CS445 / SE463 / ECE 451 / CS645 Software requirements specification & analysis

UML state machine diagrams

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UML state machine diagrams

- Shows finely-grained component/program behaviour
- Useful for describing the inner behaviour of a class that conforms well to the state-transition paradigm:
 - Finitely many discernable inner states
 - Waiting for input, mid-transaction, idle
 - Responses highly dependent on internal state
 - Only after all critical data fields filled in will system allow transition to "confirm payment" state
 - Events only relevant in certain situations
 - e.g., Only first "walk" button press is significant

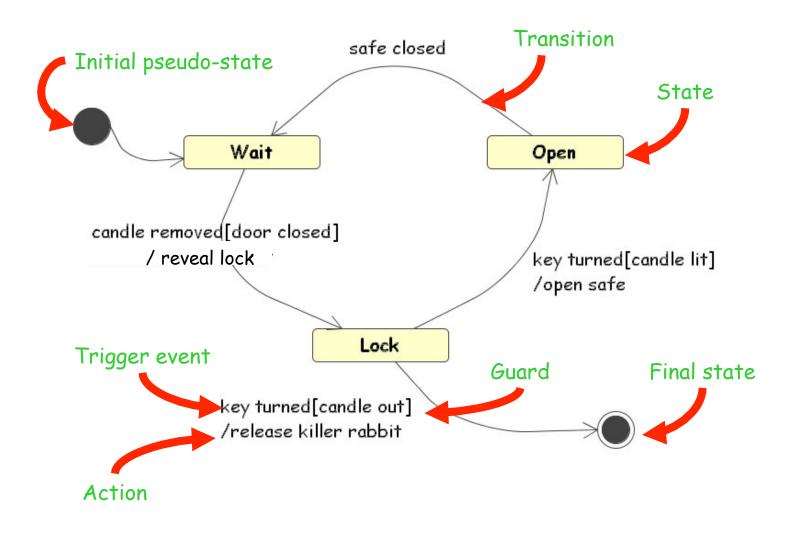
UML state machine diagrams

- SM diagrams commonly used in design to describe object's behaviour as a guide to implementation
- Used in RE to model interface specs (e.g. UI)
- Other RE use of SM diagrams:
 - Specify each object's contribution to all scenarios of all use cases
 - May be too detailed a model for RE

The state-transition paradigm

- Many programs you have written in previous programming courses do not conform well to this paradigm
 - They may have infinitely many possible abstract inner states (defined implicitly by their instance variables)

An example [Fowler p108]

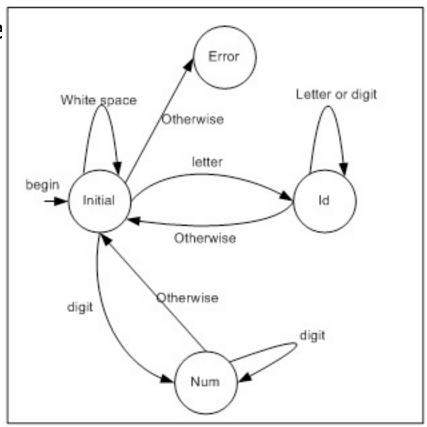


A UML state machine

- ... is a hierarchical, concurrent, extended finite state machine:
 - Shows the lifecycle of an *instance* of an object
 - The object starts in a given state, and transitions to other states based on external "messages" (events detected) received and the values of its internal variables
 - Describes the behaviour of an object across multiple (perhaps all!) use cases.
 - Hierarchical each state may be broken down into substate machines
 - Supports concurrent regions
 - Extended allows variables that augment the state descriptions

Example FSM

- You might have seen an FSM like this to represent a grammar in CS241
 - This FSM processes a character stream to produce a token stream with white space removed
- "Finite State Automaton" (FSA) is another term for FSM.



http://www.codeproject.com/KB/recipes/Parser_Expression.aspx

FSM — Review

- A = $(Q, \Sigma, \Delta, q_0, F)$ where
 - $-Q = \{q_0, q_1, ..., q_n\}$ is a finite set of states.
 - $-\Sigma = \{a_1, ..., a_m\}$ is a finite set of letters.
 - Δ is a transition function mapping pairs in Q x Σ into Q, i.e., Δ: Q x Σ -> Q,
 - $-q_0$ is the start state for A, and
 - $-F \subseteq Q$, is the set of accepting (i.e., final) states.

FSM Review

 A is deterministic iff for each state, for each input letter, there is at most one resulting next state.

Example

- $A = (\{q_0, q_1, q_2\}, \{a, b, c\}, \Delta, q_0, \{q_1\}).$
- Δ is given by

```
\{(q_0, a, q_1), (q_0, b, q_0), (q_0, c, q_0), (q_1, a, q_2), (q_1, b, q_1), (q_1, c, q_1), (q_2, a, q_2), (q_2, b, q_2), (q_2, c, q_2)\}
```

Language of an Automaton

• Given A = $(Q, \Sigma, \Delta, q_0, F)$, let w = $w_1 w_2 ... w_n$ be a sequence of letters (a string) in Σ .

- A accepts w if a sequence of states $r_0r_1...r_n$ in Q exists with the following conditions:
 - 1. $r_0 = q_0$,
 - 2. for all i in [0..n-1]: (r_i, w_{i+1}, r_{i+1}) is in Δ , and
 - 3. r_n is in F.

Example

- $A = (\{q_0, q_1, q_2\}, \{a, b, c\}, \Delta, q_0, \{q_1\}).$
- Δ is given by

```
\{(q_0, a, q_1), (q_0, b, q_0), (q_0, c, q_0), (q_1, a, q_2), (q_1, b, q_1), (q_1, c, q_1), (q_2, a, q_2), (q_2, b, q_2), (q_2, c, q_2)\}
```

• The language of A, L(A) is the set of all strings in {a, b, c}* that contain exactly one 'a'.

Review

- A set of strings from some alphabet is called 'regular' if it is the language of some FSM.
- FSMs may be non-deterministic, that is the transition function returns a set of possible next states the automaton may enter on reading a letter.
- Every language recognized by a nondeterministic FSM is regular.

Review

 There exist non-deterministic FSMs that are exponentially smaller than the smallest deterministic FSM accepting the same language.

Regular Operations

- Let A and B be regular languages. Then:
 - (union) A ∪ B is regular.
 - (concatenation) AB is regular
 where AB = {wz | w is in A and z is in B}
 - (star) A* is regular Where A* = $\{w_1w_2....w_k \mid k \ge 0 \text{ and each } w_i \text{ is in A}\}.$

Regular Expressions -- Review

- R is a regular expression if R is one of:
 - a, for some a in Σ
 - $-\epsilon$ (the empty string)
 - $-\emptyset$ (the empty set)
 - $-R_1 \cup R_2$ (union)
 - R₁ R₂ (sequential composition)
 - $-(R_1)^*$

where R₁ and R₂ are regular expressions

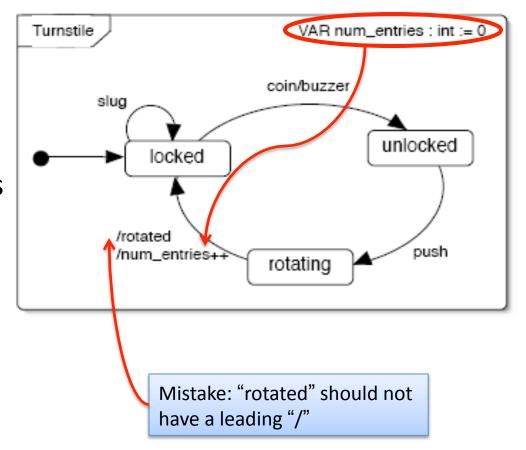
 A language is regular if and only if there is some regular expression that describes it.

FSM — Review

- FSM/regular expression constructs appear in hardware/software/embedded system description languages.
- Common notations that are widely agreed upon — therefore useful for describing some aspects of requirements.

Extended FSMs

- An extended finite state machine (EFSM) is one that includes variables.
- Transitions can depend on the value of conditions (expressions on variables).
- Outputs can be sent messages or assignments of values to variables.



ESFMs and variables

- Variables are used to reduce the number of states in the model.
 - In the example, without variables, we'd need a distinct state to represent different values of the number of entries recorded.
- The resulting model may no longer be finite, strictly speaking
 - So sometimes we just say "extended state machine"
- UML state machines are EFSMs
 - i.e., you can use variables within states

Requirements vs. design model

- During requirements engineering, we may build a System-level State Machine Diagram (SSMD)
 - The SUD is the outermost "machine"
 - We decompose it into substates (hierarchical and concurrent) that respond to environmental events
 - We progressively merge the semantics of the system-level UCs into our model, showing how the SUD responds various inputs
- Note that the decomposition of the SSMD starts to resemble a preliminary design of the system
 - In particular, the SSMD may resemble the user interface structure if the SUD is an info system
 - This is normal; note, however, that the eventual design may differ a lot

Requirements vs. design model

- In a design model, we may create state diagrams for the proposed design classes
 - Show how an object's behaviour changes over time receiving and sending messages (operation calls) to other objects
 - Describe how one object contributes to desired system behaviour
 - Define state diagrams only for classes with complex, hardto-describe behaviour.
- However, we won't address this in SE1.

Requirements vs. design model: Validation

If you build such a *design* model, you can subject it to a very useful kinds of verification or validation:

Walk thru each scenario, and make sure that the system's response for each user input is specified and agrees with what happens in the scenario

States

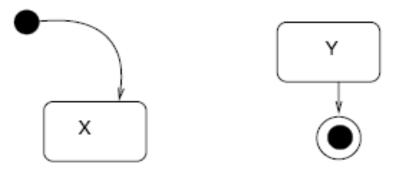
- A state normally represents a moment in time when the system does not change and is waiting for another input before the system changes – valuation to all program vars (including program counter).
 - In response to events and conditions, the system follows transitions to change states.
- States partition the behaviour of the system:
 - In different states, the system reacts differently (or not at all) to events.
 e.g., not being able to check out a borrowed book
 - The state an object is in affects what input the object will react to e.g., ignoring most input in the state OFF
- A state (incl. the values of its variables) represents a partial history of inputs/outputs so far.

System-level state machine diagrams (SSMDs)

- For RE, the names of states should be meaningful: a state represent a "mode" of the system.
 - These names should make sense to the customer.
- The partitioning of behaviour provided by the states helps us to better understand the system.
- A state will sometimes represent an internal computation (e.g., a state of "Validating Customer") whose result is an event that triggers an outgoing transition.

States and pseudo-states

- Must be a designated starting/initial state.
- The designator of an initial state is a pseudo-state.
 - A pseudo-state is NOT a real state (no time is spent there)
 - Later, we will see the History psudo-state
- Often there is a designated final state. This is a real state.



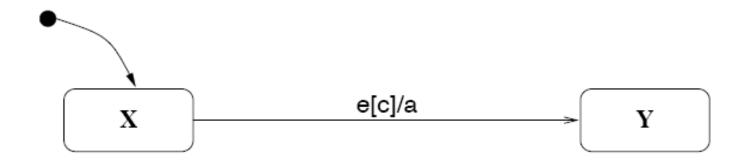
X is the initial State

Bullseye is a final state

Events and transitions

- An event is "a significant or noteworthy occurrence" [Larman]
 - An event may make an object transition to a different state
 - An event may cause the object / system to perform an action
 - An event is considered to occur instantaneously it doesn't persist.
 - Multiple events on a transition label are alternative triggers. That is, any of the listed events can trigger the transition.
- In a requirements model, an event is often a message from the environment that something of interest has occurred e.g., "off-hook", "coin", user enters info through UI, timer goes off, API call from external software system
- In a design model, an event can be a message/method call from another object within the system

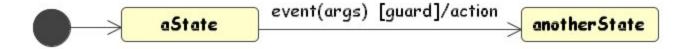
Transitions



Semantics:

- When in state X, if event e occurs and condition c is true, carry out and complete action a and move to state Y.
- If in a state and there is not an outgoing transition triggered by a received event, the event is ignored.

Transitions



- Each of these parts of the transition is optional.
 - event(args) event / message that triggers the transition
 - [condition] (boolean) guard condition; the transition cannot fire unless the guard condition is true (can use args in guard expression)
 - /action a simple, fast, non-interruptible action (can use args in action body),

e.g., variable assignment,
send a message to an object: Object.event(args)

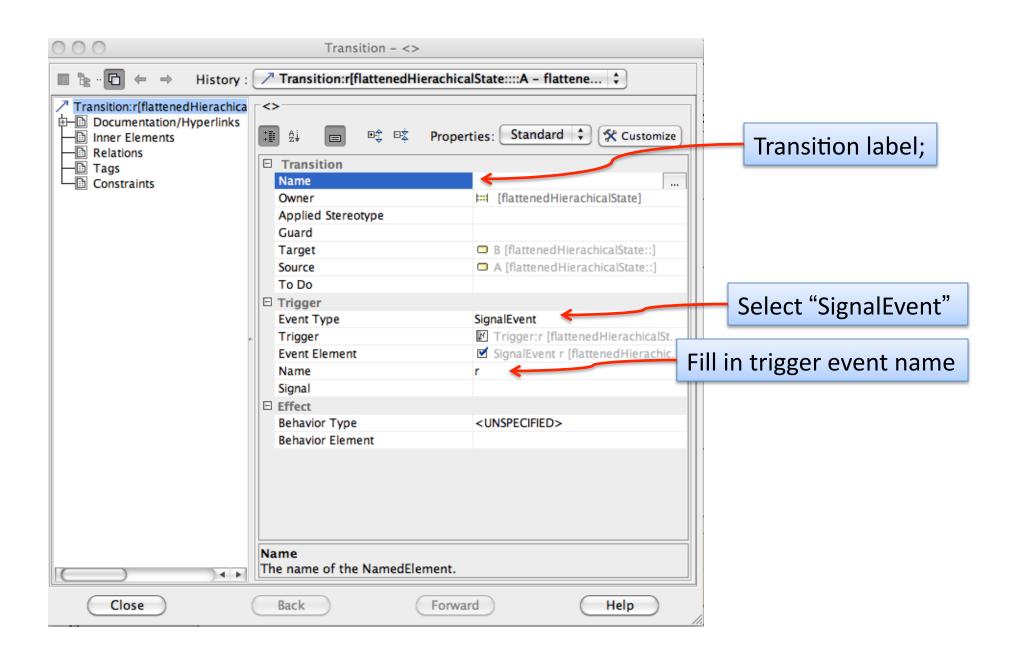
Tool nit: Transition labels

• A transition might also have a label (or name), tho we won't use it much; the full format for a transition is thus actually:

label: event [guard] / action

e.g., L1: push [OKtoEnter] / startEntry

- In MagicDraw, if you name your transition by typing text onto the arrow, you are changing the transition's label, not naming the trigger event!
 - By default, labels aren't shown in MD (but you probably don't want to use transition labels anyway)
 - To edit the trigger event, bring up the transition specification, set SignalEvent
 as the trigger event type, and name the transition



Conditions

- A condition is a Boolean expression whose value depends on the value of variables.
- The value of a condition persists until the variables involved in the condition change their values, e.g.,
 - x > 10
 - DoorIsClosed
- Conditions on transitions leaving the same state should be mutually exclusive
 - ... so that no two transitions can be simultaneously enabled

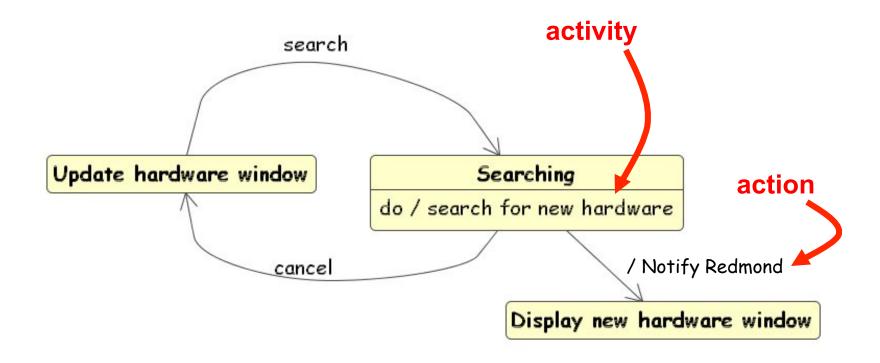
State actions and activities

- A state can have actions and activities associated with it.
 - State actions and activities can manipulate object attributes or other variables.
- Action: instantaneous, non-interruptible, simple. It can be:
 - associated with a transition, or
 - performed on state entry or exit.
- Activity: takes time, interruptible, may require computation. It can be:
 - associated with a state, and
 - can be interrupted by a transition.
- In UML 2.0, the terminology is different. As defined above:
 - Actions are known as "regular activities"
 - Activities are known as "do-activities"

Actions

- Actions are what the system does in response to events
 - ... in addition to changing state
- Most common actions:
 - Send a message/event to the environment
 e.g., setTone(...)
 - Change the value of a variablee.g., x := 5
- An action is non-interruptible (i.e., atomic)
 - It completes before the destination state of the transition is entered.
- Multiple actions on a transition are separated by ";" and executed sequentially.

An example

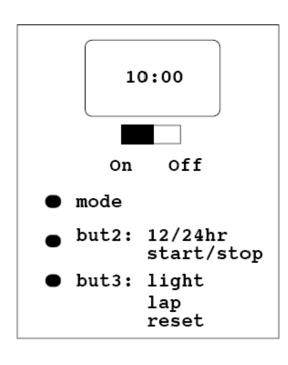


[Fowler p110]

States (again)

- States make the requirements easier to understand by partitioning the behaviour of the system into modes:
 - The reaction of the system to the same event may be different in different states.
 - In some states, there may be no response to certain events.
- Modes you may already know and love:
 - Setting up a clock radio or DVR
 - Moded editors like vi/vim (versus modeless like emacs and most IDE editors)
 - Navigating through UIs (what happens if you hit return?)

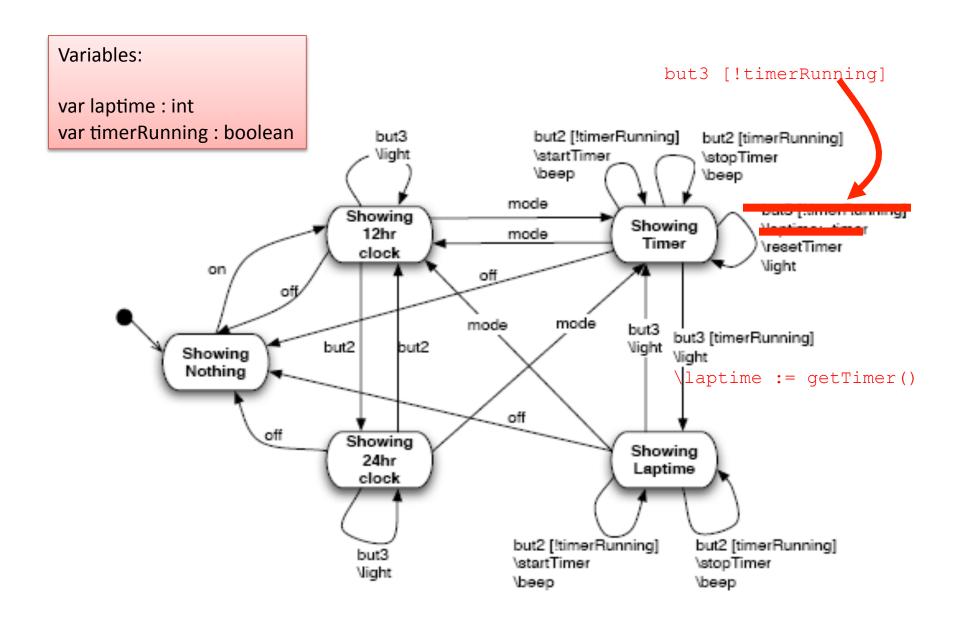
Recall stopwatch example



Action	Meaning
on	Turn watch on
off	Turn watch off
mode	Toggle between time and stopwatch
but2 [time]	Toggle between 12h and 24h display
but2 [stopwatch]	Start / stop timer; beep for 0.25 sec
but3 [time]	Turn light on for 3 sec
but3 [stopwatch, timer running, display timer]	Record laptime; display laptime; turn light on for 3 sec
but3 [stopwatch, timer stopped, display timer]	Reset timer; turn light on for 3 sec
but3 [stopwatch, display laptime]	Display timer; turn light on for 3 sec

Recall stopwatch example

- Stopwatch starts in off state
- When "off",
 - Display is turned off
 - Battery continues to power an internal "wall clock"
 - Last value of timer is kept in memory, but timer is turned off (if it was running)
- When powered on,
 - Display is turned on
 - The timer is off (but not reset)
 - Default initial display is 12 hour wall clock time
- Hardware has built-in timer mechanism
 - Can start/stop/reset/get value
- Starting/stopping the timer should cause an audible beep for 0.25s
 - Hw supports "beep", but is not tied to start/stop by default



Validation

- Given the list of possible events, for each state X, consider whether each event e is possible. It could be the case:
 - 1. There is a transition on e from state X
 - 2. Event e cannot physically occur in state X
 - no transition on e is needed from X
 e.g., doorOpened cannot occur when the door is already open
 - 3. Event e is possible but the system should ignore it
 - no transition on e is needed from X
 Self loop on X...i.e., the system does not change if event e occurs in state X e.g., multiple "door close" button presses; only first one is significant
 - 4. Event e is possible in state X, but the system should report as error
 - a transition is needed to report error

Common problems

Over-specification:

- Specifying a response to an event that can't occur in the state
 - ... in an attempt to ensure that the specification is complete
- Trying to maintain enough state information (e.g., by variables) to always know the system's exact response to an input.
 - Keeping track of the number of active phone calls, so that the state machine model can detect when a set limit has been reached

• Under-specification:

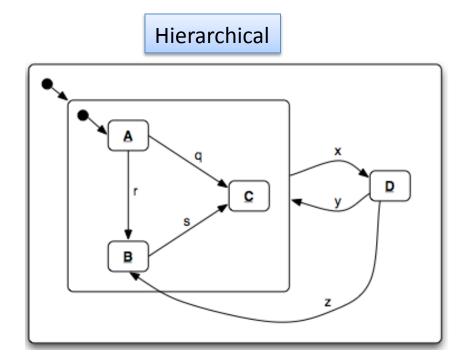
 Not specifying a response to an event that is relevant at a state, thereby leaving out requirements of the system.

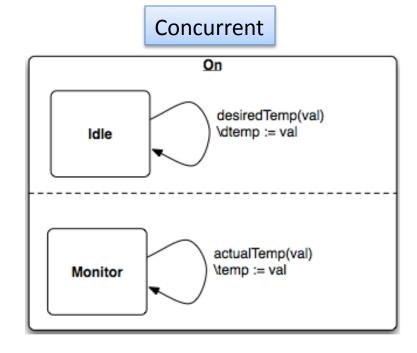
State machine vs. sequence diagrams

State machine diagrams	Sequence diagrams
specifies behaviour	Illustrates behaviour
all allowable scenarios	one allowable scenario, showing end-to- end behaviour (better feel for overall system behaviour)
models system inputs and outputs	shows the sources and sinks of system inputs and outputs
developer oriented	customer oriented
identifies system states, that represent equivalent input histories	
	can help developer validate state diagrams

Composite states

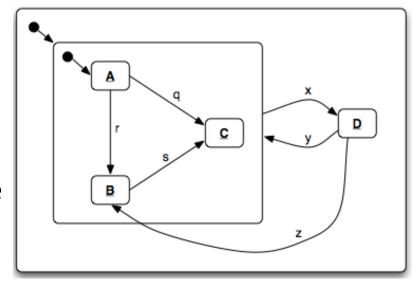
- A composite state combines states and transitions that work together towards a common goal. There are two kinds:
 - Hierarchical (aka "simple" / "OR-states")
 - 2. Concurrent (aka "orthogonal" / "AND-states")
- A state that does not contain other states is called a basic state



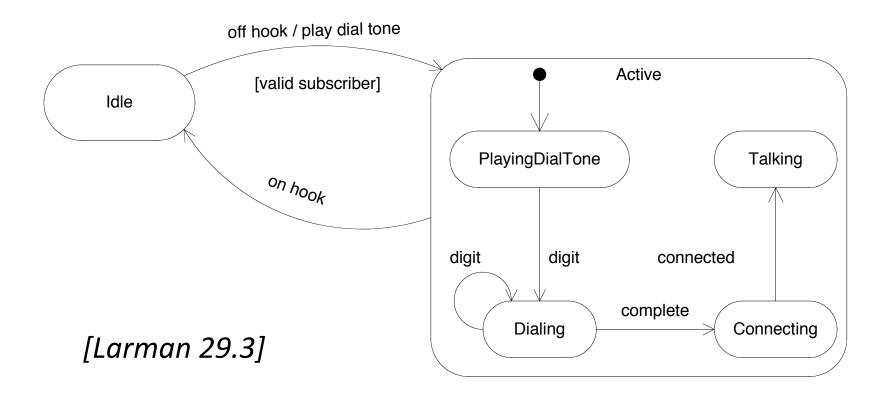


Hierarchical states

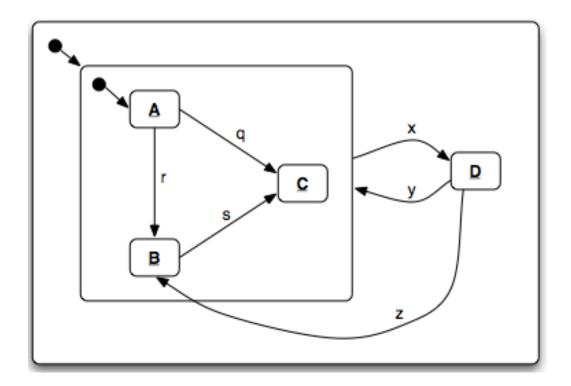
- If a transition leaves a composite state (aka "submachine"), the transition applies to all substates.
 - The substates "inherit" the transitions of the superstate.
- If a transition ends at a composite state, the transition is continued by the default initial state in the submachine.
 - Usually have a default initial state at every level in the hierarchy.



Another example



Hierarchical states



- Hierarchy can be used to abbreviate a "flat" state machine.
 - One transition leaving a superstate can represent many transitions in a flat state machine.

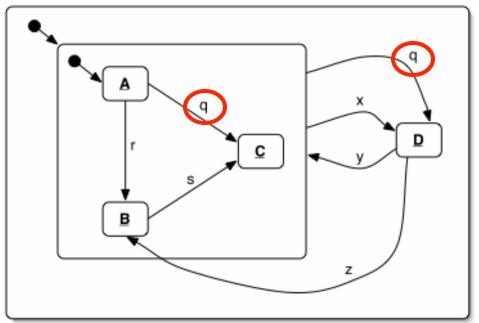
Priority

Q: What if the machine is in state A and event q occurs?

A: UML gives priority to transitions leaving a state lower in the hierarchy i.e., sub-machines can override

the behaviour of their ancestor states.

 Conceptually, can think of this like an inheritance child overriding its parent's default behaviour in OOP

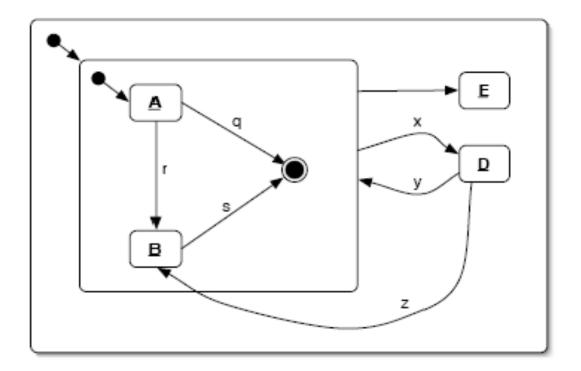


More to worry about

- What if two things (e.g., events) happen at the same time?
- What if one scenario happens while another part of the system is in a particular state?
- What if the callee picks up the headset just as a connection is being completed to that callee?
- What if automated maintenance tests are activated while the phone is being used?
- What if a caller picks up the headset while the phone is undergoing automated maintenance?

Final state

- A final state represents the end of computation within a composite state.
 - Recall that a final state is a real state.



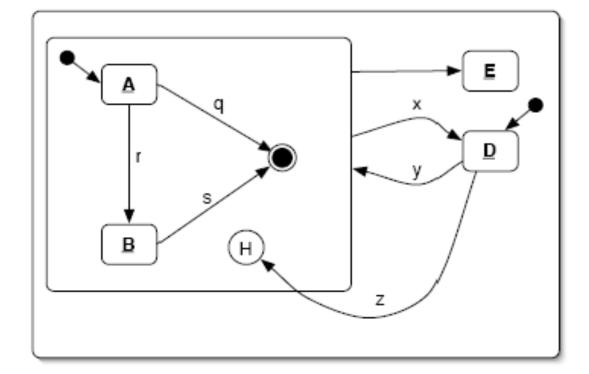
Final state

- A transition leaving a basic state that has no event or condition in its label is always enabled.
 - If a composite state has a final state, a transition leaving a composite state that has no event or condition in its label is enabled when the state is in its final state.
- Transitions based on events and/or conditions are enabled from any state within a composite state.

History

 History is a pseudo-state that designates the immediate sub-state at this level in the hierarchy that the system was in when the parent state was last

exited.



History

- A history pseudo-state can be the destination state of a transition or a default arrow.
- A transition leaving a history state indicates what state to enter if the system has never been in this superstate before.
 - If no transition is provided, then the default initial state is used.
- Usually transitions entering a history state and leaving a history state are not labelled.

Deep history: H*

• If a *deep history* pseudo-state is the destination of a transition or a default arrow, then at all levels in the hierarchy below this one the system should enter the substate that it was last in when that state was exited

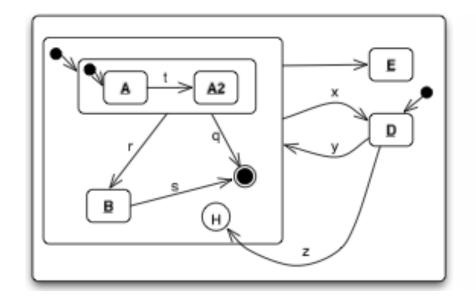
i.e., apply history at all levels in the hierarchy below this one

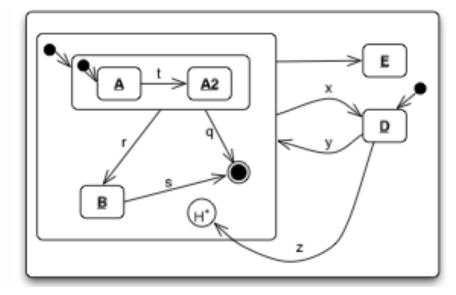
 In other words, deep history recursively applies the history construct until a basic state is reached.

Notes:

- History and deep history states are pseudo-states no time is spent in them; they are just the continuation of a transition.
- Don't use "H" as a state name yourself!

Deep history

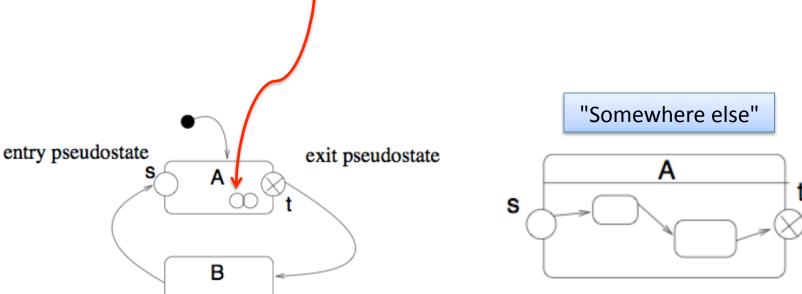




Composition issues

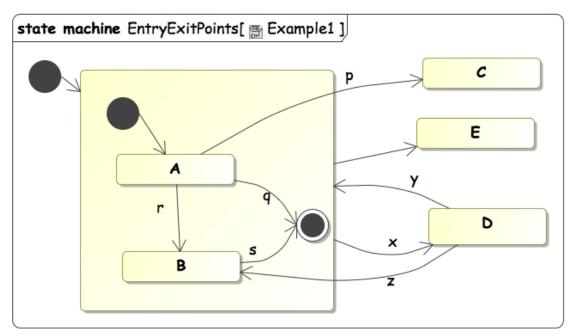
 The details of a composite state can be shown in a separate diagram.

Can use the composition icon in the state, which means "has real content but is defined somewhere else"



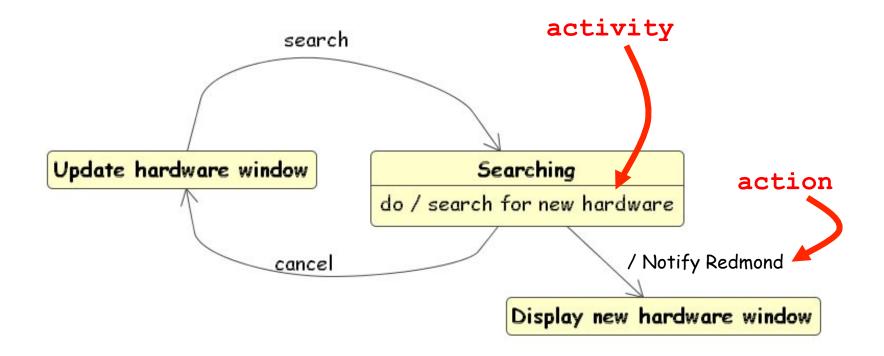
Composition issues

- A composite state whose internal details are defined elsewhere can't have transitions going to/from its internal states arbitrarily ...
 - So we use *entry* and *exit points* (which are pseudo states) to make nonstandard entry/exit into submachine easier to specify



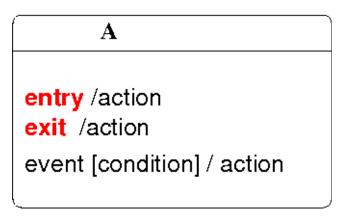
Review: Actions and activities

- Actions are considered to be instantaneous (non-interruptible)
- Activities occur inside states (usually)
 - Activities are computations that "take time" and can be interrupted
 - States with activities are called ... activity states



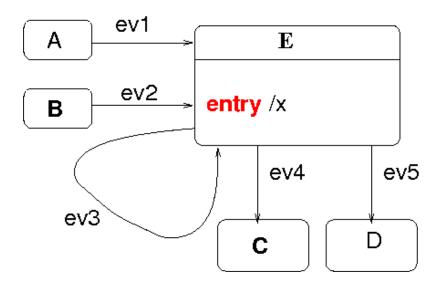
State actions

- States can also be annotated with entry or exit actions, and with internal actions.
 - Entry actions actions that occur every time the state is entered by an explicit transition.
 - Exit actions actions that occur every time the state is exited by an explicit transition.
 - Internal actions on events



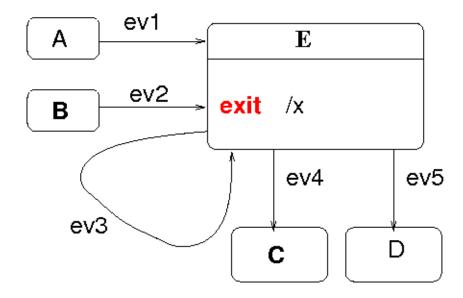
Entry actions

- entry /x is equivalent to adding action x onto all incoming transitions
 - incl. self-transitions and the initial pseudo-state



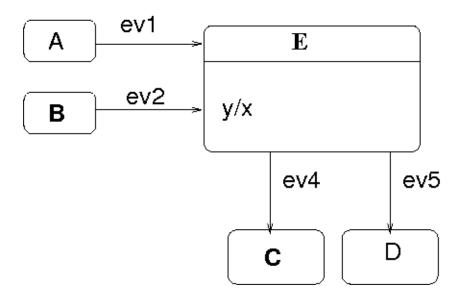
Exit actions

 exit/x is equivalent to adding action x onto all outgoing transitions (incl. self-transitions)



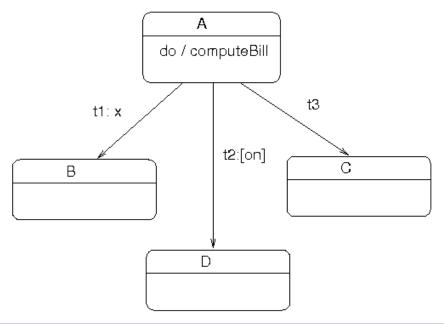
Internal actions

Internal action y/x is equivalent to a single self-transition, if we ignore entry / exit actions
 i.e., entry / exit actions are NOT performed as part of an internal action.



State activities

- Because activities take time, they can be interrupted by transitions with triggers and/or conditions
 - If there are no interruptions, then the outgoing transition from the activity state is likely to have no trigger or condition ("naked")



Note that t1, t2, and t3 are simply *transition labels*; they are *not* events, conditions, or actions.

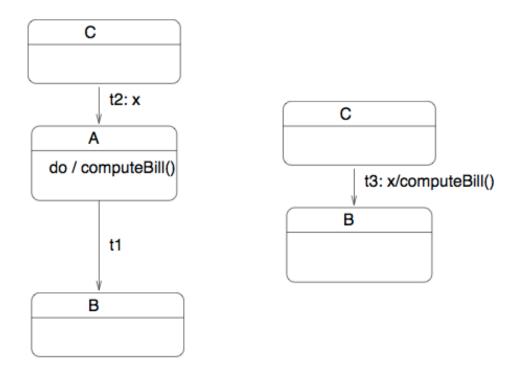
Synchrony hypothesis

- The synchrony hypothesis is the assumption that the system can respond to an input faster than another input can be provided.
 - It's usually a reasonable and valid assumption during requirements modelling.
 - It simplifies state machine models because actions can be used rather than activities.

[Due to Gerard Berry, creator of the specification notation Esterel]

Actions vs. activities

• If there are no other transitions leaving A, these two models are equivalent, and the second is shorter!



Note that t1, t2, and t3 are simply *transition labels*; they are *not* events, conditions, or actions.

Actions vs. activities

- In an actual implementation, every task will take time
 - Just because we consider actions to occur instantaneously in a state machine model does not mean that their implementation will be instantaneous.
- In thinking about whether to make something an action or an activity, consider whether it is *interruptible* by another input, rather than whether it takes time.
 - e.g., "Creating a user account" can probably be cancelled in the middle, therefore it is a good candidate to make into an activity rather than an action.

Actions vs. activities

- UML 2.0 has dropped actions
 - This is too bad because the synchrony hypothesis is a very useful, valid, and reasonable simplifying assumption for requirements modelling.
 - Therefore, we will use actions in our state machine models anyway.

State actions and activities

 States can be annotated with entry or exit actions, internal actions, and activities:

```
entry / action [red means "keyword"]
event / action
exit / action
do / activity
```

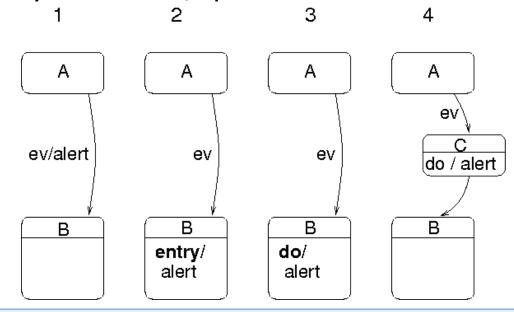
- A "naked" transition exiting a state (i.e., having no event or condition associated with it) fires as soon as any activity associated with the state is complete.
 - If there's no internal activity, it fires immediately
 - Naked transitions are commonly used to exit from activity states and concurrent states

State actions and activities

- In an explicit transition (including self-looping transitions!),
 the order of effects is:
 - 1. exit actions of source state, then
 - 2. transition actions (in listed order), then
 - 3. entry actions of destination state, then
 - 4. state activities.
- If you want a self-looping transition that does not activate exit and entry events, use an internal action instead of a transition

Modelling alternatives

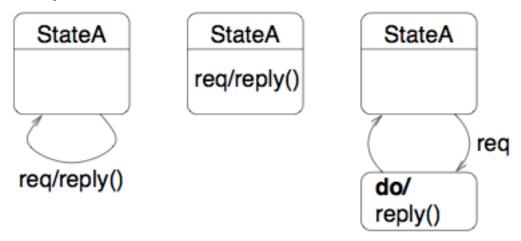
- A system response can be modeled as:
 - an action in state
 - an activity in state
 - an activity in another, special state



Q: Which of the above are equivalent? Under what conditions?

Modelling alternatives

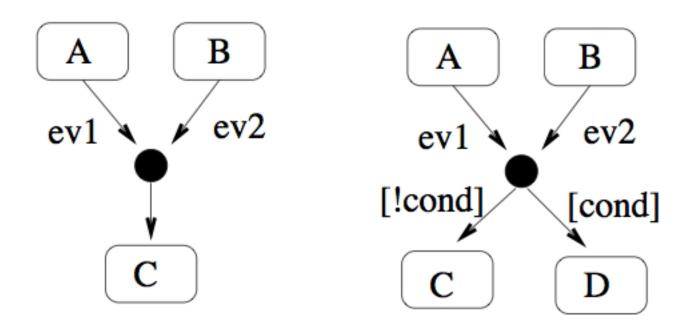
- A system response that does not cause a change in state can be modelled as:
 - an action on a self-transition
 - an action on an internal transition
 - an activity in an intermediate state



Q: Which of the above are equivalent? Under what conditions?

Junction points

- A junction point is a pseudo-state that reduces clutter by combining common transition segments.
 - Conditions are evaluated at the start of the compound transition.



Change events

- A change event is the event of a condition becoming true.
 - Think of like a hardware sensor
- The event "occurs" when the condition goes from false to true because the values of some variables used in the condition change their values. For example:
 - when (temperature > 100 degrees) [red means "keyword"]
 - when (on)
- The event does not reoccur unless the condition turns to false and then returns to true.

when(X) vs. [X]

- A change event is what you want if the process is sitting in a state waiting for a condition to become true
 - A change event implicitly polls the condition regularly for a status change
- Don't use a guard on a naked transition (called a "completion transition" in UML)
 - The semantics of that is, check the condition once (e.g., when the activity is done), and fire if the guard is true
 - The guard is never checked again after that initial check.

Time event

 A time event is the occurrence of a specific date/ time or the passage of time.

- Absolute time:
 - at (9:00 am, 9 Oct 2010)

[red means "keyword"]

- Relative time:
 - after (10 seconds since exit from state A)
 - after (10 seconds since x)
 - after (20 minutes)

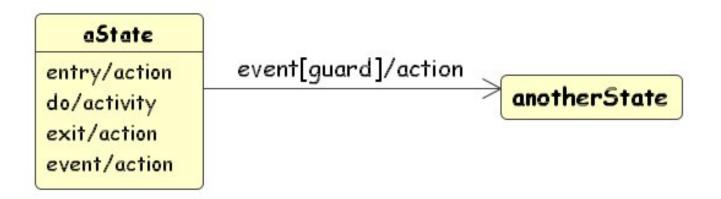
[Since execution entered the transition's source state]

Event summary

An event is instantaneous.

- Kinds of events:
 - external change in the environment (external signal)
 e.g., "off-hook"
 - external/internal change events, occurrence of a condition becoming true
 - internal a message from a concurrent region
 - time events occurrence of relative or absolute passage of time

Summary



Each event, guard, action, and activity may have arguments.

Can also combine multiple events, actions, etc. into one "slot" using a semi-colon.

Creating an SSMD

- Inputs to this process:
 - Use cases
 - System sequence diagrams
 - Input events
 - Output events
- Output:
 - System state machine diagram (SSMD)
 - Possibly with concurrent components

Creating an SSMD: Process

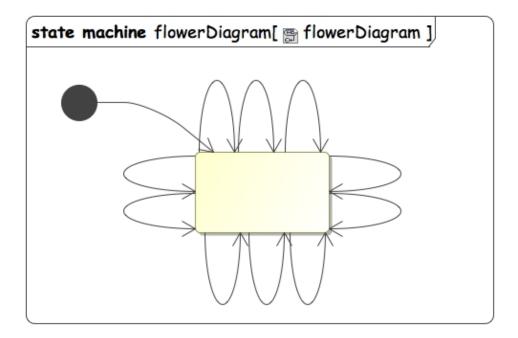
- 1. Identify input and output events
- 2. Think of a natural partitioning into states activities waiting on an event
 - Activity states system performs activity or operation
 - Idle states system waits for input
 - System modes use different states to distinguish between different reactions to an event
- 3. Consider the behaviour of the system for each input at each state.
- 4. Revise (using hierarchy, concurrency, state events)
 - Use concurrency to separate orthogonal behaviour
 - Use hierarchy, and entry/exit actions, to abbreviate common behaviour

Creating an SSMD

- Two states are equivalent if they wait on the same event and they have outgoing transitions to equivalent states
- Every scenario of the use cases (and system sequence diagrams) must be a possible behaviour of the system state diagram.
- This can also be done for major subsystems rather than the whole system.

Modelling decisions

- Choose a natural set of basic states
 - Think of the modes of the system; i.e., when the system waits for input from the environment (or the passage of time) before it can change
- Avoid flower diagrams!



Validating state machine diagrams

- Avoid inconsistency: don't have multiple transitions leave the same state under the same event.
- Ensure completeness: a reaction is specified for every possible input at a state.
 - This is an issue particularly when transitions are conditional.
 - If there are transitions triggered by an event conditioned on some guard, what happens if the guard is false?
- Walkthrough: compare the behaviour of your state diagrams with the sequence diagrams.
 - All paths through the sequence diagrams should be paths in the collection of state machines.

Good style

- The best state machine model is usually the one that is the clearest. What does this mean?
 - Fewer transitions are better
 - i.e., Use hierarchy to reduce the number of transitions
 - Don't overspecify! If an event is not relevant leaving a state, don't have a transition based on that event.
 - Occasionally this is OK because of the clarity provided by hierarchy.
 - Use history + deep history
 - (Use concurrency to recognize orthogonal aspects of the problem --- useful in modeling distributed systems).
 - Use variables to model state information that does not reflect flow of control

CS445 / SE463 / ECE 451 / CS645 Software requirements specification & analysis

UML state machine diagrams

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