CS 348 Lecture 1 Course Overview & Organization Semih Salihoğlu

Jan 6th, 2025



Outline For Today

- 1. Overview of DBMSs: 3 Major Contributions of the Field
 - 1. Set of DBMS Features for Applications
 - 2. Physical Data Independence/High-level Query Languages
 - 3. Transactions
- 2. Course Diagram & Administrative Information

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What is a Database Management System (DBMS)?



Main Set of DBMS Features

- High-level Data Model and Query Language
- Efficient access and processing of data
- Scalability:
 - Handling of Large Data, i.e., Out-of-memory Data
 - 10-100Ks of concurrent data access/sec
- Safe access and processing of data:
 - > Maintenance of the integrity of the data upon updates
 - Multi-User access to data (Concurrency)
 - Fault tolerant storage of data

Main Contributions of the Field?

- 1. The System
- 2. High-level/Declarative Programming
 - Ingredients: Relational Data Model & Algebra: A model based on set theory (so a formal mathematical theory)
 - Provides ability to generate automatic efficient algorithms for many data processing tasks
- 3. Transactions
 - Principles of concurrent data-manipulating app. development

Why App Developers Need a DBMS?

- Application: Order & Inventory Management in E-commerce
 - E.g.: Amazon or Alibaba



Let's simplify the design: assume a single server will accept requests from app software to keep track of and serve your records: orders, new products, etc. 7

Bad Idea: Write Storage Software in Java/C++

- > Possible Approach: Directly use the file system of the OS.
 - E.g: one or more files for orders, customers, products etc.



- Problem: Physical Record Design?
 - Suppose you record: name, birthdate for each customer
 - How many bytes for each fact?

E.g.: Encoding of string names? Fixed or variable length?

Many sub-problems: E.g.: How to quickly find a record?

PR1: Example Physical Record Designs (1)

Variable-length design

name-len (bytes) name pay			lo	ad	birtho	late (f	fixe	d 4 byte	es)						
11 Alice Smith 2001/09/08					19	Alexa	nder	Des	demon	a	2002/05	5/20			
6	ļ	Ali Jo	1	992/0	02/2	25	26		Mon	Montgomery Cambridgeshire			re	1992/0)2/25
									•••						

customers.txt

Fixed-length design

Overf	low p	ptr len	name (16 k	(4 byt	es)					
null	11	Alice Sm	ith	2001	/09/08	ן ן	ona	idges	hire	
0 /	19	Alexander Desdem		2002/05/20				••		
null	19	Ali Jo		1992/02/25						

customers.txt

customer-overflow.txt

PR1: Example Physical Record Designs (2)

Chained Design: Maybe to keep in sorted alphabetical order

name-leng (bytes)	name payload	birthdate (fixes 4 bytes)	prev ptr	next ptr
- · · · ·				-



customers.txt

Takeaway 1: Many designs options & difficult for app developers! Takeaway 2: Bytes not the right data abstraction to program apps.

PR2: Efficient Query/Analytics Algorithms

- Managers Ask: Who are top paying customers?
 - Task: Compute total sales by customer
 - Assume in record layout every field is fixed length

 \succ Problem: App developer needs to implement an algorithm.

```
Possible Algorithm 1:
file = open("orders.txt")
HashTable ht;
for each line in file:
// some code to parse custID and price
 if (ht.contains(custID))
  ht.put(custID, ht.get(custID) + price)
else: ht.put(custID, price);
file.close();
```

Possible High-level Algorithm 2: sort orders.txt on CustID orders of Cust_i are now consecutive Which sorting algorithm to use? read sorted records sequentially —— Should one parallelize sorting? How? and sum prices for each C i

01	Cust1	BookA	\$20
02	Cust2	WatchA	\$120
03	Cust1	DiapersB	\$30
04	Cust3	MasksA	\$15
	•••		
	•••		

orders.txt

PR2: Efficient Query/Analytics Algorithms

- > That is only for 1 question. There will be many questions:
 - List of orders that bought a product that cost > \$500
 - Last order from cust4?
 - Who are closest co-purchasers of Cust4? (i.e., who bought the same item as Cust4, ordered by the #co-purchases.)
 - Many many more (thousands) important business questions:
 - For each question numerous possible algorithms and implementations.

Takeaway 1: Many algs & implementations. Difficult to choose. Takeaway 2: Writing an algorithm for each task won't scale!

PR3: Scalability

- Large-scale Data: Data > Memory
 - E.g. orders.txt grows to terabytes & does not fit in memory.
 - Often the case for data-intensive applications
 - > Need ``External'' algorithms, i.e., uses disk to scale
 - > Hard to write such algorithms. Challenge:
 - > Try implementing a good external sorting algorithm?
- Scale to: 10K~100Ks of requests/sec
 - Hard to write code that efficiently supports such workloads. Takeaway: Hard and have nothing to do w/ the app logic! App developers should focus on the app!

PR4: Integrity/Consistency of The Data (1)

- Many ways data can be corrupted:
 - Often: Wrong application logic or bugs in application
- E.g: Checkout App's "Checkout As Guest"
 - Writes the Order record
 - And the Customer record
 - Assume Bob shops again
 - > (Bob, 1999/05/07) is duplicated!

- Likely an inconsistency.
- We'd want to enforce the invariant:
- No duplicate cust records!



PR4: Integrity/Consistency of The Data (2)

- E.g: Checkout App's "Checkout As Guest"
 - Writes the Order record
 - But not the Customer record
 - > (Bob, 1999/05/07) is not in Customers.txt.

Likely an inconsistency.

We'd want to enforce the invariant:

Every order's cust record exists!



Another example momentarily in concurrency

PR5: Concurrency: Multiple Conflicting Requests

Alice & Bob concurrently order BookA: suppose 1 left in stock.



Concurrency Questions

- What is a correct/incorrect state upon concurrent updates?
 - Theoretical formalism to explain these states: Serializability
- > What protocols/algorithms can ensure a correct state?
 - Locking-based protocols
 - Pessimistic: set of lock acquisitions to prevent bad state
 - > Optimistic protocols
 - Detect bad state and recover from it
- Set of guarantees that a DBMS should satisfy
 - ACID guarantees: atomicity, consistency, isolation, durability

Concurrency Avoidance Ex: Global DB Lock



Safe but inefficient. Why?

Concurrency Avoidance Ex: Global DB Lock



Bob had no conflicts; so was "unnecessarily" blocked.

Concurrency Avoidance Ex: Record-level Lock

Alice, Bob as before want BookA, Carmen orders Book B



Concurrency Avoidance Ex: Record-level Lock

Alice, Bob as before want BookA, Carmen orders Book B



Safe and achieves parallelism. What can go wrong?

Where There is Locking, There is Deadlocks!

Alice, Bob both order both BookA and BookB together



How can we detect & avoid deadlocks?

Failure & Recovery

- > What if your disk fails in the middle of an order?
- What if your server software fails due to a bug?
- > What if there is a power outage in the machine storing files?



	Product	NumInStock
7	BookA	1
	BookB	7

Failure & Recovery

- > What if your disk fails in the middle of an order?
- What if your server software fails due to a bug?
- > What if there is a power outage in the machine storing files?
- Suppose Alice orders both BookA and BookB





Product	NumInStock
BookA	1
BookB	7

Failure & Recovery

- > What if your disk fails in the middle of an order?
- What if your server software fails due to a bug?
- > What if there is a power outage in the machine storing files?
- Suppose Alice orders both BookA and BookB



Before (B, 6) is written failure! Inconsistent data state!



PR: How to recover from inconsistent state?

w (A, 0)

					\checkmark
	Product	NumInStock		Product	NumInStock
	•••				
7	BookA	0	X	BookA	0
	BookB	7		BookB	6
-	 BookA BookB	 0 7	X	BookA BookB	 0 6

Contributions of DBMSs To Computing

- > DBMSs provide solutions to all of the problems we identified!
- Allows app developers to focus on the application logic.

	<u>Problems</u>	Solutions Contribution 2						
1.	Physical record design and access to records	Data Model (Higher-level than bits/bytes)						
2.	Efficiency	High Level Data Query/Manipulation Language Automatic compilation of queries to efficient algs/query plans						
3.	Scalability: 3.1: Large-scale data 3.2: Large # of requests	Persistent-disk-based data Scale to 10-100K requests/seconds Contribution 3						
4.	Safe Concurrency	Transactions & ACID guarantees						
5.	Other Safety Features:	Data Integrity and Failure Recovery						
	Contribution 1. The System							



Contribution 1: The System

- IDS (1960s): First DBMS
 - Had a data model and a primitive "query" language
 - Had scalability for its era and integrity and recovery
 - No transactions



The Birth of DBMS (2)



The Birth of DBMS (3)



DBMS is an excellent example of a successful abstraction!

From Hans-J. Schek's VLDB 2000 slides

A Side Note on Spotting an Opportunity For New Systems or System Components

Sometimes (but not always) you spot that a new system/system component is needed by observing functionality duplication.
 Ex 1: Map Reduce Large-Scale Dataflow System
 CS 451: Data-Intensive Distributed Computing

Over the past five years, the authors and many others at Google have implemented hundreds of special-purpose computations that process large amounts of raw data, such as crawled documents, web request logs, etc., to compute various kinds of derived data, such as inverted indices, various representations of the graph structure of web documents, summaries of the number of pages crawled per host, the set of most frequent queries in a given day, etc. Most such computations are conceptually straightforward. However, the input data is usually large and the computations have to be distributed across hundreds or thousands of machines in order to finish in a reasonable amount of time. The issues of how to parallelize the computation, distribute the data, and handle failures conspire to obscure the original simple computation with large amounts of complex code to deal with these issues.

MapReduce: Simplified Data Processing on Large Clust

Jeffrey Dean and Sanjay Ghernawat jeff@google.com, sanjay@google.com

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Over the past five years, the authors and many others at 1 coughs have implemented hundreds of special-purpose computations that process large amounts of raw data, such as crawled documents, whet request logs, set, to compate various kinds of derived data, such as inverted indices, various kinds of derived data, such as inverted indices, various kinds of derived data, such as inverter of web documents, summaries of the sumher of pages crawled per hor, the set of mone frequent queries in a

The Birth of MapReduce (1)



The Birth of MapReduce (2)



Same Application Development W/ a DBMS

- We will use a Relational DBMS (RDBMS) but can use other DBMSs too (e.g., a graph database management system)
 - Ex: PostgreSQL, Oracle, MySQL, SAP HANA, Snowflake...

Data Modeling With an RDBMS (1)

Relational Model: Data is modeled as a set of tables

Much higher-level abstraction than bits/bytes

Custo		Orde	ers	Products			
name	<u>birthday</u>	<u>olD</u>	<u>cust</u>	product	<u>price</u>	product	<u>numInStock</u>
Alice	2001/09/08	01	2001/09/08	BookA	20	BookA	1
Bob	2002/05/20	02	2002/05/20	TVB	100	TVB	78

Example SQL Command in an RDBMS: CREATE TABLE Customers name varchar(255), birthdate DATE;

- The RDBMS takes care of physical record design: Fixedlength/var-length, columnar, row, chained etc.
- The physical record design is transparent to the developer, i.e. the developer does not need to know the design.

Data Modeling With an RDBMS (2)

- Physical Data Independence:
 - Throughout the lifetime of the app, the RDBMS can change the physical layout for performance or other reasons and the applications keep working because the design is transparent.

≻ E.g:

- A new column can be added that changes the record design
- A compressed column can be uncompressed

Takeaway: A high-level data model delegates the responsibility of physical record design and access to these records to the DBMS

High-level Query Language (1)

- Structured Query Language (SQL)
- SQL is referred to as a *declarative* language:
 - Describe outputs of computation but not how to perform it
- "Declarative"ness is subjective and relative:
 - \blacktriangleright E.g. Prolog > SQL > {C,C++,Java}
- Recall managers' question: Who are top paying customers?

SELECT cust, sum(price) as sumPay FROM Orders ORDER BY sumPay DESC

Orders							
<u>oID</u>	<u>cust</u>	<u>product</u>	<u>price</u>				

No procedural description of how to group-by and aggregate: hash-based, sort-based, what sorting algorithm to use etc.

```
High-level Query Language (2)
```

> RDBMS automatically generates an algorithm for the query:

> We call those algorithms *query plans*

```
SELECT cust, sum(price) as sumPay
FROM Orders
ORDER BY sumPay DESC
```

High-level QLs are perhaps the best examples of *automatic* programming

Post	gres Query Plan		
	#1 HashAggrega by cust	te	
	#2 Seq Scan on orders	1	\$

Takeaway: A high-level QL delegates the responsibility of finding an efficient algorithm for queries to the DBMS. Other efficiency benefits: The DBMS will handle large data and automatically parallelize these algorithms.

Integrity Constraints

- Recall the bug in Checkout App's "Checkout As Guest":
 - Writes the Customer record
 - Assume Bob shops again
 - > (Bob, 1999/05/07) is duplicated!
- In RDBMSs: add uniqueness constraints (Primary Key Constraints) CREATE TABLE Customers (name varchar(255), birthdate DATE, PRIMARY KEY (name));

template1=# INSERT INTO Customers Values ('Bob', '1999/05/07'); INSERT 0 1 template1=# INSERT INTO Customers Values ('Bob', '1999/05/07'); ERROR: duplicate key value violates unique constraint "customers_pkey" DETAIL: Key_(name)=(Bob) already exists.

Takeaway: DBMSs will enforce the constraint and maintain the

data's integrity at all times on behalf of the app!

Can enforce other integrity constraints (e.g., foreign key)

Concurrency When Using an RDBMS

Recall Alice & Bob concurrently ordering BookA:



Concurrency When Using an RDBMS

(Simplified) SQL: Will ensure a correct end state \succ **BEGIN TRANSACTION UPDATE** Products Will avoid any deadlocks SET numInStock = numInStock - 1 WHERE name = "BookA" Will error for Alice or Bob **INSERT INTO Orders** Take away: DBMS ensures safe VALUES ("Alice", "BookA", \$20) COMMIT concurrency. E • • • ··· • • • ••• r: (A,1) r: (A,1) (A, 1) r: r: (A,1) w:(A,0) w: (A, 0) time w:(A,0) w:(A,0) r: (A, 0) r:(A,0)

Backup and Recovery

- Recall failure scenario: Alice orders both BookA and BookB
- Suppose a power failure occurs and the DBMS fails in the middle of committing the transaction



DBMS is an indispensable core system software to develop any application that stores, queries, or processes data.

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Key People When Developing Data-Intensive Applications



- Won't differentiate between 2&3
 - $\sim -2/3^{rd}$ from the perspective of app developers
 - ~1/3rd on DBMS internals and architecture
- ➢ Want to learn more about internals of DBMSs: CS 448

CS 348 Diagram

User/Administrator Perspective

Primary Database Management System Features (6 lectures)

- Data Model: Relational Model
- High Level Query Language: Relational Algebra & SQL, Datalog
- Integrity Constraints
- Indexes/Views
- Transactions

Relational Database Design (4 lectures)

- E/R Models
 - Normal Forms

How To Program A DBMS (0.5-1 lecture)

- Embedded vs Dynamic SQL
- Frameworks

DBMS Architect/Implementer Perspective (8 lectures)

- Physical Record Design
- Query Planning and Optimization
- Indexes
- Transactions

Other (Last 1/2 Lectures)

- Graph DBMSs or
- RDF Systems

Before/After CS 348



A Glimpse of Current DBMS Market



Hundreds of companies producing DBMSs: Many RDBMS/SQL, but also graph, RDF, Document DB, Key-value stores etc.. Not even including companies to tune, ingest, visualize etc..

- Instructor: Semih Salihoglu (semih.salihoglu@uwaterloo.ca)
- > OHs: Mondays 4:00pm-5:00pm @ DC 3351
- TAs: Guy Coccimiglio, Shubhankar Mohapatra, Anurag Chakraborty, David Rui, Gaurav Sehgal, Nimmi Rashinika Weeraddana
- ➤ TA OHs: a few hours on weeks assignments are due
- Course Coordinator: Sylvie Davies
- Website: <u>https://student.cs.uwaterloo.ca/~cs348/</u>
- Learn: <u>https://learn.uwaterloo.ca/d2l/home/1098090</u>
- Piazza: <u>https://piazza.com/class/m4vnhnp05wrc4</u>
 - Unless urgent, we will wait for students to answer
 - We will interfere when there is confusion
 - Please be active! This our best forum for communication.

- > Textbook: Database System Concepts, Silberschatz et al., 7th edition
 - "The library has electronic access only to the 2006 edition of "Database System Concepts by Silberschatz." This access is through Hathi Trust which is emergency access. Access is restricted to one user and for one hour at a time."
 - (Rare) Optional: <u>Designing Data Intensive Applications</u>, Klepmann





> 2 Other Main Textbooks in the Field





COMPLETE SECOND EDITION

Hector Garcia-Molina Jeffrey D. Ullman Jennifer Widom

Workload & Mark Distribution: 2 options

	Assignments	Group Project	Midterm	Final
Option 1	30%		30%	40%
Option 2	30%	30%	15%	25%

- Midterm: Feb 28th (4:30-6pm)
- Final: Not yet announced by the university
- Late Policy: 2 extra days for each assignment or project milestone
 - ➢ For Assignments: Lose 5% for each additional day
 - ➢ For Project milestones: Lose 25% for each day

Projects

- Teams of 4 or 5 students
- Implementing a database application
- Detailed information next week
- 4 milestones (deadlines are tentative but will finalize this week)
 - Milestone 0: form a team due Jan 22 (not marked)
 - Milestone 1: proposal due Feb 15
 - Milestone 2: mid-term report Mar 14
 - Milestone 3: report + demo (week of March 31 but due latest by April 2nd)

Prerequisites

- ➤ CS 240/240E is listed but not strictly necessary.
- Programming in a standard language: e.g., Python
- General interest in software systems, data-intensive application development and data management and processing systems