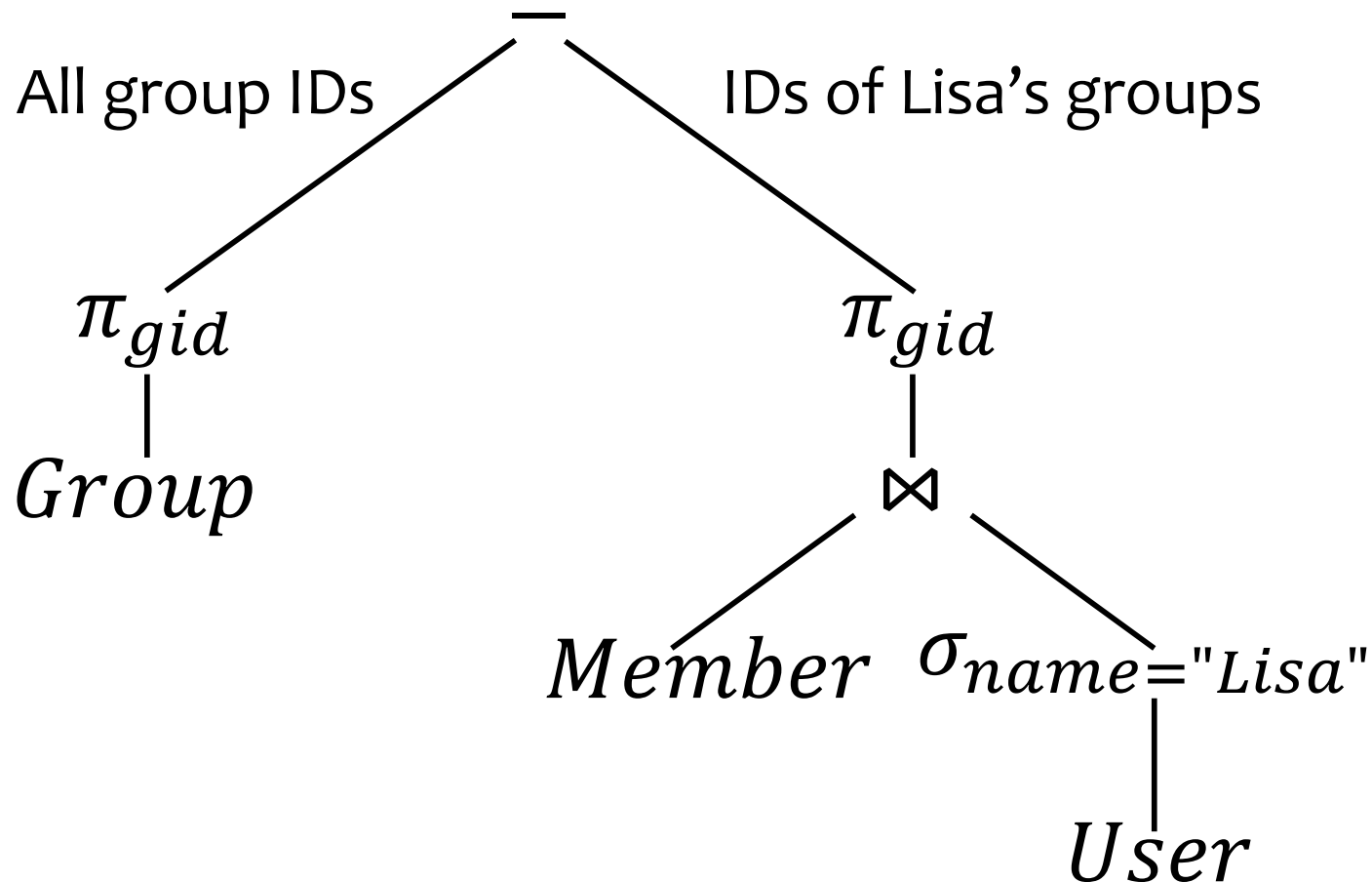
*Writing a query top-down:*

# More example

User (uid int, name string, age int, pop float)  
 Group (gid string, name string)  
 Member (uid int, gid string)

- IDs of groups that Lisa doesn't belong to

*Writing a query top-down:*



# A trickier example

User (uid int, name string, age int, pop float)  
Group (gid string, name string)  
Member (uid int, gid string)

- Who are the most popular users?

$\sigma_{pop \geq \text{every pop in User}}$  User **WRONG!**

- Because it cannot be evaluated over a single row

# A trickier example

User (uid int, name string, age int, pop float)  
Group (gid string, name string)  
Member (uid int, gid string)

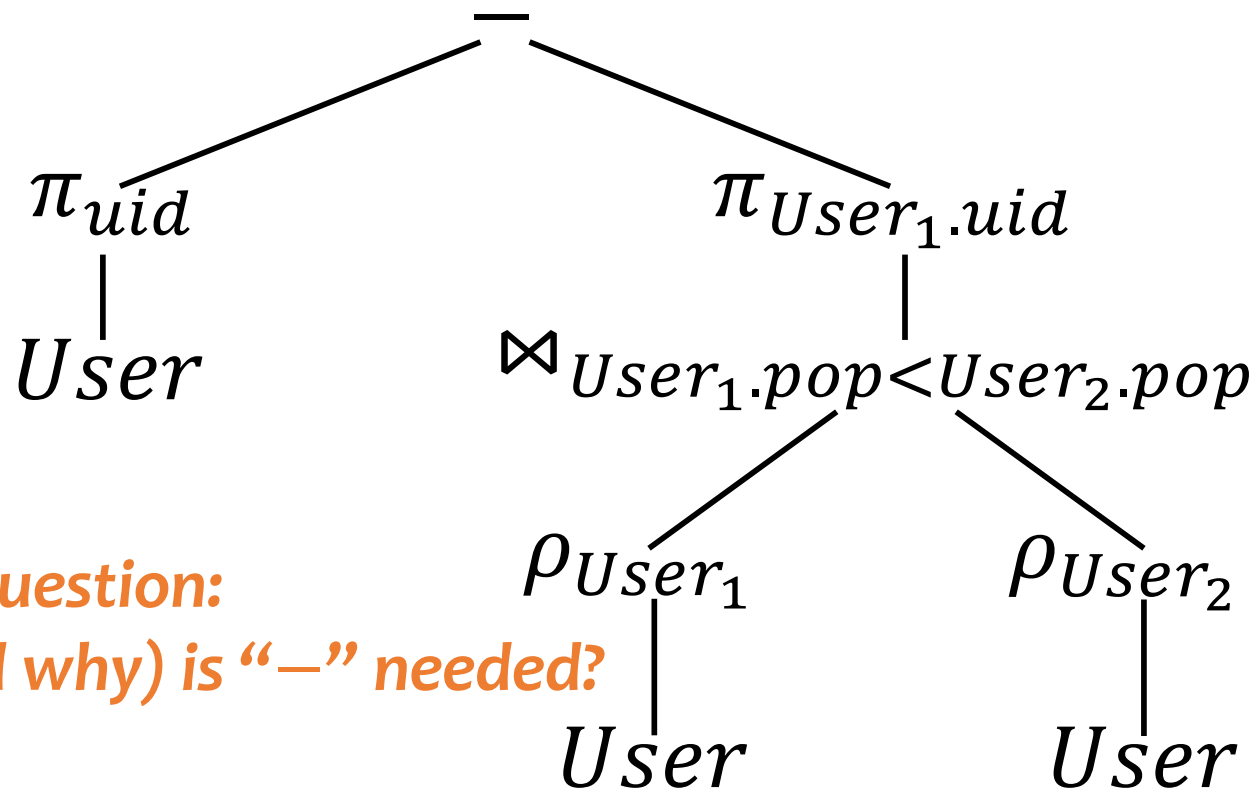
- Who are the most popular users?
  - Who do NOT have the highest *pop* rating?
  - Whose *pop* is lower than somebody else's?



# A trickier example

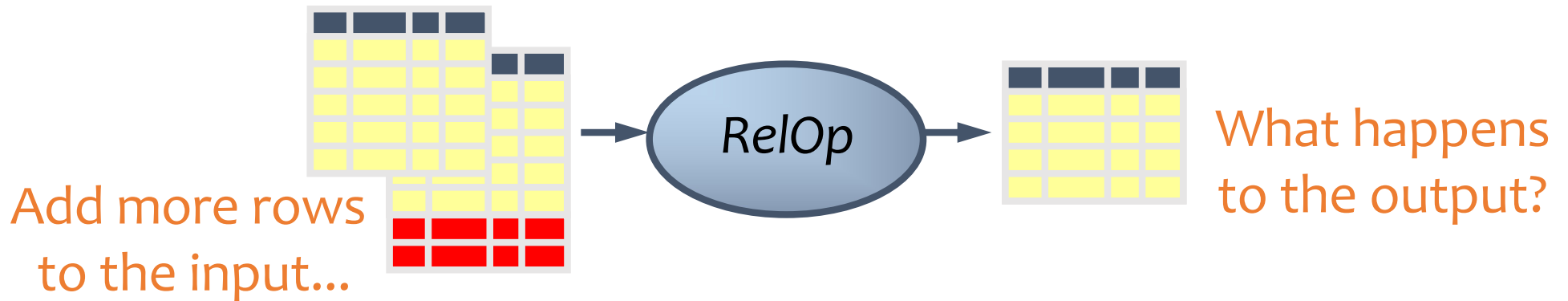
User (uid int, name string, age int, pop float)  
 Group (gid string, name string)  
 Member (uid int, gid string)

- Who are the most popular users?
  - Who do NOT have the highest *pop* rating?
  - Whose *pop* is lower than somebody else's?



**A deeper question:**  
**When (and why) is “—” needed?**

# Non-monotone operators



- If some **old output rows** may become **invalid** → the operator is **non-monotone**
- Example: difference operator  $R - S$

<i>uid</i>	<i>gid</i>
123	gov
857	abc

$R$

–

<i>uid</i>	<i>gid</i>
123	gov
901	edf
857	abc

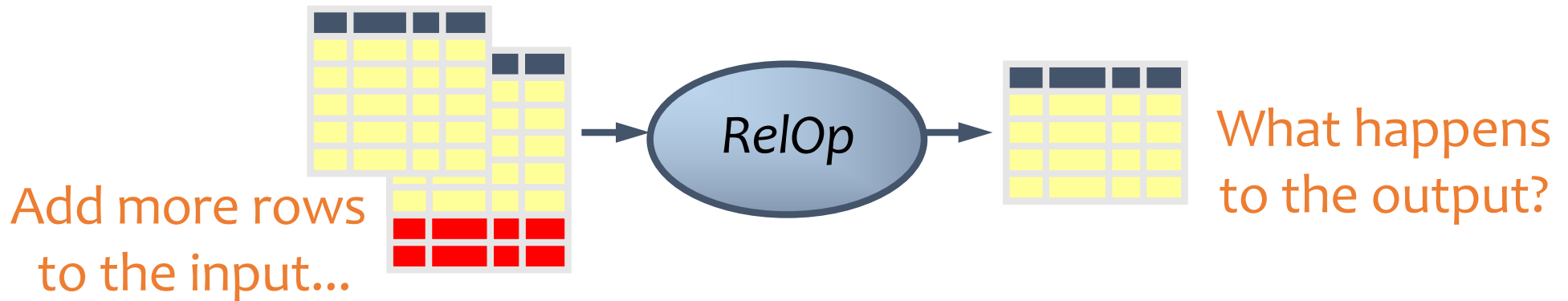
$S$

=

<i>uid</i>	<i>gid</i>
<del>857</del>	<del>abc</del>

This old row becomes invalid because the new row added to  $S$

# Non-monotone operators



- If some **old output rows** may become **invalid** (causing some row removal)  $\rightarrow$  the operator is **non-monotone**
- Otherwise (**old output rows always remain “correct”**)  $\rightarrow$  the operator is **monotone**

<i>uid</i>	<i>gid</i>
123	gov
857	abc
189	abc

*R*

–

<i>uid</i>	<i>gid</i>
123	gov
901	edf

*S*

=

<i>uid</i>	<i>gid</i>
857	abc
189	abc

This old row is always valid no matter what rows are added to R

# Classification of relational operators

- Selection:  $\sigma_p R$  Monotone
- Projection:  $\pi_L R$  Monotone
- Cross product:  $R \times S$  Monotone
- Join:  $R \bowtie_p S$  Monotone
- Natural join:  $R \bowtie S$  Monotone
- Union:  $R \cup S$  Monotone
- Difference:  $R - S$  Monotone w.r.t.  $R$ ; non-monotone w.r.t  $S$
- Intersection:  $R \cap S$  Monotone

# Why is “–” needed for “highest”?

- Composition of monotone operators produces a **monotone query**
    - Old output rows remain “correct” when more rows are added to the input
  - Is the “highest” query monotone?
    - No!
    - Current highest *pop* is 0.9
    - Add another row with *pop* 0.91
    - Old answer is invalidated
- ☞ So it must use difference!

# Why do we need core operator $X$ ?

- Difference
  - The only **non-monotone** operator
- Projection
  - The only operator that **removes columns**
- Cross product
  - The only operator that **adds columns**
- Union
  - ?
- Selection
  - ?

# Extensions to relational algebra

- Duplicate handling (“bag algebra”)
  - Grouping and aggregation
- ☞ All these will come up when we talk about SQL
- ☞ But for now we will stick to standard relational algebra without these extensions

# Relational Calculus (Optional)

- Relational Algebra: **procedural** language
  - An algebraic formalism in which queries are expressed by **applying a sequence of operations** to relations.
- Relational Calculus: **declarative** language
  - A logical formalism in which queries are expressed as **formulas of first-order logic**.
- Codd's Theorem: Relational Algebra and Relational Calculus are essentially equivalent in terms of expressive power.



# Relational calculus

User (uid int, name string, age int, pop float)  
 Group (gid string, name string)  
 Member (uid int, gid string)

- Use *first-order logic (FOL) formulae* to specify properties of the query answer
- Example: Who are the most popular?
  - $\{u.uid \mid u \in User \wedge \neg(\exists u' \in User: u.pop < u'.pop)\}$ , or
  - $\{u.uid \mid u \in User \wedge (\forall u' \in User: u.pop \geq u'.pop)\}$

# Relational calculus

- Relational algebra = “safe” relational calculus
  - Every query expressible as a safe relational calculus query is also expressible as a relational algebra query
  - And vice versa
- Example of an “unsafe” relational calculus query
  - $\{u.name \mid \neg(u \in User)\} \rightarrow$  users not in the database
  - Cannot evaluate it just by looking at the database
- A query is *safe* if, for all database instances conforming to the schema, the query result can be computed using **only constants appearing in the database instance** or in the query itself.

# Turing machine

How does relational algebra compare with a Turing machine?

- A conceptual device that can execute any computer algorithm
- Approximates what **general-purpose programming languages** can do
  - E.g., Python, Java, C++, ...



Alan Turing (1912-1954)

# Limits of relational algebra

- Relational algebra has **no recursion**
  - Example: given relation  $Friend(uid1, uid2)$ , who can Bart reach in his social network with any number of hops?
    - Writing this query in r.a. is impossible!
  - So r.a. is not as powerful as general-purpose languages
- But why not?
  - Optimization becomes **undecidable**
  - ☞ Simplicity is empowering
  - Besides, you can always implement it at the application level, and recursion is added to SQL nevertheless!

# Summary

- Part 1: Relational data model
  - Data model
  - Database schema
  - Integrity constraints (**keys**)
  - Languages (relational algebra, relational calculus, SQL)
- Part 2: Relational algebra – basic language
  - Core operators & derived operators (**how to write a query**)
  - V.s. relational calculus
  - V.s. general programming language
- What's next?
  - SQL – query language used in practice (4 lectures)