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## Position Statement on the Use of RFID on Consumer Products

November 14, 2003

available at <http://www.spychips.org/> and [www.privacyrights.org](http://www.privacyrights.org)

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## I. Introduction

Radio Frequency Identification (RFID) is an item-tagging technology with profound potential. RFID has the potential to jeopardize consumer privacy, reduce or eliminate purchase options, and eliminate consumer liberties.

As organizations and individuals committed to the protection of privacy and civil liberties, we support this statement on the deployment of RFID in the consumer environment. In the following sections, we discuss the technology and its uses, define the risks, and discuss potential public policy approaches.

RFID tags are tiny computer chips connected to miniature antennae that can be used in a wide variety of commonly touted applications of RFID, the microchip contains an Electronic Product Code (EPC) that provides unique identifiers for all items produced worldwide. When an RFID reader is nearby, the tags respond by transmitting their stored data to the reader. With passive (battery-less) tags, the range is less than an inch to 20-30 feet, while active (self-powered) tags can have a much longer range. RFID is a distributed computing system involved in, perhaps, supply chain management.

## II. Threats to Privacy and Civil Liberties

While there are beneficial uses of RFID, some attributes of the technology could be harmful to privacy and civil liberties:

- Hidden placement of tags. RFID tags can be embedded into/onto objects and the individual who obtains those items. As radio waves travel easily and silently through most materials, it is possible to read RFID tags sewn into clothing or affixed to objects like suitcases, and more.
- Unique identifiers for all objects worldwide. The Electronic Product Code (EPC) has its own unique ID. The use of unique ID numbers could lead to the creation of a system in which every physical object is identified and linked to its purchaser or owner at the point of sale.
- Massive data aggregation. RFID deployment requires the creation of massive data sets. These records could be linked with personal identifying data, especially as consumer data is collected.

expand.

- Hidden readers. Tags can be read from a distance, not restricted to line of sight invisibly into nearly any environment where human beings or items congregate experimentally embedded into floor tiles, woven into carpeting and floor mats, incorporated into retail shelving and counters, making it virtually impossible for was being "scanned."
- Individual tracking and profiling. If personal identity were linked with unique RF profiled and tracked without their knowledge or consent. For example, a tag er facto identifier for the person wearing it. Even if item-level information remains carry could associate them with, for example, particular events like political ralli

### III. Framework of RFID Rights and Responsibilities

This framework respects businesses' interest in tracking products in the supply cha not be tracked within stores and after products are purchased. To mitigate the pot individuals and to society, we recommend a three-part framework. First, RFID must assessment, and RFID tags should not be affixed to individual consumer products Second, RFID implementation must be guided by Principles of Fair Information Pra be flatly prohibited.

Technology assessment. RFID must be subject to a formal technology assessmen perhaps similar to the model established by the now defunct Congressional Office must be multi-disciplinary, involving all stakeholders, including consumers.

Principles of Fair Information Practice. RFID technology and its implementation mu information practices (FIPs). The eight-part Privacy Guidelines of the Organisation Development (OECD) provides a useful model (<http://www.oecd.org/>). We agree th based in part on these principles, must be adhered to while the larger assessment place:

- Openness, or transparency. RFID users must make public their policies and pr maintenance of RFID systems, and there should be no secret databases. Indi products or items in the retail environment contain RFID tags or readers. They specifications of those devices. Labeling must be clearly displayed and easily in the retail environment must be transparent to all parties. There should be nc
- Purpose specification. RFID users must give notice of the purposes for which t
- Collection limitation. The collection of information should be limited to that whic
- Accountability. RFID users are responsible for implementation of this technolog should be legally responsible for complying with the principles. An accountabili There must be entities in both industry and government to whom individuals c: been violated.
- Security Safeguards. There must be security and integrity in transmission, dat: should be verified by outside, third-party, publicly disclosed assessment.

### IV. RFID Practices that Should be Flatly Prohibited:

- Merchants must be prohibited from forcing or coercing customers into acceptin: products they buy.
- There should be no prohibition on individuals to detect RFID tags and readers possession.
- RFID must not be used to track individuals absent informed and written conse: inappropriate, either directly or indirectly, through clothing, consumer goods, or
- RFID should never be employed in a fashion to eliminate or reduce anonymity.

incorporated into currency.

## V. Acceptable Uses of RFID

We have identified several examples of "acceptable" uses of RFID in which consumer RFID tags and their attendant risks.

- Tracking of pharmaceuticals from the point of manufacture to the point of distribution. These critical goods are not counterfeit, that they are handled properly, and that the RFID tags contained on or in the pharmaceutical containers should be physically intact before being sold to consumers.
- Tracking of manufactured goods from the point of manufacture to the location of sale. RFID tags could help insure that products are not lost or stolen as they move through the supply chain. Tags should assure the goods are handled appropriately. Tags should be confined to the container (or embedded in the packaging) and be permanently destroyed before consumers receive the goods.
- Detection of items containing toxic substances when they are delivered to the landfill. A short-range RFID tag could communicate with a computer is brought to the landfill, a short-range RFID tag could communicate with a computer to underscore that uses such as the landfill example do not require unique identifiers. The RFID tag would, rather, emit a generic recycling or waste code.

## VI. Conclusions

We are requesting manufacturers and retailers to agree to a voluntary moratorium on the use of RFID on consumer items until a formal technology assessment process involving all stakeholders has taken place. Further, the development of this technology must be guided by a strong set of principles ensuring that meaningful consumer control is built into the implementation of RFID. Practices that are inappropriate in a free society, and should be flatly prohibited. Society should not be exerting oversight.

Although not examined in this position paper, we must also grapple with the civil liberties implications of the adoption of RFID. The Department of Defense has issued an RFID mandate to its contractors. Where they have begun implementing RFID, the EU and the Japanese government have considered it. In the United States, British law enforcement has expressed an interest in using RFID as an investigatory tool. We must adopt a strong policy framework based on Principles of Fair Information Practice to guide the implementation of RFID.

## VII. RFID Position Paper Attachment 1

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Limitations of RFID Technology : Myths Debunked

The following technological limitations have been proposed as reasons why consumer RFID deployment at this time. We address each perceived limitation in turn, and explain why these limitations cannot be relied upon as adequate consumer protection from the risks of RFID.

1. Read-range distances are not sufficient to allow for consumer surveillance.

RFID tags have varying read ranges depending on their antenna size, transmission power, and whether they are passive or active. Some passive RFID tags have read ranges of less than one inch, while others have read ranges of 20 feet or more. Active RFID tags theoretically have very long ranges. Most consumer products are passive with read ranges of under 5 feet.

Contrary to some assertions, tags with shorter read ranges are not necessarily less useful than tags with longer read ranges. In fact, in some cases a shorter read range can be more useful. For example, a one-foot read range would be preferable to a two-foot read range. Such a short range would help minimize the risk of unauthorized access in close proximity, and help assure the capture of only the pertinent tag positioned directly or very close to the reader.

2. Reader devices not prevalent enough to enable seamless human tracking.

The developers of RFID technology envision a world where RFID readers form a "network" that can be used to track objects or the people associated with them. For this to be a ubiquitous reader network to track objects or the people associated with them, RFID readers must be widely available and used.

and down Interstate 95 can be tracked without placing RFID readers every few feet at entrance and exit ramps. Similarly, to track an individual's whereabouts in a given town, a reader device every ten feet in that town, as long as readers are present at strategic

### 3. Limited information contained on tags.

Some RFID proponents defend the technology by pointing out that the tags associated with a product contain only a serial number. However, the number can actually be used as a reference to information contained on one or more Internet-connected databases. This means the information number is theoretically unlimited, and can be augmented as new information is collected.

For example, when a consumer purchases a product with an EPC-compliant RFID tag, the tag purchased it could be added to the database automatically. Additional information about the consumer goes about her business: "Entered the Atlanta courthouse at 12:32 PM, Such data could be accessed by anyone with access to such a database, whether the

### 4. Passive tags cannot be tracked by satellite. The passive RFID tags envisioned do not have their own power, meaning they must be activated and queried by nearby reader devices. Tags do not have the ability to communicate via satellites.

However, the information contained on passive RFID tags could be picked up by a satellite that transmits their presence and location to satellites. Such technology has already been used for products being shipped on moving vehicles through the North American supply chain.

In addition, active RFID tags with their own power source can be enabled with direct communication. At present time such tags are far too expensive to be used on most consumer products, but as technology advances and prices fall.

### 5. High cost of tags make them prohibitive for wide-scale deployment.

RFID developers point to the "high cost" of RFID tags as a way to assuage consumer concerns. However, as technology improves and prices fall, we predict that more and more consumer products like those tags will become smaller and more sophisticated. We predict that the trend will continue with products like computers and calculators.

## VIII. RFID Position Paper Attachment 2

November 14, 2003

### Critique of Proposed Industry Solutions

The RFID industry has suggested a variety of solutions to address the dangers posed by tracking consumer products. Among them are killing the tags at point of sale, the use of "blocker tags" to prevent tracking, and each strategy in turn.

#### Killing Tags at Point of Sale

Some have proposed that the RFID tag problem could be solved by killing the tags at the point of sale, rendering them inoperable. There are several reasons why we do not believe this approach alone adequately protect consumer privacy:

Killing tags after purchase does not address in-store tracking of consumers.

To date, nearly all consumer privacy invasion associated with RFID tagging of consumer products in a retail environment, long before consumers reached the checkout counter where they

- Close-up photographs were taken of consumers as they picked up RFID-tagged products from store shelves equipped with Auto-ID Center "smart shelf" technology.[\[1\]](#)
- A video camera trained on a Wal-Mart cosmetics shelf in Oklahoma enabled the store to observe unknowing customers as they interacted with RFID-tagged lipsticks.[\[2\]](#)
- Plans are underway to tag books and magazines with RFID devices to allow the store to track browsing reading materials.[\[3\]](#)

This potential was demonstrated recently at the Tokyo International Book Fair 2003.

News, "By placing tag readers on the shelves of bookstores, the new system allow the range of books a shopper has browsed, how many times a particular title was spent flipping through each book."

We recognize the need for stores to control shoplifting and make general assessr monitoring and recording the detailed behaviors of consumers without their consen Principles of Fair Information Practice.

Tags can appear to be "killed" when they are really "asleep" and can be reactivate

Some RFID tags have a "dormant" or "sleep" state that could be set, making it app tag had been killed. It would be possible for retailers and others to claim to have ki rendered it dormant. It would be possible to later reactivate and read such a "dorr

The tag killing option could be easily halted by government directive.

It would take very little for a security threat or a change in governmental policies to are allowed to become ubiquitous in consumer products, removing the kill option c surveillance society.

Retailers might offer incentives or disincentives to consumers to encourage them t

Consumers wishing to kill tags could be required to perform additional steps or unc waiting in line for a "killer kiosk"<sup>[4]</sup> and then being required to kill the tags themselv tags might not enjoy the same discounts or benefits as other consumers, or might In many areas of privacy law, this retailer incentive is recognized, and there are leg consumer to waive their privacy rights.<sup>[5]</sup>

The creation of two classes of consumers.

If killing tags requires conscious effort on the part of consumers, many will fail to d time. Many will choose not to kill the tags if doing so is inconvenient. (The current " time, a lengthy and time consuming process.) This would create two classes of cor the RFID tags in their products and those who don't. Being a member of either cla

#### Blocker Tags

RFID blocker tags are electronic devices that should theoretically disrupt the transn contained on RFID tags. The proposed blocker tag might be embedded in a shoppe or worn near tags with information consumers want blocked.<sup>[6]</sup>

Blocker tags are still theoretical.

According to our understanding, the blocker tag does not yet exist. Until a blocker know how effective it will be and whether it can be technically defeated.

Encourages the widespread deployment of RFID tags.

The blocker tag might encourage the proliferation of RFID devices by giving consui proposed invention is an ingenious idea, it's one that could be banned or be unde complacent. It's also possible that such an electronic device could be technically d stops functioning naturally.

The blocker tag could be banned by government directive or store policy.

Consumers could lose the right to use blocker tag devices if the government deem or carrying is necessary for national security. They might disallow the devices altog blocker tags would be disallowed. It is not inconceivable to imagine a ban on such example.

Retail stores might ban blocker tags if they believe the tags might be used to circu believe knowing details about consumers is valuable in their marketing efforts.

Once RFID tags and readers are ubiquitous in the environment, a full or partial bar would leave consumers exposed and vulnerable to privacy invasion.

Adds a burden to consumers.

A blocker tag shifts the burden of protecting privacy away from the manufacturers' shoulders of consumers. In addition, busy consumers might forget to carry blocker especially if additional steps are required to make them effective.

Fails to protect consumers once products are separated from the blocker tag.

Blocker tags theoretically work only when they are close to the items they are designed for. Once items are out of the range of the blocking device, consumers would be vulnerable to information invasion. For example, a consumer might buy a sweater and feel that the information is unexposed because she is carrying it home in a bag impregnated with a blocker. If she takes the sweater from the bag and wears it in range of a reader device, information from the sweater is exposed.

The creation of two classes of consumers.

Like the kill tag feature, blocker tags will also likely create two classes of consumers: those who do and those who do not.

#### Closed System

Industry proponents argue that when RFID applications are confined to closed systems, those within the system and those with a government mandate (perhaps via legislation such as the Access to Law Enforcement Act (CALEA)). Therefore they argue, society-wide protection is not needed. A good example of a current closed application is RFID in libraries. The Grapes of Wrath is in the same book in Library Y.

Whereas today RFID applications are confined to closed systems, there will be widespread tagging. Publishers, for example, may someday ship books to libraries and bookstores. The Grapes of Wrath will contain a portion of its EPC code that is the same as every other copy. Publishers will customize the remainder of the code to suit its own inventory control purposes.

Even if closed systems remain closed, their lack of transparency makes them troublesome. Details about closed systems might not be readily available, consumers could have difficulty determining what is necessary to assess privacy risks and protect themselves.

#### Conclusion

We appreciate that industry proponents are making an effort to address consumer concerns associated with RFID technology. However, while we believe the proposed solutions provide inadequate protection. Until appropriate solutions are developed and agreed upon, we subject consumers to the dangers of RFID technology through item-level consumer tracking.

#### IX. Signers

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The Spychips website is a project of CASPIAN, Consumers Against Supermarket Privacy Invasion and Numbering.

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