

CS 466/666
Matula's 2nd Largest Algorithm

We have mentioned that even the worst case lower bound of $1\frac{3}{4}n - O(1)$ comparisons for finding the median is higher than the expected case algorithm that uses $1\frac{1}{2}n - o(n)$. A similar situation exists for the problem of finding the second largest of n numbers, though the difference is in the second order term. We noted that the technique of repeated pairing to find the maximum, followed by finding the largest of the $\lg n - 1$ elements that lost directly to the maximum, leads to a worst case optimal $n + \lg n + O(1)$ method.

Sometime in the 1970's David Matula suggested a "better" method for the expected case. (It appeared as a tech report which he is sending me, but does not appear to have been published otherwise). The improvement is to reduce the $\lg n$ to a $\Theta(\lg \lg n)$ term, so the improvement is mostly of interest in showing how worst case can be higher than expected case.

First take a random sample of size $s = n/\lg n$, and find its maximum by repeated pairings. Set c_{\max} = sample maximum; and $ctwo$ = the element that lost the last comparison to it. For each of the rest of elements, compare the element with $ctwo$ if it is larger with c_{\max} . Adjust c_{\max} and $ctwo$ so they are the two largest values seen in this scan and the start values.

The algorithm works properly if a value larger than the sample maximum is found among the remainder of the elements. So with probability $1 - s/n = 1 - 1/\lg n$, the method uses a number of comparisons equal to $n-1$ plus the number of times a new value in the top two occurs after the first s . The probability that the i^{th} value is in the top 2 of the first i values is $2/i$, so this gives an expected $2/i$ comparisons of element i with c_{\max} . This runs from position $s+1$ to position n , so approximating this sum with an integral we have a total of $2 \ln(n/s) + O(1) = 2 \ln \lg n + O(1)$ "second comparisons".

The "other case" is that the sample max is the set maximum (probability s/n). In this situation, the second largest is the maximum of the final $ctwo$ and those that lost to the sample max in the pairing (excluding the last comparison within the sample). That means another $\lg n - 2$ comparisons.

Our expected total, then, is $n + 2 \ln \lg n + (\lg n - 2)(n/(n \lg n)) = n + 2 \ln \lg n + O(1)$.