

# Data-Intensive Distributed Computing

CS 431/631 451/651 (Fall 2019)

Part 3: Analyzing Text (2/2)

October 1, 2019

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These slides are available at <https://www.student.cs.uwaterloo.ca/~cs451>

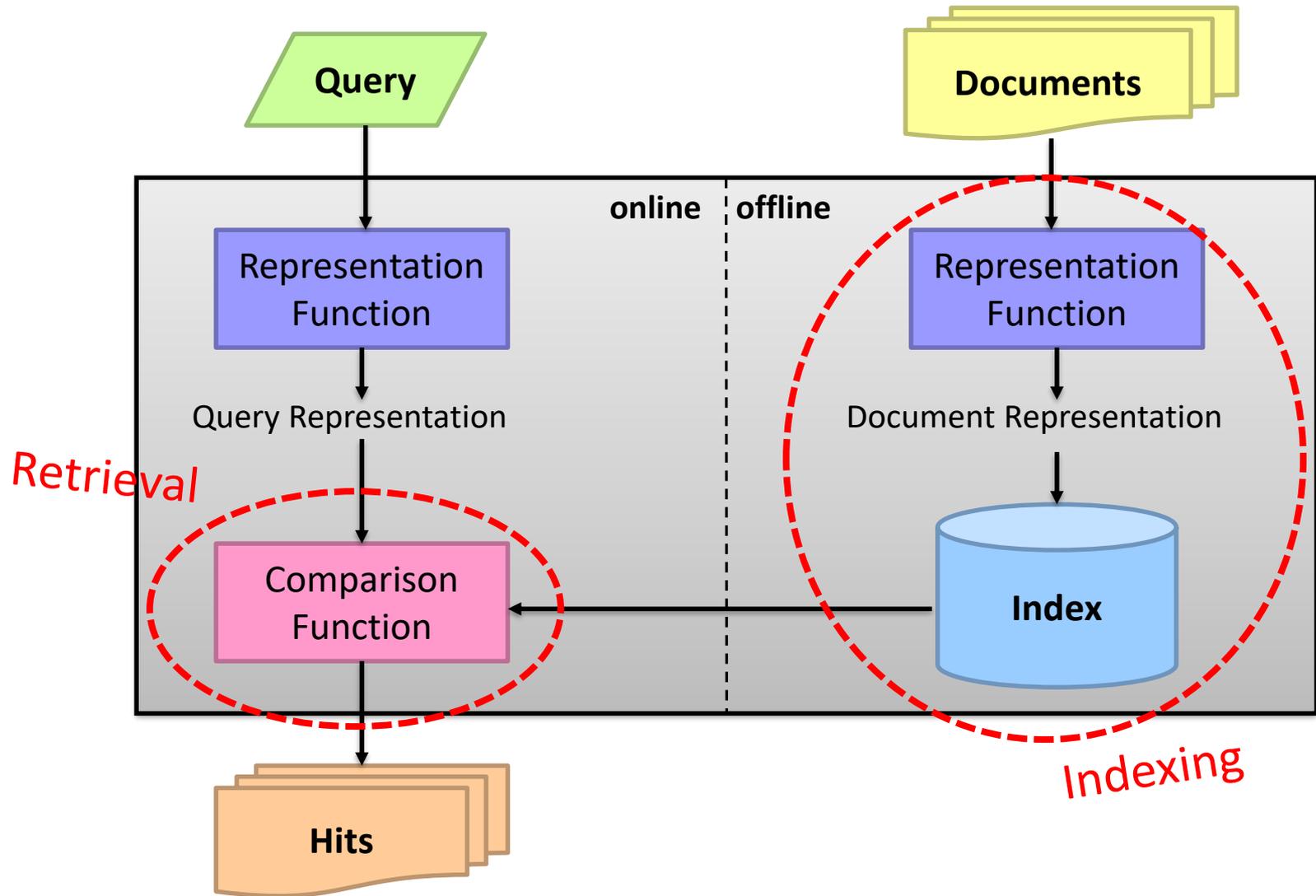
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Search!

# Abstract IR Architecture



Doc 1

one fish, two fish

Doc 2

red fish, blue fish

Doc 3

cat in the hat

Doc 4

green eggs and ham

	1	2	3	4
blue		1		
cat			1	
egg				1
fish	1	1		
green				1
ham				1
hat			1	
one	1			
red		1		
two	1			

What goes in each cell?

boolean  
count  
positions

**Doc 1**  
one fish, two fish

**Doc 2**  
red fish, blue fish

**Doc 3**  
cat in the hat

**Doc 4**  
green eggs and ham

	1	2	3	4
blue		1		
cat			1	
egg				1
fish	1	1		
green				1
ham				1
hat			1	
one	1			
red		1		
two	1			

Indexing: building this structure

Retrieval: manipulating this structure

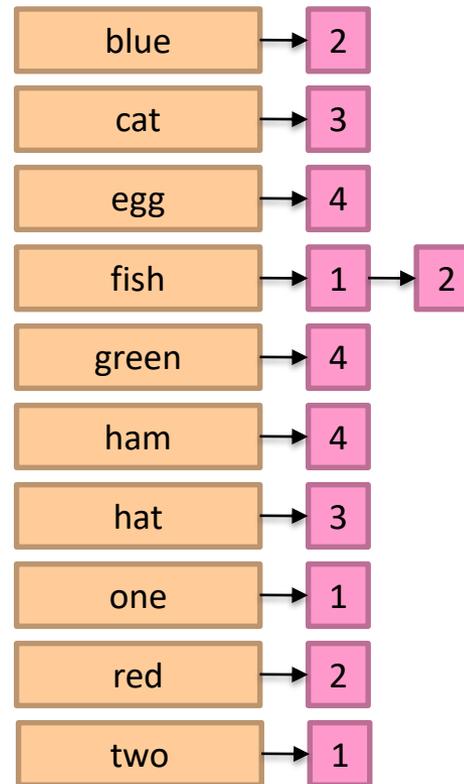
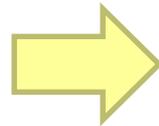
**Doc 1**  
**one fish, two fish**

**Doc 2**  
**red fish, blue fish**

**Doc 3**  
**cat in the hat**

**Doc 4**  
**green eggs and ham**

	1	2	3	4
blue		1		
cat			1	
egg				1
fish	1	1		
green				1
ham				1
hat			1	
one	1			
red		1		
two	1			



*postings lists  
(always in sorted order)*

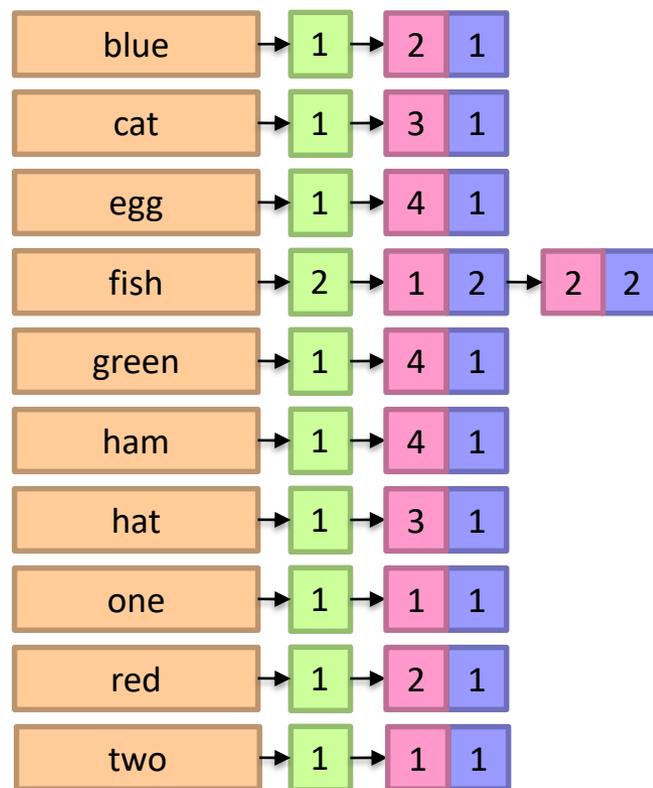
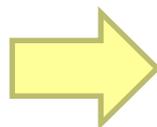
**Doc 1**  
one fish, two fish

**Doc 2**  
red fish, blue fish

**Doc 3**  
cat in the hat

**Doc 4**  
green eggs and ham

	<i>tf</i>				
	1	2	3	4	<i>df</i>
blue		1			1
cat			1		1
egg				1	1
fish	2	2			2
green				1	1
ham				1	1
hat			1		1
one	1				1
red		1			1
two	1				1



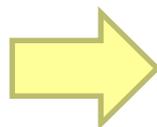
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fish	2	2			2
green				1	1
ham				1	1
hat			1		1
one	1				1
red		1			1
two	1				1



# Inverted Indexing with MapReduce

Map

Doc 1  
one fish, two fish

one 

1	1
---	---

  
two 

1	1
---	---

  
fish 

1	2
---	---

Doc 2  
red fish, blue fish

red 

2	1
---	---

  
blue 

2	1
---	---

  
fish 

2	2
---	---

Doc 3  
cat in the hat

cat 

3	1
---	---

  
hat 

3	1
---	---

Shuffle and Sort: aggregate values by keys

Reduce

cat 

3	1
---	---

  
fish 

1	2
---	---

2	2
---	---

  
one 

1	1
---	---

  
red 

2	1
---	---

blue 

2	1
---	---

  
hat 

3	1
---	---

  
two 

1	1
---	---

# Inverted Indexing: Pseudo-Code

```
class Mapper {
  def map(docid: Long, doc: String) = {
    val counts = new Map()
    for (term <- tokenize(doc)) {
      counts(term) += 1
    }
    for ((term, tf) <- counts) {
      emit(term, (docid, tf))
    }
  }
}

class Reducer {
  def reduce(term: String, postings: Iterable[(docid, tf)]) = {
    val p = new List()
    for ((docid, tf) <- postings) {
      p.append((docid, tf))
    }
    p.sort()
    emit(term, p)
  }
}
```

# Positional Indexes

Map

Doc 1

one fish, two fish

one	1	1	[1]
two	1	1	[3]
fish	1	2	[2,4]

Doc 2

red fish, blue fish

red	2	1	[1]
blue	2	1	[3]
fish	2	2	[2,4]

Doc 3

cat in the hat

cat	3	1	[1]
hat	3	1	[2]

Shuffle and Sort: aggregate values by keys

Reduce

cat	3	1	[1]				
fish	1	2	[2,4]	2	2	[2,4]	
one	1	1	[1]				
red	2	1	[1]				
				blue	2	1	[3]
				hat	3	1	[2]
				two	1	1	[3]

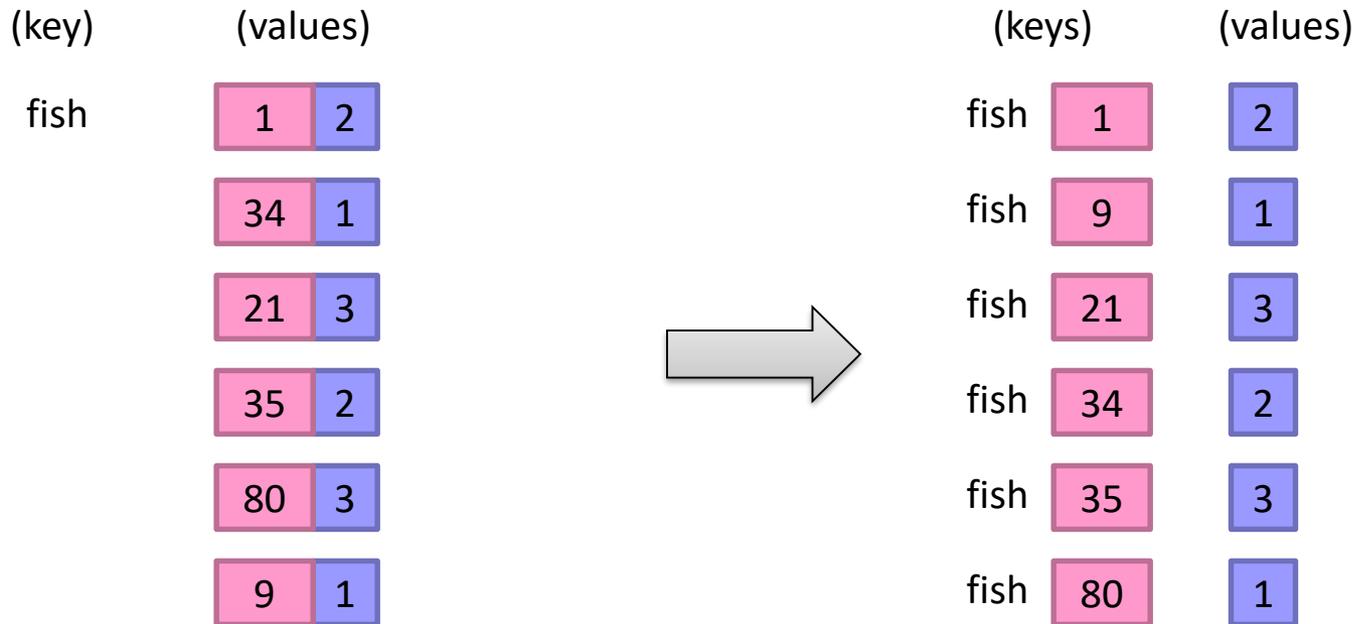
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    }  
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      emit(term, (docid, tf))  
    }  
  }  
}
```

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class Reducer {  
  def reduce(term: String, postings: Iterable[(docid, tf)]) = {  
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    for ((docid, tf) <- postings) {  
      p.append((docid, tf))  
    }  
    p.sort()  
    emit(term, p)  
  }  
}
```

*What's the problem?*

# Another Try...



How is this different?

Let the framework do the sorting!

Where have we seen this before?

# Inverted Indexing: Pseudo-Code

```
class Mapper {  
  def map(docid: Long, doc: String) = {  
    val counts = new Map()  
    for (term <- tokenize(doc)) {  
      counts(term) += 1  
    }  
    for ((term, tf) <- counts) {  
      emit((term, docid), tf)  
    }  
  }  
}
```

```
class Reducer {  
  var prev = null  
  val postings = new PostingsList()  
  
  def reduce(key: Pair, tf: Iterable[Int]) = {  
    if key.term != prev and prev != null {  
      emit(prev, postings)  
      postings.reset()  
    }  
    postings.append(key.docid, tf.first)  
    prev = key.term  
  }  
}
```

```
def cleanup() = {  
  emit(prev, postings)  
}
```

*Wait, how's this any better?*

*What else do we need to do?*

# Postings Encoding

Conceptually:



In Practice:

Don't encode docids, encode gaps (or *d*-gaps)

But it's not obvious that this save space...



= delta encoding, delta compression, gap compression

# Overview of Integer Compression

## Byte-aligned technique

VarInt (Vbyte)

Group VarInt

## Word-aligned

Simple family

Bit packing family (PForDelta, etc.)

## Bit-aligned

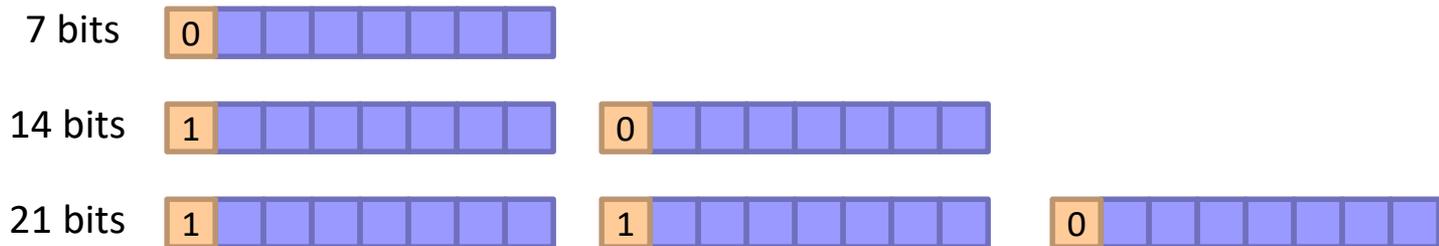
Unary codes

$\gamma/\delta$  codes

Golomb codes (local Bernoulli model)

# VarInt (Vbyte)

Simple idea: use only as many bytes as needed  
Need to reserve one bit per byte as the “continuation bit”  
Use remaining bits for encoding value



Works okay, easy to implement...

**Beware of branch mispredicts!**

# Group VarInt

Designed by Google

Pack integers in blocks of four

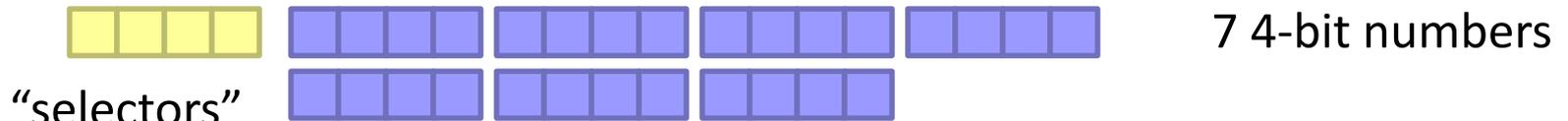
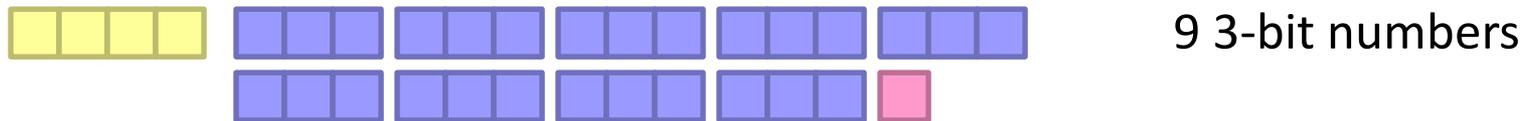
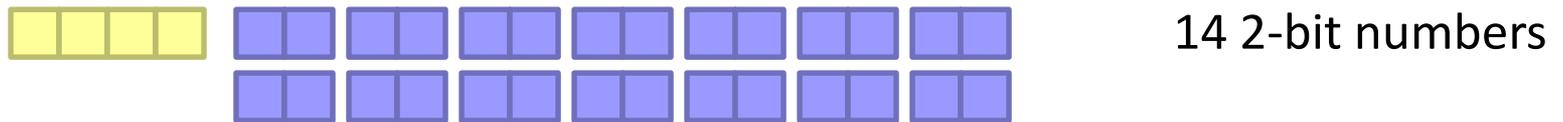
80, 320, 31, 255

VarInt: 01010000 11000000 00000010 00011111 11111111 00000001

Group VarInt: 00010000 0101000 01000000 00000001 00011111 11111111

# Simple-9

How many different ways can we divide up 28 bits?



(9 total ways)

Efficient decompression with hard-coded decoders  
Simple Family – general idea applies to 64-bit words, etc.

Beware of branch mispredicts?

# Golomb Codes

$x \geq 1$ , parameter  $b$ :

$q + 1$  in unary, where  $q = \lfloor (x - 1) / b \rfloor$

$r$  in binary, where  $r = x - qb - 1$ , in  $\lfloor \log b \rfloor$  or  $\lceil \log b \rceil$  bits

Example:

$b = 3, r = 0, 1, 2$  (0, 10, 11)

$b = 6, r = 0, 1, 2, 3, 4, 5$  (00, 01, 100, 101, 110, 111)

$x = 9, b = 3: q = 2, r = 2, \text{code} = 110:11$

$x = 9, b = 6: q = 1, r = 2, \text{code} = 10:100$

Punch line: optimal  $b \sim 0.69 (N/df)$

Different  $b$  for every term!

# Inverted Indexing: Pseudo-Code

```
class Mapper {  
  def map(docid: Long, doc: String) = {  
    val counts = new Map()  
    for (term <- tokenize(doc)) {  
      counts(term) += 1  
    }  
    for ((term, tf) <- counts) {  
      emit((term, docid), tf)  
    }  
  }  
}
```

```
class Reducer {  
  var prev = null  
  val postings = new PostingsList()  
  
  def reduce(key: Pair, tf: Iterable[Int]) = {  
    if key.term != prev and prev != null {  
      emit(prev, postings)  
      postings.reset()  
    }  
    postings.append(key.docid, tf.first)  
    prev = key.term  
  }  
}
```

```
def cleanup() = {  
  emit(prev, postings)  
}
```

Ah, now we know why this is different!

# Chicken and Egg?

(key)	(value)	
fish	1	2
fish	9	1
fish	21	3
fish	34	2
fish	35	3
fish	80	1
	...	



Write postings *compressed*

But wait! How do we set the Golomb parameter  $b$ ?

Recall: optimal  $b \sim 0.69 (N/df)$

We need the  $df$  to set  $b$ ...

But we don't know the  $df$  until we've seen all postings!

Sound familiar?

# Getting the *df*

In the mapper:

Emit “special” key-value pairs to keep track of *df*

In the reducer:

Make sure “special” key-value pairs come first: process them to determine *df*

Remember: proper partitioning!

# Getting the *df*: Modified Mapper

Doc 1

one fish, two fish

Input document...

(key)            (value)

fish 1 2

one 1 1

two 1 1

Emit normal key-value pairs...

fish ★ 1

one ★ 1

two ★ 1

Emit “special” key-value pairs to keep track of *df*...

# Getting the $df$ : Modified Reducer

(key) (value)  
fish ★ 1 1 1 ...

First, compute the  $df$  by summing contributions from all “special” key-value pair...

Compute  $b$  from  $df$

fish 1 2  
fish 9 1  
fish 21 3  
fish 34 2  
fish 35 3  
fish 80 1  
...

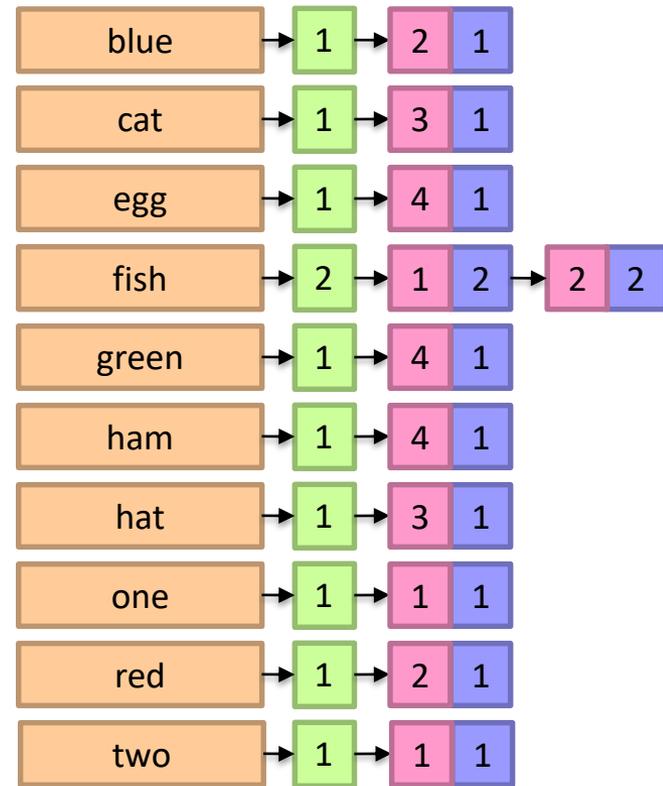
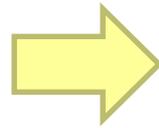
Important: properly define sort order to make sure “special” key-value pairs come first!

Write postings compressed

Where have we seen this before?

# But I don't care about Golomb Codes!

	<i>tf</i>				
	1	2	3	4	<i>df</i>
blue		1			1
cat			1		1
egg				1	1
fish	2	2			2
green				1	1
ham				1	1
hat			1		1
one	1				1
red		1			1
two	1				1



# Inverted Indexing (~Pairs)

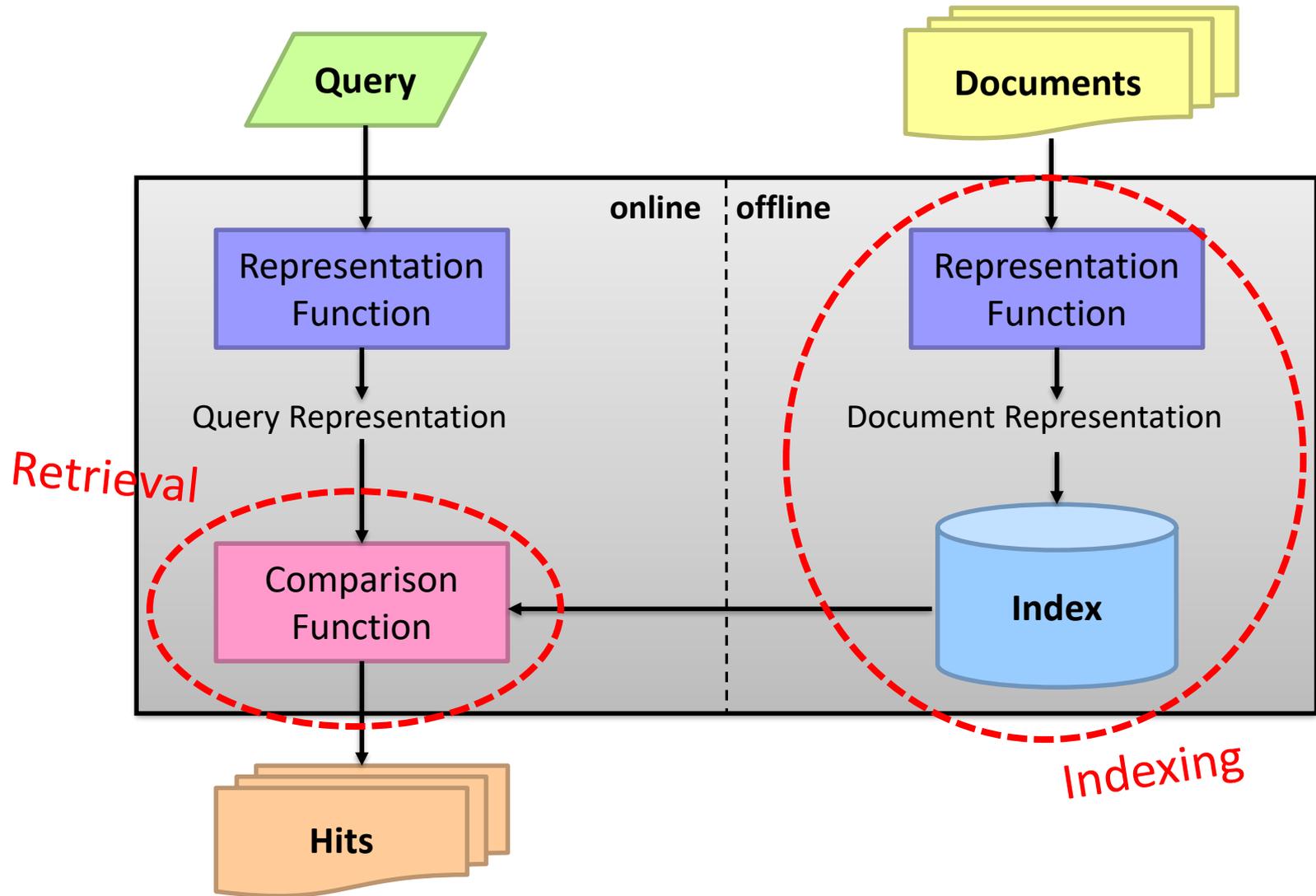
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    }  
    for ((term, tf) <- counts) {  
      emit((term, docid), tf)  
    }  
  }  
}
```

```
class Reducer {  
  var prev = null  
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  def reduce(key: Pair, tf: Iterable[Int]) = {  
    if key.term != prev and prev != null {  
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      postings.reset()  
    }  
    postings.append(key.docid, tf.first)  
    prev = key.term  
  }  
}
```

```
def cleanup() = {  
  emit(prev, postings)  
}
```

What's the assumption?  
Is it okay?

# Abstract IR Architecture



# MapReduce it?

The indexing problem *Perfect for MapReduce!*

Scalability is critical

Must be relatively fast, but need not be real time

Fundamentally a batch operation

Incremental updates may or may not be important

For the web, crawling is a challenge in itself

The retrieval problem

Must have sub-second response time

For the web, only need relatively few results

*Uh... not so good...*

Assume everything fits in memory on a single machine...  
(For now)

# Boolean Retrieval

Users express queries as a Boolean expression

AND, OR, NOT

Can be arbitrarily nested

Retrieval is based on the notion of sets

Any query divides the collection into two sets: retrieved, not-retrieved

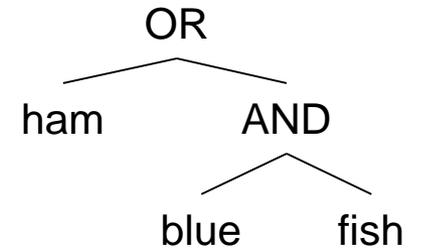
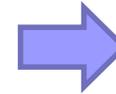
Pure Boolean systems do not define an ordering of the results

# Boolean Retrieval

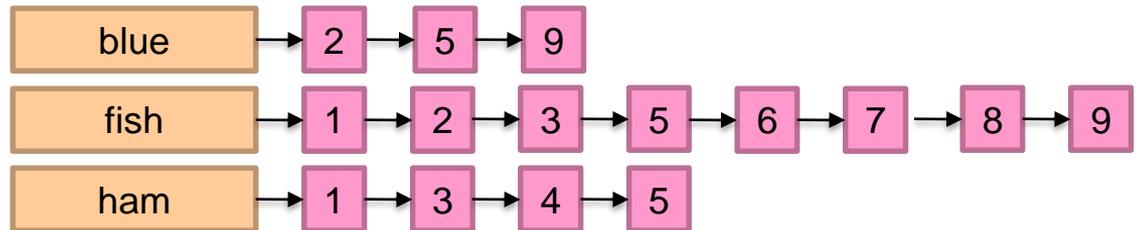
To execute a Boolean query:

Build query syntax tree

( blue AND fish ) OR ham

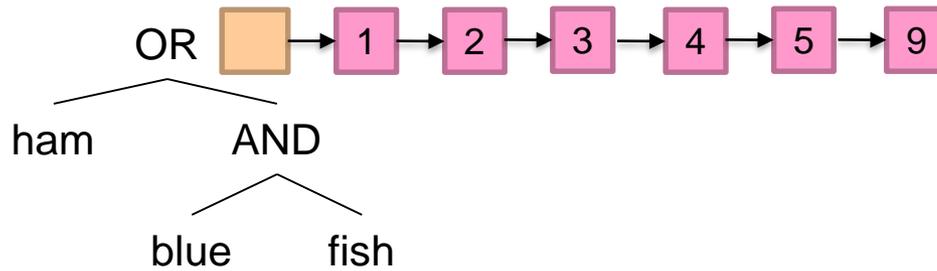
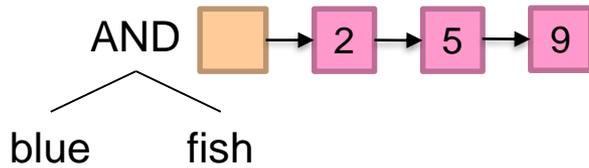
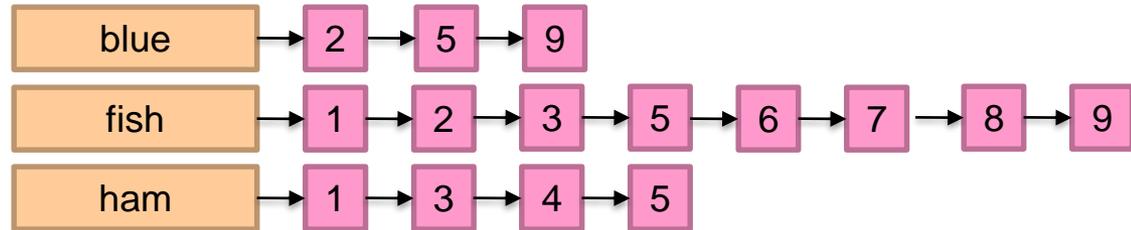
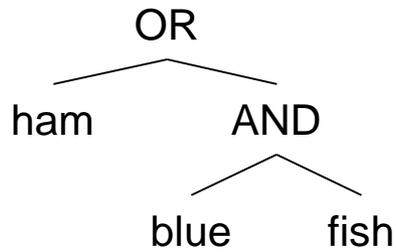


For each clause, look up postings



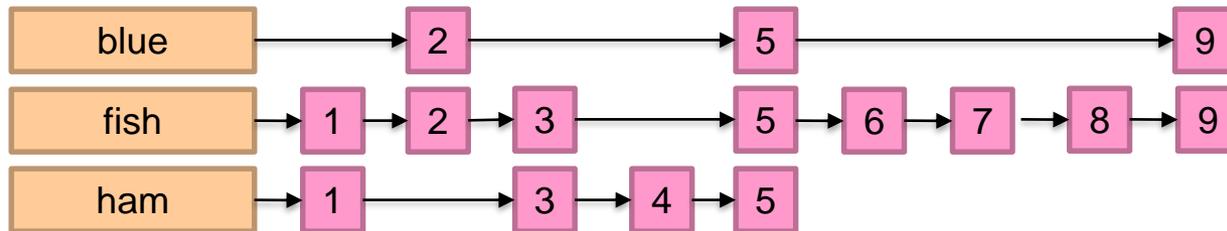
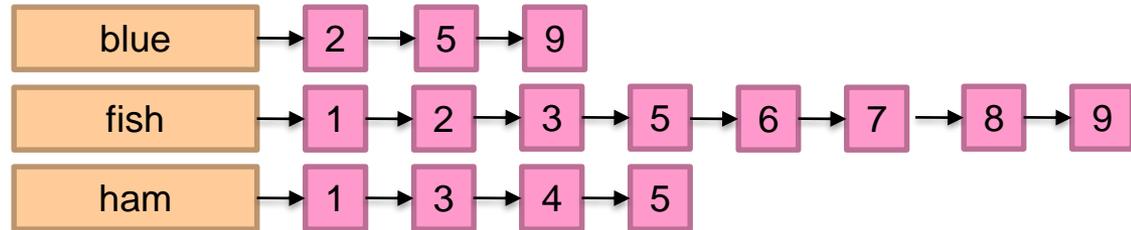
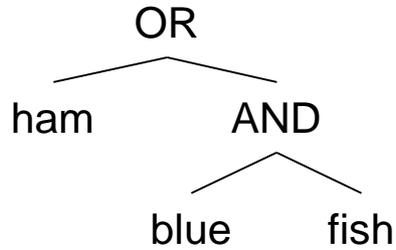
Traverse postings and apply Boolean operator

# Term-at-a-Time



Efficiency analysis?

# Document-at-a-Time



Tradeoffs?

Efficiency analysis?

# Boolean Retrieval

Users express queries as a Boolean expression

AND, OR, NOT

Can be arbitrarily nested

Retrieval is based on the notion of sets

Any query divides the collection into two sets: retrieved, not-retrieved

Pure Boolean systems do not define an ordering of the results

*What's the issue?*

# Ranked Retrieval

Order documents by how likely they are to be relevant

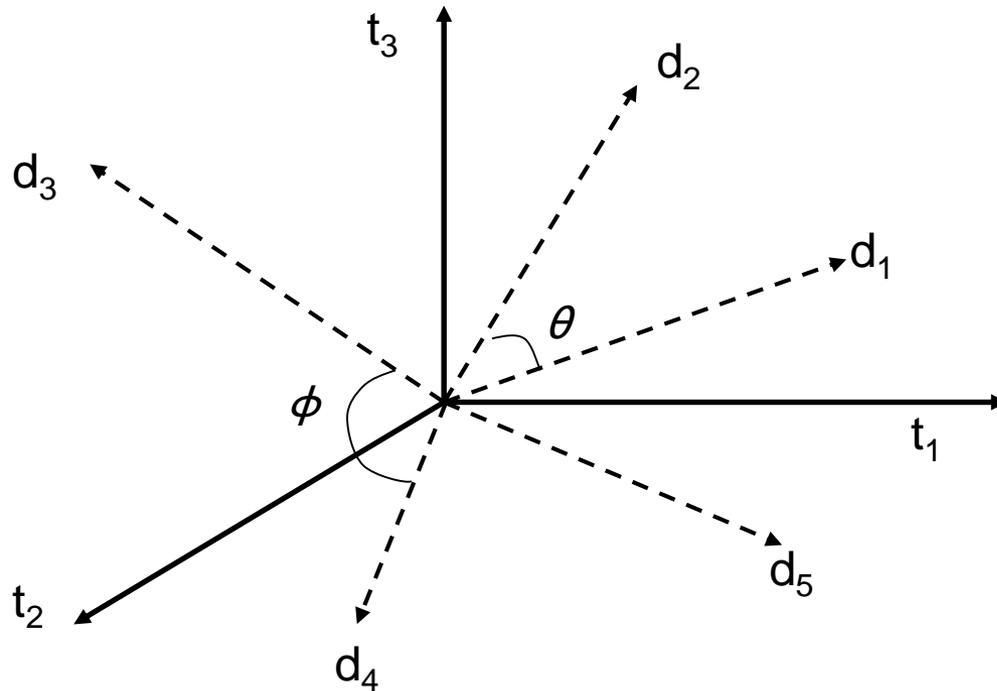
Estimate  $\text{relevance}(q, d_i)$

Sort documents by relevance

How do we estimate relevance?

Take “similarity” as a proxy for relevance

# Vector Space Model



Assumption: Documents that are “close together”  
in vector space “talk about” the same things

Therefore, retrieve documents based on how close the  
document is to the query (i.e., similarity  $\sim$  “closeness”)

# Similarity Metric

Use “angle” between the vectors:

$$d_j = [w_{j,1}, w_{j,2}, w_{j,3}, \dots, w_{j,n}]$$
$$d_k = [w_{k,1}, w_{k,2}, w_{k,3}, \dots, w_{k,n}]$$

$$\cos \theta = \frac{d_j \cdot d_k}{|d_j||d_k|}$$

$$\text{sim}(d_j, d_k) = \frac{d_j \cdot d_k}{|d_j||d_k|} = \frac{\sum_{i=0}^n w_{j,i}w_{k,i}}{\sqrt{\sum_{i=0}^n w_{j,i}^2} \sqrt{\sum_{i=0}^n w_{k,i}^2}}$$

Or, more generally, inner products:

$$\text{sim}(d_j, d_k) = d_j \cdot d_k = \sum_{i=0}^n w_{j,i}w_{k,i}$$

# Term Weighting

Term weights consist of two components

Local: how important is the term in this document?

Global: how important is the term in the collection?

Here's the intuition:

Terms that appear often in a document should get high weights

Terms that appear in many documents should get low weights

How do we capture this mathematically?

Term frequency (local)

Inverse document frequency (global)

# TF-IDF\* Term Weighting

$$w_{i,j} = \text{tf}_{i,j} \cdot \log \frac{N}{n_i}$$

$w_{i,j}$  weight assigned to term  $i$  in document  $j$

$\text{tf}_{i,j}$  number of occurrence of term  $i$  in document  $j$

$N$  number of documents in entire collection

$n_i$  number of documents with term  $i$

\*Term Frequency-Inverse Document Frequency

# Retrieval in a Nutshell

Look up postings lists corresponding to query terms

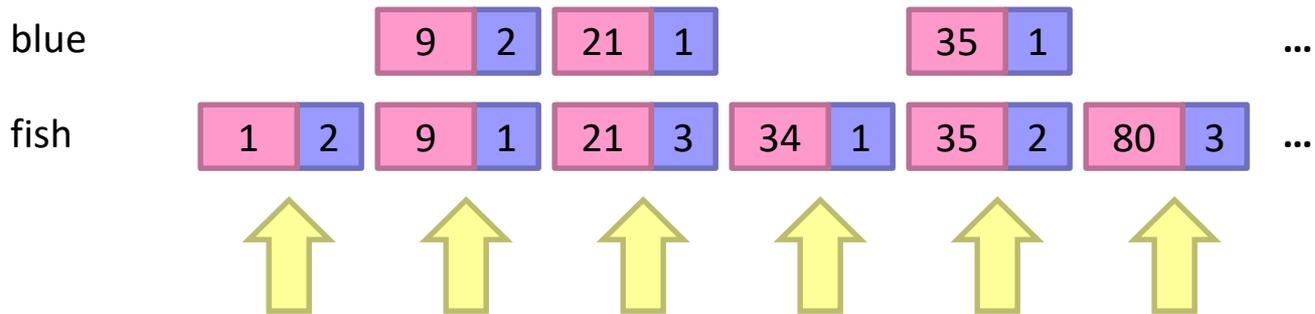
Traverse postings for each query term

Store partial query-document scores in accumulators

Select top  $k$  results to return

# Retrieval: Document-at-a-Time

Evaluate documents one at a time (score all query terms)



**Accumulators**  
(e.g. min heap)

**Document score in top k?**

**Yes:** Insert document score, extract-min if heap too large

**No:** Do nothing

**Tradeoffs:**

Small memory footprint (good)

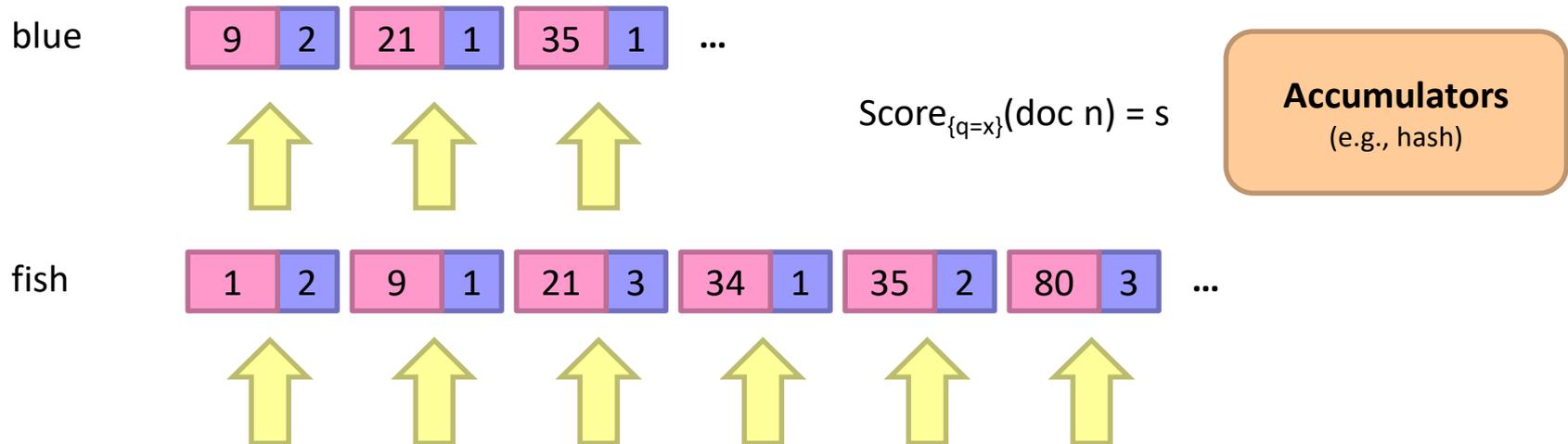
Skipping possible to avoid reading all postings (good)

More seeks and irregular data accesses (bad)

# Retrieval: Term-At-A-Time

Evaluate documents one query term at a time

Usually, starting from most rare term (often with *tf*-sorted postings)



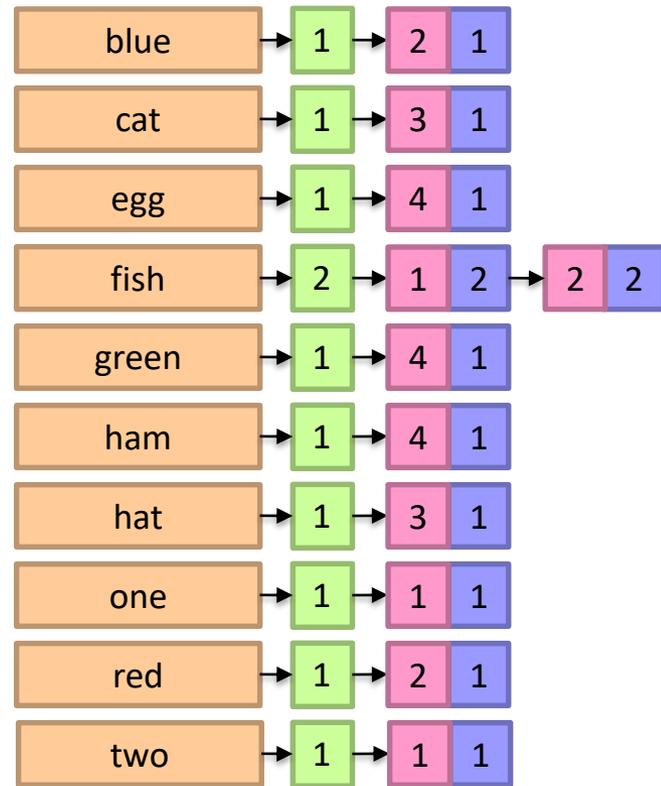
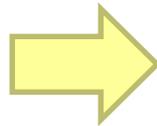
Tradeoffs:

Early termination heuristics (good)

Large memory footprint (bad), but filtering heuristics possible

# Why store *df* as part of postings?

	<i>tf</i>				
	1	2	3	4	<i>df</i>
blue		1			1
cat			1		1
egg				1	1
fish	2	2			2
green				1	1
ham				1	1
hat			1		1
one	1				1
red		1			1
two	1				1



Assume everything fits in memory on a single machine...

Okay, let's relax this assumption now

# Important Ideas

Partitioning (for scalability)

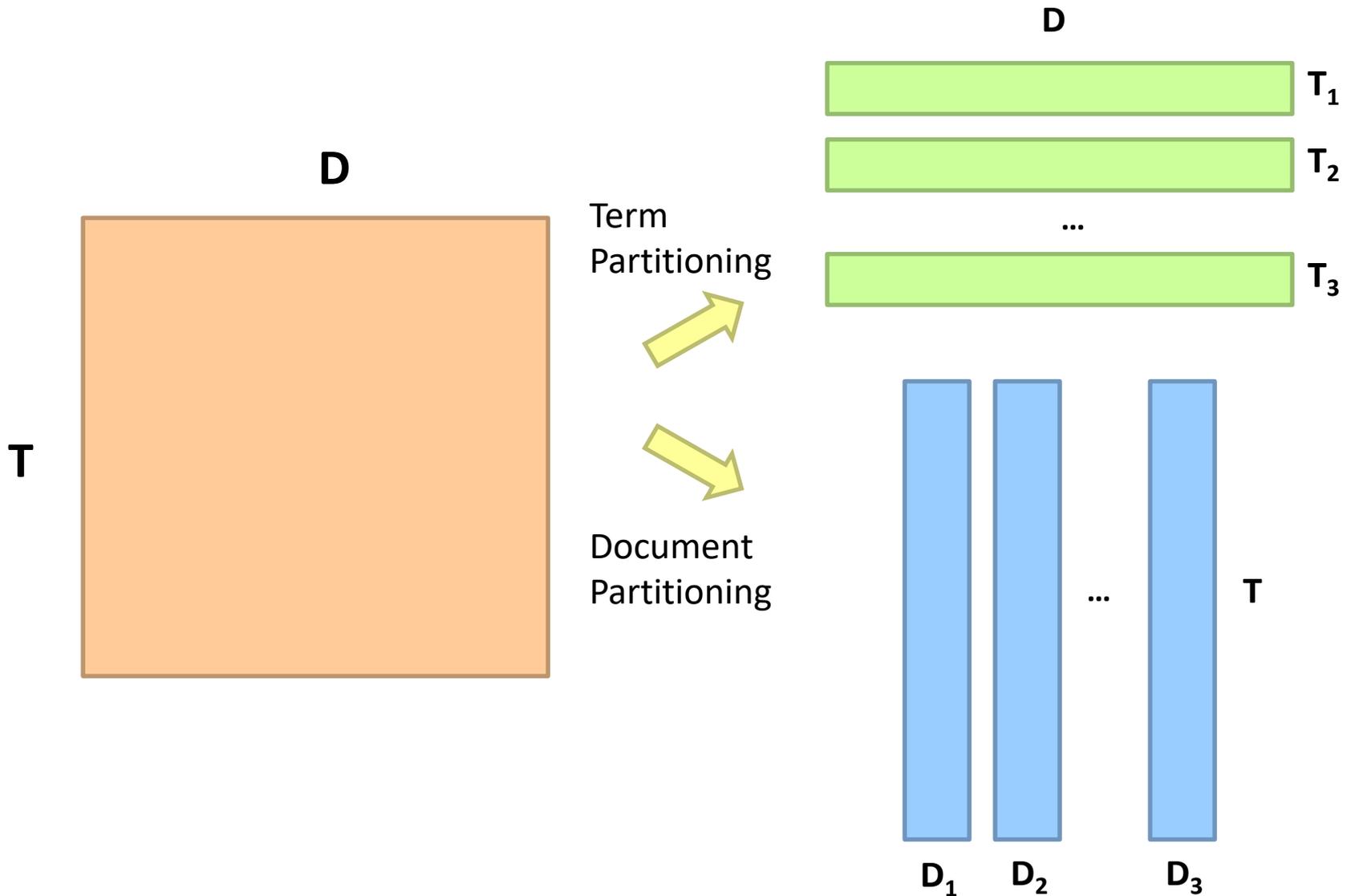
Replication (for redundancy)

Caching (for speed)

Routing (for load balancing)

The rest is just details!

# Term vs. Document Partitioning

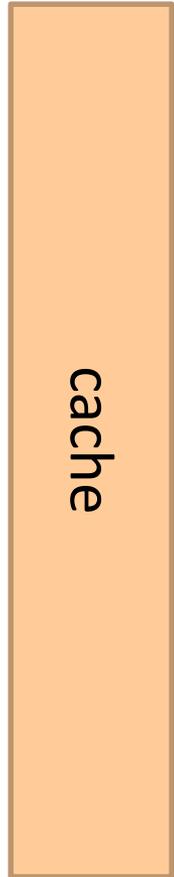
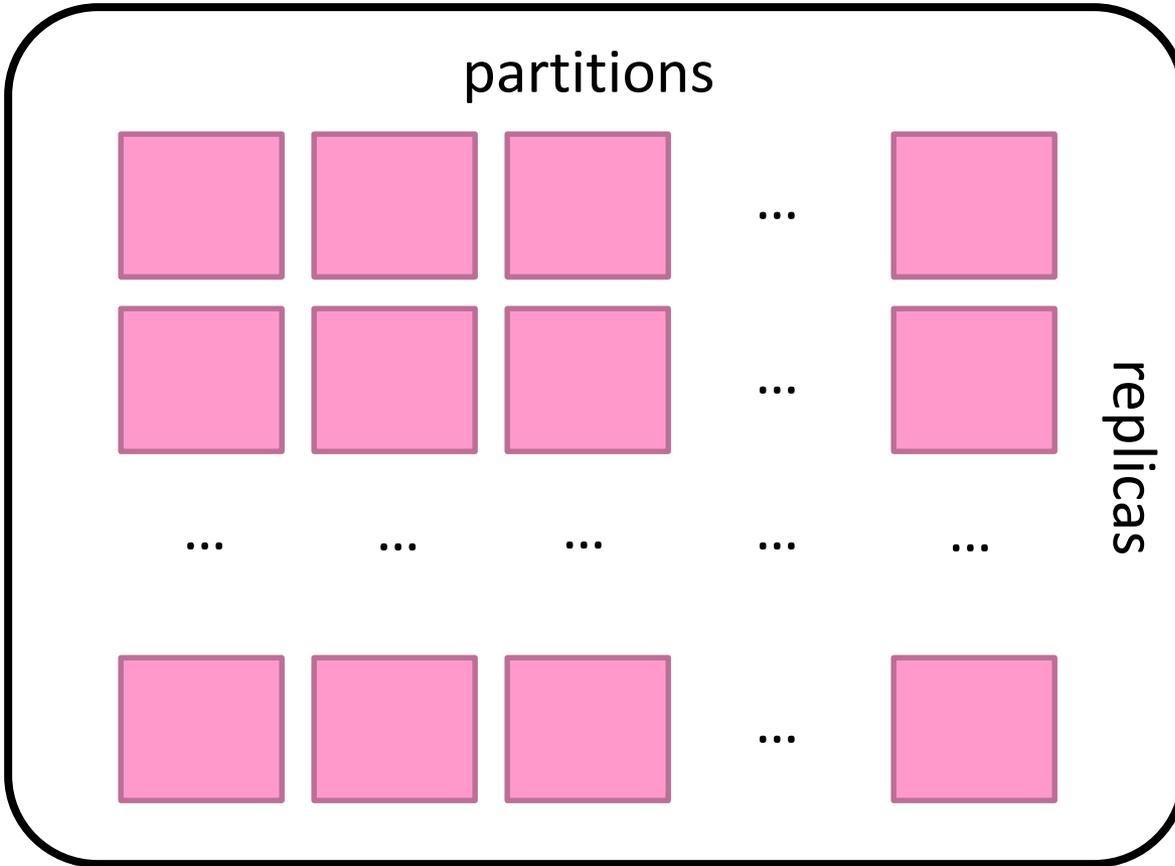




Frontend

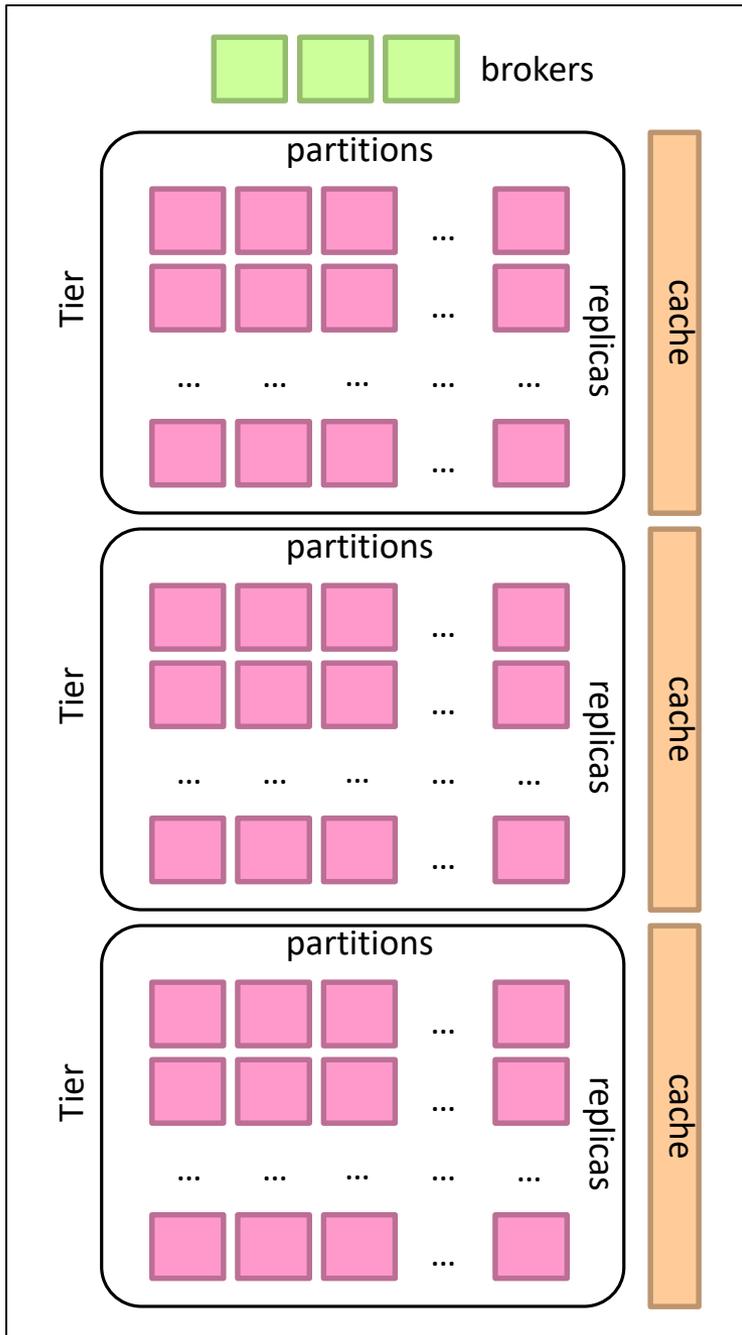


brokers

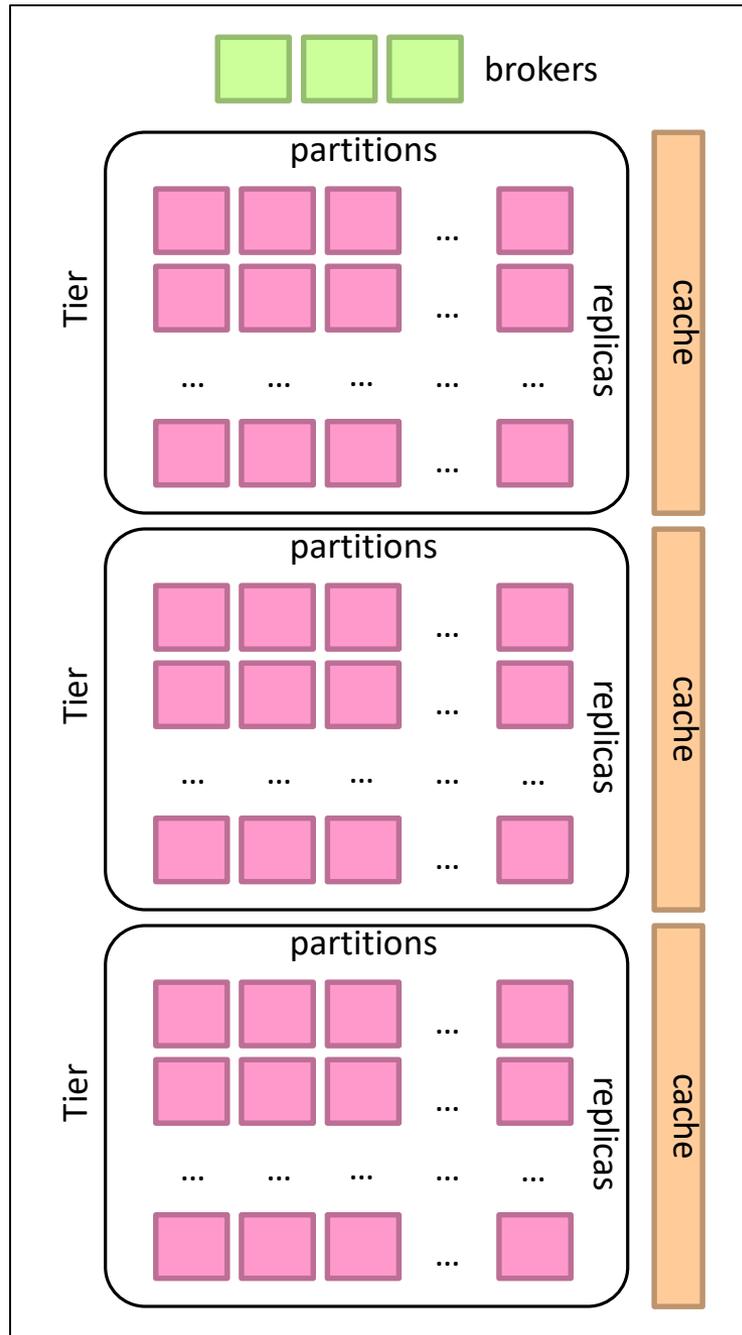


cache

### Datacenter



### Datacenter



### Datacenter

